Unified Tracking and Shape Estimation for Concentric Tube Robots

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Abstract—Tracking and shape estimation of flexible robots that navigate through the human anatomy are prerequisites to safe intracorporeal control. Despite extensive research in kinematic and dynamic modelling, inaccuracies and shape deformation of the robot due to unknown loads and collisions with the anatomy make shape sensing important for intra-operative navigation. To address this issue, vision-based solutions have been explored. The task of 2D tracking and 3D shape reconstruction of flexible robots as they reach deep-seated anatomical locations is challenging, since the image acquisition techniques usually suffer from low signal-to-noise ratio (SNR) or slow temporal responses. Moreover, tracking and shape estimation are thus far treated independently despite their coupled relationship. This paper aims to address tracking and shape estimation in a unified framework based on Markov Random Fields (MRF). By using concentric tube robots as an example, the proposed algorithm fuses information extracted from standard monoplane X-ray fluoroscopy with the kinematics model to achieve joint 2D tracking and 3D shape estimation in realistic clinical scenarios. Detailed performance analyses of the results demonstrate the accuracy of the method for both tracking and shape reconstruction.

I. INTRODUCTION

Continuum robots are emerging surgical platforms that can navigate through the human anatomy to reach deep-seated pathological sites [2], [3]. A representative continuum robot is the concentric tube robot [4], [5]. With diameter similar to a catheter but with the capability to exercise forces at its distal end due to its increased stiffness, the concentric tube robot has been proposed for several applications that require intracorporeal navigation. For example, Gosline et al. [6] investigated cardiosurgical applications, Burgner et al. [7] neurosurgical applications, Hendrick et al. [8] applied it to transurethral prostate surgery, and Lin et al. [9] to eye surgery.

Safe teleoperation of concentric tube robots during minimally invasive surgery (MIS) requires careful monitoring of their trajectory within the patient. Their kinematic and dynamic modelling [4], [5], [10], however, may lead to inaccurate tip position and shape estimation due to uncertainties in the joint values, mechanical parameters, and forces affecting the robot’s behaviour. For example, shape deformations caused by collisions with the anatomy cannot be fully modelled, despite the body of work investigating the effect of known distal and distributed forces on the robot’s shape [10], [11]. In fact, the intraoperative sensing of these forces is not always possible. Therefore, accurate continuous exteroceptive shape sensing is required for safe intraoperative control. Finally, shape sensing is necessary for assistive control such as path planning [12], [13] and collision detection [14].

A. Related Work

In general, shape sensors can be categorised based on their operating principles into electromagnetic, optical, and vision-based. Electromagnetic shape sensors usually provide discrete measurements of the shape of the robot, such as position of the tip or a limited number of points along its length [15], [16]. These sensors suffer from environment-related inaccuracies due to the interference of ferromagnetic materials. Optical fibres fabricated with FBGs have gained interest for shape sensing in recent years. Although FBG shape sensors can provide accurate and fast shape measurements of continuum robots, their integration with small flexible robots remains a challenge and adds significant cost [17], [18], [19], [20], [21].

Vision-based sensing can provide accurate shape measurements of the robot without requiring hardware modifications. Thus far, this sensing technology has mainly been used for general continuum robots, or implemented using ad hoc imaging configurations. Work presented in [22] and [23] demonstrates that vision-based shape sensing of a continuum robot can have improved results over direct kinematics modelling. Shape estimation using shape-from-silhouette and three orthogonal cameras is proposed in [24]. In addition, self-organising maps and stereo vision are employed in [25] to sense the shape of a continuum robot.

More recently, techniques that rely on intraoperative imaging modalities, such as endoscopy and fluoroscopy, have been proposed. The pose of a flexible instrument in endoscopic images is estimated by either marker-based or marker-less methods using virtual visual servoing [26]. In [27], the shape of a continuum robot is estimated by tracking it in endoscopic images while considering its workspace constraints.

Shape estimation of a concentric tube robot is achieved in [28] by triangulating robot points that are segmented on stereo images acquired from biplanar X-ray fluoroscopy. Biplanar fluoroscopy increases, however, the delivered radiation, and

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A preliminary version of this work was presented at the 2015 IEEE/RSJ Int. Conf. Intelligent Robots and Systems [1]. The current manuscript proposes and evaluates a radically improved algorithm.

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is generally not clinically preferred. Shape reconstruction of a robotic catheter using optimal monoplane C-arm positioning and appearance priors is presented in [29]. A vision-based shape sensor of a continuum robot based on optimal viewpoints of a monoplane C-arm and deformable surface parametrisation is proposed in [30]. Shape estimation reaches good accuracy but the method is only evaluated with simulated data and requires offline learning of the basis functions that model the deformations. Moreover, both methods proposed in [29], [30] rely on adaptive positioning of the C-arm to achieve shape reconstruction. This is not always possible within the clinical workflow due to the limited workspace in the operating theatre. The work presented in [31] uses fluoroscopy for pose estimation of a snake-robot. The algorithm is based on a computationally intensive intensity-based 2D/3D registration and the validation is conducted on a benchtop setup without collisions or 3D ground truth shape of the robot.

B. Contributions

In our preliminary work in [1], accurate intraoperative tracking of a concentric tube robot in monoplane fluoroscopy is fused with the robot kinematics, acting as a shape-prior, via a fast 2D/3D non-rigid registration to achieve intraoperative shape sensing. Our current paper enhances this work in a number of ways.

In this paper we propose a continuum robot shape estimation algorithm, which is automatic, accurate, and relies on standard intraoperative monoplane fluoroscopy. In addition, the algorithm does not require the repositioning of the C-arm, making it applicable to restricted clinical environments or, more importantly, those without a robotic C-arm. Furthermore, our algorithm is general and only requires the joint-to-shape mapping (i.e., kinematics) of the continuum robot. To illustrate key steps involved, as an application we use concentric tube robots. More specifically, we introduce several contributions beyond our previous work on intraoperative shape sensing [1]:

• The algorithm unifies the tasks of tracking and estimating the shape of the robot from intraoperative images. In [1], these tasks were executed separately and the tracking algorithm relied only on image information; noisy images led to erroneous shape estimation. The new formulation, however, solves the two tasks simultaneously as a single MRF-based energy minimisation problem considering image and kinematics information concurrently. Thus, tracking, i.e., image segmentation, and shape reconstruction are simultaneously improved.

• Regularisation terms based on the mechanical variables of the robot are introduced to incorporate robot-based priors into the shape estimation framework.

• Tube endpoints are detected in the images to guide the unified tracking and shape estimation algorithm.

• The robot is modelled using B-spline curves, which allow a smoother and more accurate description of its shape compared to [1].

• Detailed simulation with synthesised X-ray-like images and experimental evaluations of the algorithm using two concentric tube robot designs demonstrate the robustness and clinical value of our method.

The paper is structured as follows: the kinematics of the continuum robot and the proposed vision-based shape sensing algorithm are described in Sec. II. The evaluation of the algorithm in simulation and laboratory experiments is reported in Sec. III, IV and V. The relative strength of the proposed method and its potential pitfalls are discussed in Sec. VI and final conclusions are presented in Sec. VII. Table I lists the nomenclature.

II. VISION-BASED SHAPE SENSING FOR CONTINUUM ROBOTS

This section proposes shape sensing of concentric tube robots by unifying robot tracking and shape reconstruction into a single energy minimisation problem. It will define every term of the overall energy that will be minimised, i.e., its three components:

$$E_{track+rec} = E_{tracking} + E_{shape} + E_{fusion}$$

where $E_{tracking}$ relates to tracking, i.e., segmentation of the robot in the fluoroscopic images, $E_{shape}$ relates to a shape prior based on the mechanical variables of the robot, and $E_{fusion}$ unifies the tracking and shape reconstruction. Each energy component of the framework is described in the following subsections. A 2D/3D non-rigid registration will be formulated using an MRF framework in Sec. II-D. This formulation will then be extended in Sec. II-E to derive $E_{track+rec}$ as a joint energy function resulting from the tracking and shape reconstruction tasks.

A. Kinematics of Concentric Tube Robots

Concentric tube robots comprise multiple precurved telescoping tubes made from superelastic materials (usually NiTi). The relative translation and rotation of the tubes give rise to curvature interactions and control the robot shape and tip pose (see Fig. 1). Therefore, the joint variables of the robot can be considered as the relative translations, $\phi$, of the tubes, and the relative rotations, $\alpha$. The value of $\alpha$ for each tube varies along its length due to the exhibited tube twist. The shape based on the kinematics modelling of the robot is calculated by solving a Boundary Value Problem (BVP) for this relative twist angle, $\alpha$, and for bending and torsional curvature $\kappa = \{\kappa_x, \kappa_y, \kappa_z\}$ as functions of arc length. Based on the twist angles and curvature, the robot’s centreline is estimated using matrix exponentials [4] and is defined as $S_{kin}$. When the kinematic variables $\{\phi, \alpha\}$ are given, the shape is found employing root-finding similar to [32].

We use the terminology of [4] for variable and fixed robot sections. Variable curvature sections possess two tubes of similar bending stiffness, thus, the curvature of the section depends on their combined curvature as tuned by applying relative rotations to the tubes. Fixed curvature sections consist of a single curvature. Finally, outer sections are stiffer than inner sections, with a stiffness ratio of $\Gamma$. Fig. 1 depicts a robot that has a three fixed curvature sections.
We refer to this algorithm as GSEG and its in-depth description together with detailed performance evaluation can be found in [33]. In this section, GSEG is summarised while the unification of tracking and shape reconstruction is derived in Sec. II-E.

The visual appearance of a concentric tube robot in fluoroscopic frames is characterised by a continuous curve with thickness depending on the size of the robot’s cross section, as can be seen in Fig. 2(a). Therefore, its appearance is similar to endovascular tools such as catheters and guidewires making GSEG a suitable algorithm for tracking the robot in fluoroscopic video sequences. Instead of being purely image driven, the upgraded GSEG presented in this work is informed by the robot kinematics to identify the most plausible robot segmentation in the image (see Sec. II-E).

In summary, the main components of the GSEG are:

- Features that represent straight segments in the image (SEGlet) and specifically designed for tracking tubular tools are used. SEGlets are detected by taking into account temporal information of the tool’s segments and background structures. Thus, they represent a sparse sample of the robot in the image [see Fig. 2(a)];
- A tool-tracking formulation is defined based on generation of tracking hypotheses, i.e. the organisation of the SEGlets in plausible tool shapes [see Fig. 2(a)];
- A tool model is employed as a regularisation term during the evaluation of the tracking hypotheses through Bayesian inference. To incorporate temporal information during tracking, the tool model is recursively updated using a linear Kalman filter where constant velocity is used to describe the motion of the tool and predict its position in subsequent frames.

Given a set of tracking hypotheses, the best is selected as the position of the tool in the current frame. In the original formulation of GSEG [33], the hypotheses are evaluated in a Bayesian inference framework. Thus, the hypothesis that maximises the posterior probability $P(H_{Pt}|I_t)$ is the segmented position of the robot at frame $t$, i.e. $\hat{H}_{Pt}$, and is defined as:

$$\hat{H}_{Pt} = \arg \max_{H_{Pt}} P(H_{Pt}|I_t)$$  \hspace{1cm} (2)
First, a cropped fluoroscopic frame of a concentric tube robot within a tissue model is shown, followed by the detection of the SEGlets and their organization and interpolation in tracking hypotheses. (b) The detection steps of the tube endpoint for one of the tracking hypotheses is illustrated. This detection allows subsequently the segmentation of each single tube of the robot in the image.

C. Detection of the Tube Endpoints

Tube endpoints are the tip of each robot tube as illustrated in Fig. 1 and Fig. 2(b). Depending on the design of the robot, several endpoints can be present. Detection of tube endpoints allows the segmentation of each single tube of the robot in the image. Detection steps of the tube endpoint are considered as tube endpoint candidates (see Fig. 2(b)). The set of tube endpoint candidates for each tracking hypothesis are defined as $\text{ec}$.

Second, for each candidate, the local size/width of the tube cross section in the image is calculated. The intensity of the image is sampled for each candidate within distance $\text{dist}$ along the normal to the robot backbone. This set of values represents the intensity profile of the cross section of the robot around the candidate points as shown in Fig. 2(b). It includes, however, background points since the size of the cross section of the robot is still unknown. Otsu’s thresholding is used to classify the set of intensity values into the two classes: a robot class, and a background class. The class with the lowest mean intensity corresponds to the robot, since the robot appears dark in X-ray images due to its metallic nature. In general, metallic objects appear darker than the anatomy. The variance between the contrast of these two classes of objects depends on different factors, including the patient’s anatomy, C-arm settings and markers used. Therefore, we do not use a fixed threshold to segment the tube from the anatomy but an adaptive algorithm, i.e. Otsu’s thresholding, which handles this unknown variation in contrast. The cardinality of the set of pixels assigned to the robot class corresponds to the width measured in pixels belonging to the tube for that point on the tracking hypothesis.

As a result, for all the candidate points in the ROI, the width of the robot is now known in pixels. A tube endpoint is the point that exhibits maximal width difference from its normal to the robot backbone. This set of values represents the intensity profile of the cross section of the robot around the candidate point. Each tube endpoint is detected along the curve of each tracking hypothesis by considering both kinematics and image information. The SLBP model is composed by two image measurements of the robot: the “lineness” model, and the Spline Local Binary Pattern (SLBP) measurement model [34]. The “lineness” is an image feature calculated by analysing the eigenvalues of the Hessian matrix and it exhibits high responses in presence of line-like objects. Its model is described by a Gaussian distribution of its “lineness” model, and the Spline Local Binary Pattern (SLBP) intensity measurement model [34]. The “lineness” is an image feature calculated by analysing the eigenvalues of the Hessian matrix and it exhibits high responses in presence of line-like objects. Its model is described by a Gaussian distribution of its response along the robot in the image. The SLBP model is an intensity measurement based on discrete samples of pixel intensities within a local area around the tool. More details on the tracking algorithm can be found in [33].

The prior $P(H_{t_1}|I_t)$ is the regularisation term, which measures the distance between the tracking hypothesis $H_{t_1}$ and the position of the tool model as predicted from the Kalman filter. The conditional probability $P(I_t|H_{t_1})$ provides the likelihood of the tracking hypothesis $H_{t_1}$ given $I_t$. This term is composed by two image measurements of the robot: the “lineness” model, and the Spline Local Binary Pattern (SLBP) measurement model [34]. The “lineness” is an image feature calculated by analysing the eigenvalues of the Hessian matrix and it exhibits high responses in presence of line-like objects. Its model is described by a Gaussian distribution of its response along the robot in the image. The SLBP model is an intensity measurement based on discrete samples of pixel intensities within a local area around the tool. More details on the tracking algorithm can be found in [33].

The prior $P(H_{t_1}|I_t) \propto P(H_{t_1})P(I_t|H_{t_1})$ is defined as:

$$P(H_{t_1}|I_t) \propto P(H_{t_1})P(I_t|H_{t_1})$$

(3)

First, a Region Of Interest (ROI) in the image is created to limit the search area for the endpoint. For this purpose, points belonging to a sphere of radius $r_{roi}$ centred at the tube endpoint, as estimated by kinematic analysis, are projected on the image. The projection of these points generates the ROI. Only points within the ROI and belonging to a tracking hypothesis are considered as tube endpoint candidates (see Fig. 2(b)). The set of tube endpoint candidates for each tracking hypothesis are defined as $\text{ec}$.

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D. Non-Rigid Registration for Shape Reconstruction

This section briefly introduces a new robot-shape modelling scheme based on B-splines. In addition, a 2D/3D non-rigid registration algorithm for shape reconstruction of continuum robots is formulated using an MRF framework. This formulation then leads to the unification of the tracking and registration tasks as a single energy minimisation problem, which will be presented in Sec. II-E.

1) 3D Robot Model: The robot shape is modelled by a 3D B-spline curve, which represents the robot centreline. B-splines are extensively used for modelling flexible interventional tools, such as catheters and guidewires, in 2D tracking and detection algorithms [36], [37]. This model allows a reduction of the dimensionality of the representation of the curve and inherently provides smoothness. B-splines describe the robot’s shape accurately and robustly. In our case, a polynomial basis is used, similar to [38], [39]. A linear combination of control-point positions generates a B-spline, which is formally defined as:

$$C(s) = \sum_{i=1}^{M} N_i(s) P_i \text{ where } s \in [0,1]$$

(5)

where $M$ is the number of control points, $P_i$ their positions and $N_i$ the $i^{th}$ basis function of the B-spline curve. Following this notation, the points of $S_{kin}$ are interpolated using the B-spline model. In addition, the control points are equally distributed along the robot and they do not need to lie on top of the parameterised curve. An illustration of the 3D robot model is shown in Fig. 3. It can be seen that control points 1 and 5 are on the curve, while points 2, 3, 4 are away from the curve.

2) Non-Rigid Registration using MRFs: In order to reconstruct the 3D shape of the continuum robot, a 2D/3D non-rigid registration is required to incorporate the information from the fluoroscopic/projection images into the reconstruction process. The 2D/3D registration problem can be effectively formulated as an MRF optimisation problem. First, the underlying graph structure is described, followed by the introduction of individual energy terms.

A graph $G$ is defined by a set of discrete variables $V$, i.e. the graph nodes, and a set of edges $E$. Edges connecting two or more nodes represent dependencies between variables. Each variable is associated with a control point of the B-spline representing the 3D robot model, as shown in Fig. 3 by the green nodes. The variables take values from a finite set of labels, and, in our context, the set defines a discretisation of the space of control point displacements (see Fig. 3). Therefore, the assignment of a label to a particular graph node corresponds to displacing the control point of the B-spline by a certain (prescribed) magnitude.

The task of non-rigid registration then becomes a graph labelling problem wherein one seeks to assign the optimal displacements to control points such that a registration energy function is minimised. Here, the energy function corresponds to the re-projection error, which describes the distance between the tracked robot in the image plane, i.e. $s_{2D}$, and the projection of the points of the B-spline on the image. The energy of an MRF labelling is defined as a sum of so called clique potentials:

$$E_{MRF} = \sum_{c \in C} \theta^c(x_c)$$

(6)

Each clique $c$ is a subset of nodes that have inter-dependencies (including unary cliques with only one node), and $x_c$ are the labels assigned to these nodes. The potential function $\theta^c$ evaluates the labelling on the subset of nodes and returns an energy, where lower energies correspond to better labelling according to some task-specific objective. The most commonly used MRF model is a first-order model that has only unary and pairwise cliques. It has been shown that for the task of interventional tool tracking, a pairwise model for which the tracking energy is solely encoded in pairwise terms yields very good performance [37]. As the assignment of a label $x_i$ to the
The pairwise term $\theta_{\text{proj}}^{ij}(x_i, x_j)$ describes the differences between the projection of the robot in the image plane, $s_{2D}$, and the projection of the points of the B-spline, and is defined as:

$$\theta_{\text{proj}}^{ij}(x_i, x_j) = \sum_{s \in \Omega_{ij}} D(C_{ij}(x_i, x_j, s))$$

where $D$ is the distance between $s_{2D}$ and the projections of the B-spline points on the image plane, i.e. $s_{\text{kin}}$. Only the B-spline points with abscissas $s$ being included between the two control points associated to the $i^{th}$ and $j^{th}$ nodes, namely $\Omega_{ij}$, are considered. A similar notation is also used in [37]. The nodes $i$ and $j$ are displaced by the amount defined by their corresponding labels, i.e. $x_i$ and $x_j$.

Such MRF energies can be efficiently optimised using discrete optimisation methods based on iterative graph-cuts. We employ the QPBO algorithm with a fusion move strategy for multi-labelling problems [40], which has been also used in [37]. Additionally, we use higher-order clique reduction as introduced in [41] enabling us to further extend the model with higher-order clique potentials leading to a unified tracking and shape reconstruction model, as discussed in the following.

### E. Unified Tracking and Shape Reconstruction

It should be noted that the 2D/3D non-rigid registration as introduced in Sec. II-D2 is inherently ill-posed, as we are seeking 3D displacements via an energy function based on 1D measurements obtained from image intensities. In theory, there are multiple 3D configurations that lead to the same 2D projection, and the initial estimate of the robot configuration needs to be sufficiently close to the optimal solution. This limitation can be overcome by incorporating additional constraints on the reconstructed shape.

Here, the MRF registration framework is expanded to model the tracking and shape reconstruction of the robot as a single energy minimisation problem, which allows the integration of multiple such constraints. For this purpose, a second-order MRF framework, which includes unary, pairwise and triple clique potentials is proposed, enhancing what was presented in Sec. IIIE. This formulation allows the estimation of the best tracking hypothesis together with the optimal displacements of the control points, which leads to robust, joint tracking and reconstruction of the robot shape.

A node that is associated to the tracking result is added to the set of graph nodes $V$. This special node will have its own label set with the number of labels equal to the number of tracking hypotheses as shown in Fig. 3 by the red node and red dotted segments. Furthermore, additional edges are introduced that connect this new node with all pairs of neighboring control point nodes to form a set of triple cliques (see blue edges in Fig. 3). These cliques represent the bridge between tracking and shape reconstruction enabling the joint formulation. The structure of the graph is summarised in Fig. 3. The corresponding joint energy is defined as:

$$E_{\text{track&rec}} = \sum_{z \in V} \theta_{\text{tracking}}^z(x_z) + \sum_{(i,j) \in E_2} \theta_{\text{shape}}^{ij}(x_i, x_j) + \sum_{(i,j,z) \in E_3} \theta_{\text{fusion}}^{ijz}(x_i, x_j, x_z)$$

where $E_2$ and $E_3$ are the sets of edges defining the pairwise and triple cliques, and the individual potential functions of the energy are:

- $\theta_{\text{tracking}}^z$ describes the likelihood of the tracking hypotheses;
- $\theta_{\text{shape}}^{ij}$ is the regularisation term based on mechanical variables of the robot;
- $\theta_{\text{fusion}}^{ijz}$ is the term responsible for unifying the tracking and shape reconstruction.

The first term of (9) relates to $E_{\text{tracking}}$ in (1), the second term to $E_{\text{shape}}$, whereas the final one to $E_{\text{fusion}}$. Each term is described in more detail in the following.

1) Tracking Hypotheses: The likelihood of each tracking hypothesis is evaluated in (3) and is used as the unary potential of the energy:

$$\theta_{\text{tracking}}^z(x_z) = 1 - P(H_{pt\mid I_t})$$

This term introduces the tracking information into the overall energy, combining 2D image-based with 3D kinematics-based information.

2) Shape Prior Based on Mechanical Variables: A shape-prior based on mechanical variables is introduced in the overall energy as a pairwise potential that constrains the solution space to plausible robot shapes. For this purpose, a regularisation term, i.e. $\theta_{\text{smooth}}^{ij}$, penalises configurations of the control points that generate curvature profiles along the robot shape different than the ones anticipated by the design specifications of the robot. In addition, a smoothness term, i.e. $\theta_{\text{smooth}}^{ij}$, considers the fact that neighboring control points are characterised by coherent displacements. The shape prior based on mechanical variables is defined as:

$$\theta_{\text{shape}}^{ij}(x_i, x_j) = t_c \theta_{\text{curv}}^{ij}(x_i, x_j) + t_s \theta_{\text{smooth}}^{ij}(x_i, x_j)$$

where $t_c$ and $t_s$ are weights. The regularisation term, $\theta_{\text{curv}}^{ij}(x_i, x_j)$, based on the curvature of the robot, is zero or:

$$\theta_{\text{curv}}^{ij}(x_i, x_j) = \sum_{s \in \Omega_{ij}} |C(C_{ij}(x_i, x_j, s)) - t(z)|$$

if, the tube:

- has fixed curvature, or
- has variable curvature, and $C(C_{ij}(x_i, x_j, s)) > t(z)$
where $C\left( C_{ij}(x_i, x_j, s) \right)$ describes the absolute value of the curvature calculated at the abscissa $s$ between the $i^{th}$ and $j^{th}$ control points, $t(z)$ describes the curvature of the $z^{th}$ tube of the robot from its design specifications. Finally, the smoothness term is defined as:

$$\theta_{\text{smooth}}^{ij}(x_i, x_j) = ||d_i - d_j||_2$$

where $d_i$ and $d_j$ describe the displacements of neighboring control points associated with labels $x_i$ and $x_j$.

3) Tracking and Registration Fusion: The triple clique term is responsible for unifying tracking and shape reconstruction, and is defined as:

$$\theta_{\text{fusion}}^{xyz}(x_i, x_j, x_z) = \sum_{s \in \Omega_{ij}} D_{x_z} \left( C_{ij}(x_i, x_j, s) \right)$$

where $D_{x_z}$ is the distance between the tracking hypothesis associated to the label $x_z$, and $\hat{S}_{\text{kin}}$. Depending on which tube $s$ it is associated to, the corresponding image segmentation of the tube from the tracking hypothesis is used. In addition, since 2D to 3D correspondences between the endpoints of the tracking hypothesis and $\hat{S}_{\text{kin}}$ are known, their distances are calculated via their Euclidean distances weighted by $\alpha_{\text{end}}$. Therefore, the modelling of (14) is indeed different than (8), since a different tracking hypothesis provides the projection of the robot in the image depending on label $x_z$. This enables the unification of the tracking and shape reconstruction into a single formulation.

### III. Evaluation Methodology

Extensive evaluation of our algorithm through performance metrics such as reconstruction error of robot shape, tip position error, tracking error, and tip tracking error, quantifies the accuracy of our method and demonstrates its value.

The shape reconstruction error, $\text{Dist}(S_{\text{gt}}, S_{\text{est}})$, between the estimated shape, $S_{\text{est}}$, and the ground truth shape, $S_{\text{gt}}$, is defined as:

$$\text{Dist}(S_{\text{gt}}, S_{\text{est}}) = \frac{1}{b + f} \left( \sum_{i=1}^{b} d_{\text{min}}(S_{\text{gt}}(i), S_{\text{est}}) + \sum_{j=1}^{f} d_{\text{min}}(S_{\text{est}}(j), S_{\text{gt}}) \right)$$

where $d_{\text{min}}(S_{\text{gt}}(i), S_{\text{est}})$ is the distance between the $i^{th}$ point of $S_{\text{gt}}$ to the closest point on $S_{\text{est}}$, while $b$ and $f$ are the number of points of $S_{\text{gt}}$ and $S_{\text{est}}$, respectively [36]. In the error evaluation, the estimated shape $S_{\text{est}}$ can be either:

1) The shape $\hat{S}_{\text{kin}}$, calculated using forward kinematics;
2) The shape $\hat{S}_{\text{kin,vision}}$, estimated using the method presented in [1], i.e., by fusing kinematics and vision. In addition, the algorithm presented in [33] is used to track the robot;
3) The shape $\hat{S}_{\text{track,rec}}$, estimated using the proposed method, i.e., by unifying tracking and registration.

For $\hat{S}_{\text{kin}}$ and $\hat{S}_{\text{kin,vision}}$, the points resulting from the shape estimation are interpolated using a B-spline to generate a smooth and continuous 3D curve.

The tip position error is the Euclidean distance between the tip of the estimated shape and the tip of the ground truth shape. The tracking error is measured with (15), with the difference that the tracking results (in 2D) are used instead of $S_{\text{est}}$ (in 3D), and the ground truth position of the robot in the image (in 2D) is used instead of $S_{\text{gt}}$ (in 3D). Finally, the tip tracking error is the Euclidean distance between the tip of the robot given by the tracking and the tip of the ground truth position of the robot in the image.

The proposed algorithm runs on a desktop PC with the following specifications: i7 – 2600 at 3.40GHz and 16GB of RAM. The generation of all plausible hypothesis completes in less than 1s, while tracking and shape reconstruction in approximately 9s, with an unoptimised C++ implementation.

### IV. Simulations

Robot 1, given in Table II, is used to evaluate the proposed algorithm via two simulation scenarios. The first simulation scenario evaluates shape reconstruction under noisy kinematics, and the second scenario evaluates shape reconstruction when the shape is deformed due to unknown, unmodelled, virtual forces acting on the robot from the patient's anatomy.

<table>
<thead>
<tr>
<th>Robot 1 - Simulations</th>
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<tbody>
<tr>
<td><strong>Section stiffness ratio</strong>: $\Gamma = 10$</td>
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<tr>
<td><strong>Section Type</strong></td>
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<tr>
<td>(1) variable</td>
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<tr>
<td>(2) fixed</td>
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<table>
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<tr>
<th>Robot 2 - Experiments</th>
</tr>
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<tr>
<td><strong>Section stiffness ratio</strong>: $\Gamma = 5.4$</td>
</tr>
<tr>
<td><strong>Section Type</strong></td>
</tr>
<tr>
<td>(1) fixed</td>
</tr>
<tr>
<td>(2) fixed</td>
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</table>

Table II

A. Robustness to Kinematic Uncertainties

In the first scenario, robot centrelines based on 145 discrete sets of joint variables, which cause the robot to perform a spiral-like trajectory, are generated. The trajectory is selected...
Fig. 5. The mean, max and min reconstruction errors for the forward kinematics only, our previous method [1], and the proposed joint method for the first simulation scenario for 145 robot shapes per each noise case. (a) Shape reconstruction errors, and (b) tip position errors.

Fig. 6. The mean, max and min reconstruction errors for the forward kinematics only, our previous method [1], and the proposed joint method for the second simulation scenario for 145 robot shapes per each load scenario. (a) Shape reconstruction errors, and (b) tip position errors.

Fig. 7. The mean tracking and tip errors for GSEG and the proposed joint method for the (a) first simulation and (b) second simulation scenario for 145 robot shapes per each noise case and load scenario, respectively.

so that it includes short/long robots in both in-plane and out-of-plane configurations. These centreslines result in robot shapes that are used as the ground truth shapes. Each of the 145 centreslines is projected on the image plane using a realistic C-arm camera projection matrix. In order to recreate the fluoroscopic sequences of the robot within the patient, synthetic fluoroscopic sequences are generated. This is achieved by projecting the 3D robot points resulting from each discrete set of joint variables into images, which are part of a real in-vivo fluoroscopic sequence. The projections do not consider any physical interactions between the robot and the imaged patients anatomy. The sequence is 20 s long at 7 fps, leading to 145 discrete frames, each of which is associated with a single robot shape. The trajectory of the robot and the intensity profile of the robot’s tubes in the images were tuned in order to generate synthetic fluoroscopic sequences in which tracking errors were comparable with the ones measured during in vivo tracking experiments. Several frames of this sequence are shown in Fig. 4 as an example. Finally, the projection of the actual robot centreline into the image is used as ground truth position of the robot in the images.

The noise for each joint/kinematics variable type is modelled by two Gaussian distributions: $N_1(0, \sigma_1)$, $N_2(0, \sigma_2)$, where $N_1$ is applied to the relative extension of the $i^{th}$ tube or section, $\phi_i$, while $N_2$ is applied to the relative rotation of the $i^{th}$ tube, $\alpha_{is}^i$, and the rotation of the whole robot $\alpha_{0}^i$. Ten noise profiles for each Gaussian distribution are generated by

\[ \text{Tracking: GSEG} \]
\[ \text{Tracking: Track&Rec} \]
\[ \text{Tip: GSEG} \]
\[ \text{Tip: Track&Rec} \]
increasing the values of $\sigma_1$ and $\sigma_2$ as follows:

$$
\sigma(h) = \sigma^{\text{min}} + h \left(\frac{\sigma^{\text{max}} - \sigma^{\text{min}}}{N}\right), h = 0, \ldots, N-1 \quad (16)
$$

where $N = 10$, $\sigma_1^{\text{min}} = 1\text{mm}$, $\sigma_1^{\text{max}} = 3.7\text{mm}$, $\sigma_2^{\text{min}} = 1^\circ$ and $\sigma_2^{\text{max}} = 12^\circ$. These parameters were tuned to reach realistic kinematics inaccuracies for the simulations, comparable (and more challenging) to experimental results shown in the concentric tube robot kinematics modelling literature [4], [5], [10]. The mean tip error reported in [4] ranges from 1 mm to 4 mm, while the max tip error ranges from 2 mm to 13 mm. Other studies measured mean tip errors on the order of 3 mm and max tip error between 8 mm to 9 mm [5], [10]. The shapes estimated by solving the forward kinematics for these noisy kinematic values are denoted as $S_{\text{kin}}$.

The kinematics errors simulated above should not be considered only as arising from erroneous encoder readings, but as a result of a variety of mechanical and modelling errors including discrepancy in straight/curved length, curvature, relative tube stiffness, non-linear elasticity, unmodeled tube tolerances, friction etc. Even through several of the mechanical parameters, e.g. length, curvature, and relative stiffness can be measured preoperatively to a good degree of precision, non-linear behaviours, friction and imprecise concentricity are likely to be the major causes of discrepancy. Therefore, the performed simulations should be considered as a demonstrator of the robustness of the algorithm to all those unknown parameters that are essentially lumped together into “kinematics/joint errors”. Given that concentric tube robots are envisioned as patient-specific devices, demonstrable robustness of the shape estimation algorithm to all factors will be important in practical deployment scenarios.

### B. Robustness to Unmodelled Robot Deformations

The second simulation is structured similar to the first one, except that deflections due to increasing forces applied to the robot are introduced. These “forces” cause the deformation of each ground truth robot-shape. As in the first scenario, 145 discrete sets of joint variables, which cause the robot to perform a spiral-like trajectory, are generated together with the synthetic fluoroscopic sequence composed by in-vivo data. However, only one Gaussian noise profile for $N_1(0, \sigma_1)$, $N_2(0, \sigma_2)$ is used, corresponding to the middle one of the first simulation, i.e. $\sigma(4)$. Again, the shapes calculated by solving the forward kinematics for the noisy kinematic values are $S_{\text{kin}}$.

We model the increasing unknown “force/load” acting on the robot via a virtual anchor point located at the following coordinates in the robot frame (150; 150; 100). The position of the anchor point was chosen in order to generate deflections of the robot that are outside the image plane of the C-arm. These deformations are the hardest for vision algorithms to reconstruct from a single view due to the degenerate nature of perspective projection.

The unknown “force/load” may represent a collision of the robot with part of the patient anatomy. A unit vector $\mathbf{v}(j)$ defines the orientation of the deformation of the $j^\text{th}$ point of the ground truth robot-shape. This vector is calculated considering the virtual anchor point, and the $j^\text{th}$ point of the robot-shape. The displacement of the $j^\text{th}$ point of the robot-shape is:

$$
\text{dis}(h, j) = \mathbf{v}(j) \left(\frac{h j l}{c(N-1)(q-1)}\right) \quad (17)
$$

where $l$ is the length of the robot in [mm], $q$ is the number of discrete points along the robot-shape, $h = 0, \ldots, N-1$, with $N = 10$ load scenarios, and $j = 0, \ldots, q-1$ is the index of the points along the robot-shape. The index $j = 0$ describes the robot base while $j = q-1$ describes its tip. Thus, for the load scenario $h = 0$ the ground truth robot shape is not affected by any force, while for $h = N - 1$ the “force/load” causes a maximum displacement at the tip of the robot equal to $\frac{l}{2}$ of its length. In our implementation $c = 12$, which allows the investigation of a wide range of potential deflections and leads to kinematics inaccuracies similar to the ones experienced in [1] for the same simulation. The formula is used just to generate the ground truth shapes. In other words, it is a representation of a deformed shape but not a dynamic model of the robot.

To ensure that the deformation model does not introduce non-physical behaviours such as extension or compression of the tubes, a post-processing step maintains constant the length of the deflected robot. For elongated robots, this is achieved by removing points starting from the tip of the deformed shape until its length is equal to the length of the corresponding ground truth non-deformed robot, namely $l$. For shortened robots, points are added iteratively along the deformed-robot-backbone tangent until its length equals $l$. The differences in length that have to be corrected by the post-processing steps during the simulations are, on average, 1.6% of the original robot length $l$.

### C. Results

For each set of noisy kinematics values of the first simulation and for each load scenario of the second simulation, three shape reconstruction algorithms are compared: (a) The forward kinematics only; (b) The method presented in [1], i.e. by fusing kinematics and vision; (c) The proposed algorithm, which unifies tracking and registration.

The performance of the three algorithms is quantified and the reconstruction and tip position errors, in millimetres, for the first simulation scenario are reported in Fig. 5(a), and Fig. 5(b), respectively. It can be observed that the 3D tip position errors are in general greater than the shape reconstruction errors for each scenario. This is due to the fact that the error in estimating the shape of the robot propagates from the base of the robot to its most distal point, i.e. the tip, where it reaches its maximum value. The errors for the second simulation scenario are shown in Fig. 6. Finally, the 2D robot tracking and tip tracking errors are reported in Fig. 7(a) and Fig. 7(b).

Overall, the performance in terms of reconstruction and tip position errors, as well as tracking and tip errors achieved by the proposed method in both simulation scenarios reached

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In this evaluation, the algorithm presented in [33] is used for tracking the robot in the fluoroscopic sequences.
the best accuracy, with an average shape reconstruction error of 1.22 mm, and tip error of 4.23 mm. The results show the importance of unifying tracking and registration to perform shape sensing.

It is also worth noting that when large uncertainties on the kinematics modelling and unmodelled deformations are present, the results of the proposed approach were inferior to the results of our previous work [33]. This is mainly due to the deterioration of the performance of the tracking algorithm, as can be observed in Fig. 7(a) and Fig. 7(b). In fact, the proposed method introduces a dependency between the tracking and shape reconstruction steps, based on the kinematics of the robot. In our previous work, these tasks are executed independently and the tracking is based only on image information.

In summary, we have demonstrated that our algorithm unifies the tracking and registration tasks successfully to handle both cases of kinematic noise and unmodelled forces acting on the robot. The next section will show that tracking also improves in experimental settings.

V. EXPERIMENTAL EVALUATION

The experimental setup is composed of a concentric tube robot, a monoplane C-arm and a chicken acting as a tissue model (see Fig. 8). The tissue model is placed in between the robot and the C-arm detector to simulate the presence of human bones and tissue. The design specifications of the concentric tube robot, which is composed of two tubes of fixed curvature are reported in Table II as Robot 2.

The monoplane C-arm is a GE Innova 4100 for interventional radiology (GE Medical Systems, Buc, France) and can be described by a pinhole camera model [42]. The camera calibration of the C-arm is performed offline to estimate its intrinsic and extrinsic parameters using a customised calibration grid and known 2D/3D landmarks. This calibration grid, a checkerboard pattern, has radio-opaque markers at the corners of the checkerboard boxes and allows the utilisation of state-of-the-art camera calibration algorithms [43]. Manual alignment of the robot with the C-arm is performed to coarsely register their coordinate systems. The origin of the robot in the C-arm coordinate system is subsequently estimated by acquiring an X-ray computed tomography image (CT-scan).

Two data sets are collected to experimentally evaluate the performance of the proposed algorithm. Each data set is discretised in motion steps. During every step, monoplane fluoroscopic images (512 x 512 image size) at a fixed C-arm position of 0° RAO (Right Anterior Oblique) are recorded to capture the robot motions while an X-ray computed tomographic image (CT-scan) is acquired at the end of each step for collecting the ground truth via 3D manual segmentation. Then the robot centrelines, which represent the ground truth shapes of the robot for the evaluation, are extracted by thinning the manually segmented mesh. The position of the robot in each fluoroscopic image is manually annotated to generate the 2D ground truth positions. The joint values for the robot kinematics are recorded during every motion step.

A. Evaluation in Free Space

For the first data set, the robot performs in free space a spiral-like trajectory, which is discretised in 25 motion steps.

B. Evaluation with External Loads

For the second set of data, increasing loads are applied to the robot in order to simulate unmodelled contact with the tissues. The trajectory is discretised in 12 steps. In steps #26, #29, #32 and #35 the robot is unloaded while in #27, #30, #33 and #36 a force equal to 0.5 N is applied at the robot tip. In the remaining 4 steps, a 1 N force is applied at the robot tip.

C. Results

As for the simulated data, the three shape reconstruction techniques are compared for each motion step of each data set. Tracking of the robot is performed in all fluoroscopic images of both datasets and its results are used as $s_{2D}$. The tracking algorithm is manually initialised on the first frame. The kinematics of the robot is solved using the recorded kinematics values, generating $s_{kin}$.

The overall performance of the reconstruction algorithms in terms of shape reconstruction, and tip position errors, is reported in Table III, and Table IV, respectively. The accuracy of the proposed vision-based shape sensing algorithm is 2.21 mm on the shape estimation and 7.28 mm on the tip. Thus, the proposed method achieves a great improvement over the kinematics-only reconstruction of the robot shape, which
has an accuracy of 3.67 mm and 9.61 mm, respectively. This amounts to a 40% error reduction for the shape estimation, and 24% error reduction for the tip. The vision-based shape sensing algorithm outperforms the method presented in [1] both in terms of shape reconstruction and tip localisation. In fact, the overall accuracy of the vision-only method is 2.57 mm and 9.47 mm for shape and tip, respectively. This leads to an error reduction for shape and tip of 14% and 23% by the proposed method compared to our previous work. Finally, great improvement on the tracking results of the robot in fluoroscopy is also achieved by the proposed method compared to the state-of-the-art tracking method GSEG, as 72%, and 80% error reduction is shown for the tracking error, and tip tracking error, respectively (see Table V, and Table VI).

The detailed shape reconstruction errors, and tip position errors of the compared reconstruction algorithms are shown in Fig. 9(a) and in Fig. 9(b), respectively. In addition, qualitative results of robot tracking in fluoroscopic images and the respective shape reconstruction for the air and phantom experiments are shown in Fig. 10 and in Fig. 11.

It can be observed that for motion steps #18-20 our previous work [1] is characterised by high errors both in shape and tip reconstruction as shown in Fig. 9(a) and in Fig. 9(b). In these steps, the tracking algorithm of [1], which is responsible for detecting the robot in the fluoroscopic images, fails to follow the robot’s tube since it is attracted by the neighbouring anatomy. On the other hand, the proposed method can successfully track the robot in this challenging scenario by relying on both image information and robot kinematics via our new unified framework.

VI. DISCUSSION

The results presented in this paper demonstrate that the proposed method outperforms overall both the kinematics modelling of the robot and our previous work on vision-based shape sensing [1]. Few observations on the robustness of the method should, however, be made.

In the proposed method, the deformation range for the control points of the spline has to be defined a priori. This range, which in our implementation reaches a maximum of 6.4 mm, can limit the set of shapes that can be recovered by the algorithm. Therefore, this has to be tuned considering the application and the expected kinematics accuracy of the robot, or adaptively estimated based on the tracking error.

It is worth noting that when there are extreme inaccuracies in the kinematics modelling of the robot, the unified algorithm may fail. This is caused by the discretisation explained above and the intrinsic dependency between the tracking and shape reconstruction step, which relies on the kinematics of the robot. This issue does not occur if the two tasks are executed independently, with tracking being based only on image information as in [1]. This intuition is also supported by the evaluation results, where it can be observed that the proposed approach may even underperform with regards to [1] in terms of shape reconstruction and tip position errors when the kinematics modelling is significantly poor. In addition, simulations with values of c less than 12, i.e. corresponding to larger deformations, would lead to a deterioration of the shape estimation for the method proposed here. In order to consider the kinematics uncertainties, the contribution of the term $\theta^{zz}_{\text{tracking}}$ could be adaptively increased at the expense of the other terms of the energy, i.e. $\theta^{ij}_{\text{shape}}$ and $\theta^{ij}_{\text{fusion}}$. This would make the selection of the label for the tracking node less dependent on the kinematics modelling and therefore immune to its inaccuracies. On the other hand, if the kinematics modelling of the robot is really accurate, the contribution of the terms $\theta^{ij}_{\text{shape}}$ and $\theta^{ij}_{\text{fusion}}$ should be increased with respect to $\theta^{zz}_{\text{tracking}}$. Fine tuning of the energy terms can make the model flexible in the presence of different uncertainties.

Finally, an important improvement introduced by the proposed method compared to our previous work [1] relies on
achieving more accurate and robust tracking of the robot in fluoroscopic images. This directly reflects on the shape reconstruction and tip position errors of these methods. The improvement is especially significant in fluoroscopic sequences characterised by the presence of anatomical and background structures. These conditions usually make tracking a challenging task to perform. When robot tracking is easy due to increased contrast, the proposed method could under-perform compared to our previous work, which does not unify tracking and kinematics. The behaviour of the algorithm proposed here, however, can be tuned with an image-contrast-based weighting term that gives increased confidence to tracking in easy scenarios.

In case of an occlusion of the robot’s concentric tubes by other metallic objects, the estimation of the tube width using our proposed method might be locally affected. This could be solved by integrating constraints on the estimated width (as the tubes width is known) and/or smoothing the estimated width considering the width of its neighbour candidates. In addition, in case the robot is angled in a direction that is normal to the imaging plane, reaching an accurate estimation of the tube endpoint and robot shape can be challenging due to projective ambiguities, e.g. foreshortening. However, in practice, the surgeon would operate the robot avoiding these configurations. In fact, it is dangerous to operate the surgical robot in a configuration where its shape is difficult to
assess due to these projective ambiguities, regardless whether automatic shape estimation is used or not; usually, the effort is to remain “in plane”. The same observation is valid for the vessel lumens, which represent the pathway of the endovascular instruments. Numerous publications have reported automatic estimation the optimal C-arm position for reducing tool and anatomy foreshortening and improving visualisation.

Regarding the accuracy of fluoroscope calibration used in the experimental evaluation, an average reprojection error of $0.43 \pm 0.14$ mm was measured. This precision can be justified by inaccuracies injected during the calibration procedure itself, and uncertainty in the C-arm motion repositioning following the acquisition of a complete “CT” image as a ground truth (the C-arm has to return to $0^\circ$ RAO angle). This continuous repositioning potentially introduces extrinsic parameter errors but would not be necessary in a clinical setting. In addition, it is assumed that the coordinate systems of the robot and the C-arm can be registered by a 3DoFs displacement-based registration. This was achieved by visually aligning the two coordinate systems considering robot’s landmarks in fluoroscopic images. Although this simplified registration procedure may have injected errors on the shape provided by the kinematics, the proposed vision-based approach can compensate for them as the shape estimated is refined considering image information.

It should be noted that the current implementation of the algorithm has not been optimised for high speed computation. However, many components of the algorithm can be parallelised, such as the detection of the SEGlets, the evaluation of the hypotheses, and their labels. Thus, the computational time of the algorithm would benefit from using multi-CPU- and GPU-based implementations. These solutions can be explored for real-time clinical applications. In addition, limiting the cardinality of the tracking hypotheses could further reduce running time.

VIII. CONCLUSION

This paper proposes a new approach to vision-based intraoperative shape sensing of concentric tube robots. The method provides accurate continuous robot shape sensing using monoplane fluoroscopic images, regardless the effect of unknown forces and kinematic uncertainties. This is achieved by simultaneously addressing tracking and shape estimation of the robot, in a unified framework based on MRF. The clinical value of the work was demonstrated through both simulations and experiments. The joint framework proved to be more robust than using kinematics alone or our previous work published at IROS 2015 [1], especially in cases of difficult imaging condition where the tracking is challenging. This work can be easily adapted to biplanar X-ray fluoroscopy systems where the stereo imaging can provide additional robustness to the algorithm.

VIII. ACKNOWLEDGMENT

The authors would like to thank Prof. Pierre E. Dupont from Boston Children’s Hospital, Harvard Medical School, for providing the concentric tubes used in the experimental study.

REFERENCES

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