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Title: Managing restricted patients in acute, non-secure inpatient services: the clinical, ethical and resource implications of long waits for a response from the Ministry of Justice

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Abstract

**Aims & Methods:** Restricted patients subject to Section 37/41 of the Mental Health Act 1983 (MHA) require permission from the Ministry of Justice (MoJ) for leave, transfer and discharge. This study aimed to quantify the time spent waiting for the MoJ to respond to requests using data on restricted patients recalled to a non-forensic unit over an 8 year period.

**Results:** 11 admissions were identified. The mean total time waiting for response was 95 days per admission with an estimated cost of £40,922 per admission.

**Clinical Implications:** Current procedures may contribute to considerable increase in length of stay. This goes against the principles of the MHA as non-secure services rarely provide the range of interventions which justify prolonged admission. We suggest several ways to resolve this issue including broadening the guidance for the use of voluntary admissions and civil sections and allowing clinicians to make decisions on leave and transfer where there is little risk.
**Introduction**

**Restricted patients and the Mental Health Act**

Mentally disordered offenders at the Crown Court can be diverted to hospital for treatment at the point of disposal via a hospital order (Section 37 of the Mental Health Act 1983 (MHA)). In cases where the judge deems it necessary to protect the public from serious harm, a restriction order under Section 41 MHA can also be imposed by the court (1-3). Individuals detained under s37/41 MHA are referred to as “restricted patients”. When detained in hospital for treatment, restricted patients receive routine clinical care under the authority of their Responsible Clinician. Unlike non-restricted patients, however, the Responsible Clinician does not have the power to decide on certain matters, including granting leave of absence under section 17 MHA (s17 leave), transferring the patient to another hospital or discharging the patient. These powers are held by the Secretary of State for Justice and are exercised by officers in the Mental Health Casework Section (MHCS) within the Ministry of Justice (MoJ) (3-4). Discharge can also be granted by the Mental Health Tribunal (5).

When restricted patients no longer meet the criteria for detention in hospital under the MHA, they are either discharged absolutely or conditionally. Conditionally discharged patients remain subject to controls via the MoJ, including the power of the Secretary of State for Justice to recall them to hospital (under Section 42 MHA) if they need to be detained for treatment.

At the end of 2019, there were 4,899 patients detained in hospital under section 37/41 in England and Wales, with a further 2,821 conditionally discharged in the community (6). The vast majority of restricted inpatients are treated in secure services specifically designed to provide a rehabilitative environment (6-7). In a small minority of cases, patients are recalled to acute, non-secure inpatient settings. Rather than provide longer-term rehabilitation, acute wards aim to achieve clinical stability and discharge to community services more rapidly. Having restricted patients on acute wards poses particular challenges to services as well as the patients themselves, primarily due to the additional role of the MHCS.

**Managing Restricted Patients in Non-secure Services**

For all restricted patients, whether on secure or acute psychiatric units, the Responsible Clinician must seek consent via the MoJ to allow a patient to be granted any s17 leave, transfer or discharge. A written application is made to MHCS and the decision is made by experienced caseworkers who carry out a risk assessment which considers the clinical opinion of the treating team as well as the patient’s offending history and public protection (8). In 2019, the MoJ released target times to respond to requests for hospital transfer from the date of application: 28 days for escorted leave, 35
days for unescorted leave and overnight leave and 28 days for conditional discharge (9). These targets are aimed at secure services which manage patients who require rehabilitation. However, in non-forensic services, the time lag between the initial request by the Responsible Clinician and the authorisation of the Secretary of State for Justice can significantly lengthen the duration of stay for restricted patients when compared to their non-restricted peers on acute wards. This in turn can cause frustration for the service and the patient, particularly as patients on acute wards have limited access to occupational and psychological therapy. These frustrations must be balanced with the risk of serious harm that these patients pose to the public.

The aim of this study was to assess the impact of MoJ decision making on the length of inpatient admissions for Section 37/41 patients recalled to acute, non-secure services and their clinical, ethical and resource implications.

Methods
Data were collected from a general adult, non-secure psychiatric hospital in South London and Maudsley NHS Foundation Trust (SLaM). The unit consists of eight wards:

- One 10-bed male Psychiatric Intensive Care Unit
- Two 18-bed male acute wards
- Two 16-bed female acute wards
- One 18-bed female acute ward
- One 18-bed mixed-sex older-adults ward
- One 24 bed mixed rehabilitation ward

The study used data collected as part of a local audit which assessed inpatient admissions according to the Inpatient Care Process Model standards (10). Patients who were recalled from conditional discharge were identified from a database of all patients admitted to the hospital under the MHA maintained by the unit’s MHA Office.

An admission was included in the study if it met the following criteria:

i) Admission of a recalled patient under Section 42 of the MHA
ii) The patient was admitted after 1st January 2012
iii) The patient was discharged before 31st December 2019
iv) The admission lasted at least one month
If a patient had two or more admissions during the study period, each admission was included separately. Patients who were initially admitted voluntarily, but later subject to recall under section 42, were also included.

Demographic and clinical information were extracted from electronic health records by a psychiatrist (GS). Extracted data included age, gender, psychiatric diagnosis (assigned by SLaM staff according to ICD-10 criteria), reason for recall and date of admission. The number of days between the request for and granting of: escorted leave, unescorted leave, overnight leave, transfer to another ward and discharge to community care were calculated. Instances where requests for leave were rejected by the MoJ and the reasons for such decisions were recorded, as was the method of discharge i.e. by the Mental Health Tribunal or the MoJ. Data was still extracted if a patient was transferred to another ward at a different hospital within SLaM. If the MoJ rescinded a patient’s leave, data on subsequent requests for the same type of leave were also included. Assuming that each day waiting for a response from the MoJ led to an equivalent extension in admission length, we calculated the total additional cost per admission based on a 2019 estimate of the cost of an acute psychiatric bed (£432/day) (11).

**Ethical Approval**

The data was obtained from an audit completed at SLaM and was approved according to local procedures and by the Trust’s Caldicott Guardian.

**Results**

We identified 14 admissions for patients recalled under Section 42 MHA from conditional discharge in the community to the Unit during the study period; two were excluded due to the admission being shorter than one month and one lacked data on leave requests and responses from the MoJ. No patients were admitted to the ward for older adults. The demographic and clinical characteristics of the study population are described in Table 1. A more detailed description of each admission is described in Table 2. Due to the small number of subjects, the results are restricted to ensure anonymity.

There was a total of 26 requests for S17 leave made to the MoJ of which 23 were granted, 2 were rejected and 1 was not responded to. The mean time to response from the MoJ was 30 days.
(Standard Deviation [SD]: 17). Escorted leave was requested 7 times (for 7 out of 11 admissions), with a mean response time of 26 days (SD: 20). No requests were rejected by the MoJ. Unescorted leave was requested 9 times (8 out of 11 admissions), with a mean response time of 29 days (SD: 13). Two requests were rejected by the MoJ; one because the MoJ advised relapse and prevention work was needed (this patient was subsequently transferred to a forensic unit to receive this treatment). The other had no reason documented. There were 10 requests for overnight leave for 7 admissions. The mean length of time between request and response was 33 days (SD: 18). No requests were rejected but one request was not responded to by the MoJ.

5 patients were transferred to another ward during their stay, arising from 7 requests to the MoJ for the transfers. All transfers were approved. The mean time to response from the MoJ to approve the transfers was 28 days (SD: 17).

6 out of 8 admissions with a documented discharge pathway were discharged via tribunal while 2 were approved for discharge by the MoJ. The mean time to response from the MoJ for approval of discharge was 38 days (SD: 23). No requests for discharge were declined by the MoJ. 2 admissions were transferred out of SLaM and one admission had no clearly documented discharge pathway.

The mean total wait for response from the MoJ for requests for Section 17 leave, transfers and discharges overall was 95 days/admission (Range: 33-175; SD: 43). At a cost of £432/bed day, the estimated mean cost of wait per admission was £40,922.

Discussion
This study found that patients recalled to hospital from conditional discharge in the community experience considerable periods waiting for the MoJ to grant leave, transfer and discharge during admissions to acute, non-secure inpatient wards due to their status as restricted patients. To our knowledge, this is the first study to examine patients in these unique circumstances. While the size of our sample may be small, it highlights an important clinical issue with both ethical, clinical and resource implications.

Secure services aim to provide long-term management for patients with complex needs who present a significant level of risk (8). They provide long-term rehabilitation through intensive multidisciplinary treatment from a wide range of professionals and greater recreational support than those in acute, non-secure services (8). Acute, non-forensic services on the other hand provide
support for patients during an acute illness with a median length of stay less than one month (12). They often lack psychological or occupational therapy support and are built for crisis management rather than rehabilitation. This raises the question as to whether it is appropriate for conditionally discharged patients to be recalled to acute units.

Patients recalled from a conditional discharge in the community to acute services wait for weeks at a time for a response from the MoJ on wards that do not provide these specialist services. On the other hand, admitting a patient into secure services merely because of their restricted status, where the risk to others may not warrant such high level of input, may be too restrictive. This current practice, illustrated by the data in this study, is at odds with the principles of the MHA which emphasise the importance of least restrictive practices whilst maximising the independence of the patient, as well as offering care and treatment that is appropriate to the patients’ needs and is able to facilitate timely and safe discharge (13). It is of further note that in our study the MoJ did not deem specialist services to be essential for the patient’s treatment pathway for ten out of eleven admissions. It was only in one case that the MoJ rejected request for leave as the patient had not completed relapse prevention work; something that is not normally offered within acute services and resulted in the patient being transferred to a forensic unit. This then raises the question of whether recall is an appropriate option when admitting such patients to acute services in the first place, compared to an informal admission or detention under Part 2 of the MHA which do not require MoJ input for leave and discharge decisions.

Clinicians therefore need to carefully consider all options and liaise with the MoJ accordingly before considering recall of such patients. If a patient is admitted to an acute bed rather than a secure one, it suggests that the patients mental state and risks do not require the level of security and complex management provided by secure services, even if they are on a Section 41. Use of a civil section would avoid the non-clinical waits of response from the MoJ as the Responsible Clinician would be able to facilitate Section 17 leave and discharge without MoJ input. The MoJ provides guidance on when such patients can be admitted to hospital either informally or under a civil section. The guidance states that admissions under civil sections of the MHA or informally should be considered where the admission is likely to be no longer than several weeks and where the main concern is risk to self with no evidence of risk to others (14). Put simply, if restricted patients can be managed in acute, non-secure services then perhaps they should be treated as such.
The complicating factor is the issue of risk. Patients placed on Section 41 of the MHA are by definition deemed to be at risk of serious harm to the public (15). This raises the question of whether the use of civil sections or informal admissions are ever suitable for such high-risk patients. When assessing a patient’s leave or discharge, the MoJ looks specifically at the level of risk to the public and measures that are in place to alleviate this risk (16); aims that may overlap with the Responsible Clinician’s judgement but are ultimately more focused on public protection than the care and treatment of the patient (17). This balance between public protection and treatment of the individual patient can be difficult to get right. An argument could be made that a range of professionals can offer a variety of perspectives on the issues of risk e.g. a clinical perspective of risk can come from the psychiatrist while a more criminological perspective of risk is provided by the MoJ (16). General adult psychiatrists may have less experience in dealing with such patients and may prefer MoJ input as it provides a more systematic overview of risk management (18). Some have welcomed input from the MoJ, referring to it as an external audit, while others have commented on the collective experience of the MoJ dealing with restricted patients which can be helpful to the lone psychiatrist (15). On the other hand, as our data shows, recalled restricted patients on acute wards experience prolonged length of stay and potential delays in being granted leave. What is not clear is whether this is due to them presenting significant risk to the public at that point in time, or purely an artefact of leave needing to be approved by the MoJ.

The 2018 Independent Review of the MHA commented on the significant waits experienced by restricted patients being transferred, noting that the majority of requests were granted by the MoJ anyway, thereby raising the question of why the clinical team must go through this long process in the first place (19). It is of note that the Review’s recommendation to allow the Responsible Clinician to make the decision for a restricted patient to be transferred if the decision were to carry little risk (19) was rejected in the UK government’s White Paper on the basis that such waits were being managed by the MHCS via their targets set in 2019 (20). The question is whether these targets are suitable for such patients within acute inpatient settings where expectations of patient management are very different.

**A Human rights Perspective on the Restriction Order**

Supporting human rights is an essential part of mental healthcare. Deprivation of liberty is a significant breach of an individual’s autonomy and can only be conducted in clearly defined and exceptional circumstances (21). The main piece of international legislation that covers the remits of deprivation of liberty in the UK is the European Convention of Human Rights (ECHR). Article 5(1) of
the ECHR states that individuals can only be deprived of their liberty under a procedure prescribed by law (22) while Article 5(1)(e) allows the detention of individuals who are of ‘unsound mind’ (23). This was clarified in the Winterwerp case (24) which outlined three criteria which must be met before an individual of unsound mind can be lawfully deprived of their liberty: i) Objective medical expertise to confirm the presence of unsound mind ii) mental disorder of a kind or degree requiring confinement and iii) persistence of confinement only based on the on-going presence of the mental disorder. Yet the results from our study suggest that patients under Section 37/41 of the MHA continue to be deprived of their liberty even after the Responsible Clinician has stated they are ready for leave or discharge. Thus, the waits experienced by patients in this study result in deprivation of liberty which does not meet the Winterwerp criteria i.e. there is no medical expertise supporting the prolonged confinement of these patients within psychiatric hospitals. Furthermore, these waits are not a result of medical decision-making but waits in process and communication made by non-medical professionals. One could argue that such waits could breach Article 5(1) of the ECHR and are a breach of the individual’s right to liberty. It is to be noted that these waits are not insignificant when one considers the typically short length of stay in acute services; there is a question as to whether it is reasonable for the MoJ to take several weeks on average to respond to requests for leave and discharge which have already been deemed appropriate by a medical professional. Despite the government’s response in the White Paper that such timeframes have decreased following the introduction of targets in 2019 (20), the question remains whether the targets of response of 28 days for escorted leave and 35 days for unescorted leave (9) are acceptable in a setting that is not built for long-stay and complex patients. While we acknowledge the potential risks that these patients may present to the public and thus the desire for detailed risk assessments, the limited predictive value of such assessments must also be considered (25).

These prolonged waits for responses from the MoJ can have psychological consequences as well as legal ones. Studies of restricted patients in secure services have explored the sense of loss of control & inferiority resulting from waits for response from the MoJ as well as concerns around institutionalisation (26). One study found that the majority of participants voiced frustrations about waiting for the MoJ to respond to requests for leave, feeling they were a case rather than a patient (26). In another qualitative study interviewing professionals working with restricted patients in a private hospital, concerns were raised by staff about the MoJ placing too much emphasis on the wording of the MHA and not enough on the individual patient’s circumstances (16). This perception of cautiousness and overemphasis on risk by the MoJ has been noted in other studies (16-17)(26).
These issues are likely to be aggravated on acute, non-secure wards as patients spend months confined in an environment which is not designed to support long-term admissions.

**Resource implications**

A final consideration is the resource implications to acute mental health trusts when patients are awaiting responses from the MoJ. The mean total wait per admission was 95 days, which was estimated to cost the hospital trust £40,922 per admission when based on estimated costs from 2019. We assumed that each day waiting or response from the MoJ led to an equivalent extension of admission length. This may not be the case as some clinicians may have pre-emptively requested leave or discharge in anticipation of long wait times. In addition, there may have been a knock-on effect where a long wait for granting of leave may have brought forward, at least relatively, a patient’s readiness for discharge. It is important to note that this cost only applies to acute services as the cost of secure beds is significantly higher (11). The budget for UK mental health services has been under considerable strain over recent years (27)(28) and the number of psychiatric beds available has been steadily falling (29). With rising pressures on psychiatric beds in the country, it is unclear why budgets assigned for the clinical management of acute psychiatric illness should be used to contain patients with more complex needs who require specialist management.

**Strengths & Limitations**

The strengths of this study include the use of a systematically recorded database maintained by the local MHA Office to identify all patients who were detained under Section 37/41 after being recalled from conditional discharge. The study was limited by the use of clinical notes which are prone to entry errors by clinicians. In particular, there may have been instances where waits for MoJ responses to requests for leave, transfer and discharge were incurred but not recorded, leading to underestimation of waits and costs.

The population included in this study are demographically representative of the overall restricted patient population, which are noted to be mostly male, aged 35-55 years, and are discharged by a MHA tribunal rather than the MoJ (5). However, our sample is a unique population: restricted patients treated in acute, non-secure services, and are not representative of the wider restricted patient population, the majority of whom are treated in secure services (7). The reliance on a sample from a single hospital may also limit generalisation of our findings.

**Conclusion**
Patients who are recalled from the community to general adult, non-secure wards under Section 42 of the MHA experience considerable waits for response from the MoJ. The clinical, ethical and resource implications of these waits deserve further consideration. There are at least three possible solutions to this issue. The first is that, as suggested by the 2018 Independent Review of the MHA, Responsible Clinicians are allowed to make low risk decisions for restricted patients, with the MoJ having the ability to object. While we acknowledge that the UK government has rejected this recommendation on the basis that MoJ responses to requests improved following the initiation of targets in 2019, the question still remains whether such targets are appropriate for restricted patients within acute settings. The second is that, for patients managed in non-secure services, the MoJ must approve or reject requests for leave, transfer and discharge within a shorter timeframe. The third is that practice and guidance on the use of voluntary admissions and civil sections is amended such that recall is only used as a last resort. When conditionally discharged patients are deemed suitable for admission to acute, non-secure wards, the Responsible Clinician and MoJ can continue to liaise about the patient’s risks without the need for recall unless it is deemed absolutely necessary. This would arguably better support patient rights and uphold the guiding principles of the MHA, namely using the least restrictive option and maximising independence; purpose and effectiveness; respect and dignity; empowerment and involvement; and, of particular significance here, efficiency and equity.

Each of our suggested options could significantly shorten restricted patients’ length of stay and the subsequent impact on their mental health and rights while maintaining MoJ oversight of the risks these patients present to the public.
References

1. Mental Health Act 1983, Part III
2. Mental Health Act 1983, s41(2)
5. Mental Health Act 1983, s73.


24. Winterwerp v. The Netherlands, no. 6301/73, (ECHR 24th October 1979) [39-40].


<table>
<thead>
<tr>
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<td>45-65</td>
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<tr>
<td>No</td>
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<tr>
<td>Method of discharge</td>
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<td>Transfer to forensic inpatient services</td>
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Table 2: Admission pathway and waits

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<th>Unescorted leave wait (days)</th>
<th>Overnight leave wait (days)</th>
<th>Internal transfer wait (days)</th>
<th>Discharge wait (days)</th>
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<td>NR</td>
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ND: not documented; NR: not requested