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# 1       **Group II Metabotropic Glutamate Receptor (mGlu2 and mGlu3)**

## 2                               **Roles in Thalamic Processing**

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16  
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27   National Institutes of Health (NIH) regulations of animal care covered in the Principles of Laboratory  
28   Animal Care, NIH publication 85-23, revised 1985, and were approved by the Eli Lilly and Company  
29   Institutional Animal Care and Use Committee, or were approved by the Home Office (UK) and were in  
30   accordance with the UK Animals (Scientific Procedures) Act 1986 and ARRIVE and BJP guidelines.

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32 **Abstract**

33 **Background and Purpose**

34 As the thalamus underpins almost all aspects of behaviour, it is important to understand how the  
35 thalamus operates. Group II metabotropic glutamate (mGlu2/mGlu3) receptor activation reduces  
36 inhibition in thalamic nuclei originating from the surrounding thalamic reticular nucleus (TRN). Whilst  
37 an mGlu2 component to this effect has been reported, in this study we demonstrate that it is likely  
38 largely mediated via mGlu3.

39 **Experimental Approach**

40 The somatosensory ventrobasal thalamus (VB) is an established model for probing fundamental  
41 principles of thalamic function. *In vitro* slices conserving VB-TRN circuitry from wild-type and mGlu3  
42 knock-out mouse brains were used to record IPSPs and mIPSCs. *In vivo* extracellular recordings were  
43 made from VB neurons in anaesthetised rats. A range of selective pharmacological agents were used  
44 to probe Group II mGlu receptor function (agonist: LY354740; antagonist: LY341495; mGlu2 positive  
45 allosteric modulator: LY487379; mixed mGlu2 agonist/mGlu3 antagonist: LY395756).

46 **Key Results**

47 The *in vitro* and *in vivo* data are complementary and suggest mGlu3 receptor activation is largely  
48 responsible for potentiating responses to somatosensory stimulation by reducing inhibition from the  
49 TRN.

50 **Conclusions and Implications**

51 mGlu3 receptor activation in the VB likely enables important somatosensory information to be  
52 discerned from background activity. These mGlu3 receptors are likely endogenously activated via  
53 'glutamate spillover'. In cognitive thalamic nuclei, this mechanism may be of importance in governing  
54 attentional processes. Positive allosteric modulation of endogenous mGlu3 receptor activation may  
55 therefore enhance cognitive function in pathophysiological disease states, such as schizophrenia, thus  
56 representing a highly specific therapeutic target.

57 **Key words**

58 Ventrobasal thalamus  
59 Thalamic reticular nucleus  
60 Metabotropic glutamate receptors  
61 Sensory processing  
62 Schizophrenia  
63 Electrophysiology

64 **Abbreviations**

65 CNQX - 6-cyano-7-nitroquinoxaline-2,3-dione  
66 DL-APV - DL-2-Amino-5-phosphonopentanoic acid  
67 DMSO - Dimethyl sulfoxide  
68 i.p. - Intraperitoneal  
69 IPSP – Inhibitory post-synaptic potential  
70 mGlu – metabotropic glutamate receptor  
71 mIPSC – miniature inhibitory post-synaptic current  
72 NIH – National Institutes of Health  
73 TRN – Thalamic reticular nucleus  
74 TTX - Tetrodotoxin  
75 VB – Ventrobasal thalamus

76 **Bullet Point Summaries**

77 What is already known

- 78 • The thalamic reticular nucleus provides feedback inhibition to the ventrobasal thalamus upon  
79 somatosensory stimulation
- 80 • Group II mGlu receptor (mGlu2 and mGlu3) activation reduces this somatosensory evoked  
81 inhibition

82

83 What this study adds

- 84 • mGlu3 receptors likely majority mediate the Group II mGlu effect on sensory evoked inhibition
- 85 • These mGlu3 receptors are likely endogenously activated by 'glutamate spillover' upon  
86 somatosensory afferent stimulation

87 Clinical significance

- 88 • This mechanism likely enables important sensory information to be discerned from  
89 background activity
- 90 • In higher-order circuits this mechanism may contribute to cognitive and attentional processes

91

92 **1.0 Introduction**

93 With over thirty distinct nuclear groups, the thalamus co-ordinates the transfer of information to  
94 facilitate many aspects of behaviour, from sensation and movement, to cognition and attention  
95 (Sherman and Guillery, 2001). It comprises of highly organised circuits in conjunction with the  
96 neocortex to process and filter incoming and outgoing information. The somatosensory thalamic  
97 nucleus of rodents, the ventrobasal thalamus (VB), is a central tool in the study of structure-function  
98 relationships of these thalamocortical circuits due to the highly-conserved somatotopic  
99 representation of each individual facial vibrissae as a single barreloid (see Review: (Diamond et al.,  
100 2008)). Identifying the structure and function of somatosensory VB microcircuits enables identification  
101 of basic principles of thalamic function, which is essential for understanding how the rest of the  
102 thalamus operates.

103 The VB receives excitatory inputs exclusively from the principal sensory trigeminal nucleus via the  
104 lemniscal pathway (see Review: (Diamond et al., 2008)). Common to all thalamic nuclei, VB  
105 thalamocortical afferents project to layer IV of the cortex, and also receive reciprocal modulatory  
106 corticothalamic inputs from layer VI, which modulate how driver inputs are transmitted (see Review:  
107 (Jones, 2009)). Both thalamocortical and corticothalamic afferents also innervate the associated  
108 thalamic reticular nucleus (TRN), which serves to provide both feedback and feedforward inhibition  
109 to thalamic nuclei upon thalamocortical and corticothalamic innervation, respectively (Jones, 2009)  
110 (**FIGURE 1**). It is important to understand how this inhibition is controlled, as its maladaptation has been  
111 implicated in several neurophysiological disease states, including schizophrenia (Ferrarelli and Tononi,  
112 2017, Steullet et al., 2018, Young and Wimmer, 2017).

113 It has been extensively documented that Group II [metabotropic glutamate](#) (mGlu) receptors within  
114 this circuitry play a pivotal role in controlling this inhibition from the TRN to the VB.  
115 Electrophysiological studies have shown that activation of Group II mGlu receptors disinhibits sensory-  
116 evoked responses in the VB (Salt and Eaton, 1995a, Salt and Eaton, 1995b, Salt and Turner, 1998,  
117 Copeland et al., 2012, Turner and Salt, 2003, Cox and Sherman, 1999, Copeland et al., 2017), with  
118 ultrastructural studies indicating the presence of Group II mGlu receptors on TRN terminals and  
119 surrounding glial processes (Liu et al., 1998, Mineff and Valtschanoff, 1999, Tamaru et al., 2001).

120 The similarity in sequence homology and subsequent pharmacology of the [mGlu2](#) and [mGlu3](#)  
121 receptors (Conn and Pin, 1997) has made it difficult to discern the relative contributions of each  
122 subtype to the overall Group II mGlu receptor effect on inhibition from the TRN to the VB. However,  
123 with careful use and application of specific pharmacological compounds in a series of  
124 electrophysiological experiments, we have previously been able to demonstrate mGlu2 receptor-  
125 mediated disinhibition of sensory-evoked responses in the VB (Copeland et al., 2017, Copeland et al.,  
126 2012), and as presented in this study we are now able to demonstrate contribution of mGlu3 receptors  
127 to this same effect.

128 **2.0 Methods**

129 **2.1 Ethical approval**

130 All experimental conditions and procedures were either in accordance with the National Institutes of  
131 Health (NIH) regulations of animal care covered in the Principles of Laboratory Animal Care, NIH  
132 publication 85-23, revised 1985, and were approved by the Eli Lilly and Company Institutional Animal  
133 Care and Use Committee, or were approved by the Home Office (UK) and were in accordance with the  
134 UK Animals (Scientific Procedures) Act 1986 and ARRIVE and BJP guidelines (McGrath et al., 2015,  
135 Curtis et al., 2015).

136 **2.2 *In vitro* electrophysiology**

137 **2.21 Animals**

138 Male mGlu3 knock-out (18-23 days old; n=6; from Jackson Laboratories, USA, on a C57BL6/J  
139 background) and wildtype C57BL6/J mice (18-23 days old; n=6; Harlan, USA) were deeply  
140 anaesthetised with 4.0% isoflurane and decapitated into a container of crushed ice. Wildtypes (-/-)  
141 were bred from heterozygous (+/-) bred pairs. Power calculations were performed to determine  
142 animal numbers required based on an estimated signal-to-noise ratio of 2 [mean:standard deviation].

143 **2.22 Slice preparation and recording**

144 Mouse brains were quickly removed and placed in an oxygenated, ice cold beaker of slicing solution  
145 which contained (in mM): 110 NaCl; 10 MgCl<sub>2</sub>; 2 KCl; 26 NaHCO<sub>3</sub>; 1.25 NaH<sub>2</sub>PO<sub>4</sub>; 0.5 CaCl<sub>2</sub>; 10 HEPES  
146 and 15 glucose (pH adjusted to 7.45 with NaOH, osmolarity was 308 to 312 mOsm). After cooling in  
147 slicing solution for 2 to 3 minutes, the whole brains were blocked (portions of anterior and posterior  
148 tissue removed) using a razor blade and then glued to the microslicer (DTK Zero 1, DSK) tray using  
149 cyanoacrylate. The tray containing the blocked and mounted brain was filled with oxygenated, ice cold  
150 slicing solution and serial, coronal sections containing the TRN and VB were cut at a thickness of 300-  
151 400µm. Slices were then placed in a larger recovery chamber containing oxygenated slicing solution  
152 at room temperature (18 to 20°C). The recovery chamber was in a large water bath at room  
153 temperature. Slices for inhibitory post-synaptic potential (IPSP) and mini-inhibitory post-synaptic  
154 current (mIPSC) recordings were processed as follows.

155 **2.221 IPSP recordings**

156 After 1h in the recovery chamber, medium was replaced by a continuously oxygenated Krebs medium  
157 containing (in mM): 124 NaCl, 3 KCL, 1.25 KH<sub>2</sub>PO<sub>4</sub>, 1 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub>, 26 NaHCO<sub>3</sub> and 10 glucose. After  
158 a further hour, slices were then transferred to an interface recording chamber mounted on a Nikon  
159 Eclipse FN-1 microscope where they were perfused with the same continuously oxygenated Krebs  
160 medium. Using the current clamp technique, intracellular recordings were made from neurons in the  
161 VB with sharp standard-walled glass microelectrodes, filled with 1M potassium acetate (final tip  
162 resistance: 80-120MΩ). To generate synaptic events of cortical and/or TRN origin, a bipolar  
163 stimulating electrode was placed on the border of the VB and TRN. The slice was then superfused with  
164 recording solution containing 10µM 6-cyano-7-nitroquinoxaline-2,3-dione (CNQX), 50µM DL-2-  
165 Amino-5-phosphonopentanoic acid (DL-APV) and 0.5µM tetrodotoxin (TTX) to block the AMPA- and  
166 NMDA- evoked miniature and large amplitude events due to direct action potential firing of inhibitory  
167 neurons, respectively, leaving only the GABA mediated synaptic events (confirmed in preliminary  
168 experiments by complete blockade of remaining synaptic events with 10µM bicuculline).

169 Stimulation of the TRN at low frequency (0.1Hz) using 100µs square wave pulses of current (30-500µA)  
170 evoked an IPSP. Input resistance was determined by measuring the voltage drop due to passing a -  
171 0.05 or -0.1nA current pulse through the electrode. After several baseline response cycles displaying

172 consistent neuronal responses had been recorded, compound-containing solutions were applied  
173 unblinded to the slice via whole chamber superfusion. After cessation of compound application,  
174 recordings were continued until neuronal responses had returned to their respective baseline  
175 response levels. (1S,2S,5R,6S)-2-Aminobicyclo[3.1.0]hexane-2,6-dicarboxylic acid ([LY354740](#)) and  
176 (2S)-2-Amino-2-[(1S,2S)-2-carboxycycloprop-1-yl]-3-(xanth-9-yl) propanoic acid ([LY341495](#)) stocks  
177 were made in 100% dimethyl sulfoxide (DMSO) at 1000X the desired working concentration.  
178 Compound was diluted into the recording solution containing CNQX, APV and TTX immediately before  
179 application to the brain slice. All solutions applied to the brain slices contained 0.1% to 0.2% DMSO.  
180 DMSO content was matched between solutions for each experimental protocol and in the vehicle  
181 controls. Compound treatment periods were from 10 to 12 minutes in duration.

## 182 2.222 mIPSC recordings

183 After a 10 minute period in the recovery chamber, 500µL of 0.5M CaCl<sub>2</sub> solution was slowly added  
184 (500ml volume) to increase the calcium concentration to 1mM. The water bath was then turned on  
185 and the temperature was monitored inside the recovery chamber. The recovery chamber temperature  
186 was allowed to reach 33 to 34°C for a period of approximately 30 minutes, after which the water bath  
187 was turned off and the recovery chamber was allowed to slowly return to room temperature (18 to  
188 20°C). Slices were used for recording after at least 1 hour of recovery time.

189 Slices were placed in a superfusion chamber mounted on a Nikon Eclipse FN-1 microscope. Neurons  
190 within the VB area of the thalamus were visualized using IR/DIC water immersion optics. The recording  
191 solution was composed of (in mM): 115 NaCl; 1.5 MgCl<sub>2</sub>; 5 KCl; 26 NaHCO<sub>3</sub>; 1.25 NaH<sub>2</sub>PO<sub>4</sub>; 10 HEPES;  
192 2 CaCl<sub>2</sub> and 15 glucose at pH 7.45, oxygenated with carbogen gas (95%O<sub>2</sub>/5%CO<sub>2</sub>) and osmolarity of  
193 300 to 305 mOsm. The brain slice in the chamber was continually superfused at a rate of 3mL/min  
194 with oxygenated recording solution (18 to 20°C) containing 10µM CNQX, DL-APV and 0.5µM TTX to  
195 block the AMPA- and NMDA- evoked miniature and large amplitude events due to direct action  
196 potential firing of inhibitory neurons, respectively, leaving only the GABA mediated synaptic events.  
197 Compound containing solutions were applied to the slice via whole chamber superfusion. Glass  
198 recording electrodes were filled with (in mM): 140 CsCl; 1 MgCl<sub>2</sub>; 10 HEPES; 3 NaATP; 0.3 NaGTP; 1 Cs-  
199 EGTA at pH 7.2 and osmolarity adjusted to 294 to 300 mOsm and had a resistance of 2 – 4MΩ.

200 Visualized neurons were patch clamped in whole cell configuration (Multiclamp 700B, MDS) and  
201 access resistance (Ra) was evaluated in voltage clamp mode. A gapfree protocol (Clampex V10, MDS)  
202 with a holding potential of -70mV was used to record miniature synaptic events until the access  
203 resistance and holding current were stable in recording solution only. LY354740 and LY341495 stocks  
204 were made in 100% DMSO at 1000X the desired working concentration. Compounds were diluted into  
205 the recording solution containing CNQX, APV and TTX immediately before application to the brain  
206 slice. All solutions applied to the brain slices contained 0.1% to 0.2% DMSO. DMSO content was  
207 matched between solutions for each experimental protocol and in the vehicle controls. After several  
208 baseline response cycles displaying consistent neuronal responses had been recorded, compounds  
209 were applied unblinded in time periods ranging from 10 to 12 minutes in duration as required. After  
210 cessation of compound application, recordings were continued until neuronal responses had returned  
211 to their respective baseline response levels.

## 212 **2.3 *In vivo* single neuron recording and iontophoresis**

### 213 2.31 Animals

214 All experiments were conducted using adult male Wistar rats (380-450g, n=14, Harlan, UK) housed on  
215 a 12h light/dark cycle with food and water ad libitum. There are no evident gender differences in rat  
216 acute electrophysiological responses (Becker et al., 2016). Power calculations were performed to

217 determine animal numbers required for each experimental protocol based on an estimated signal-to-  
218 noise ratio of 2 [mean:standard deviation].

### 219 2.32 Surgery

220 Animals were anaesthetised with urethane (1.2g/kg intraperitoneal [i.p.] injection) and were prepared  
221 for recording as previously described (Salt, 1987). Throughout the experiments,  
222 electroencephalogram and electrocardiogram were monitored. Additional urethane anaesthetic was  
223 administered i.p. as required, and the experiment was terminated with an overdose of the same  
224 anaesthetic.

### 225 2.33 Recording and iontophoresis

226 Seven-barrel recording and iontophoretic glass pipettes were advanced into the VB. Extracellular  
227 recordings were made from single VB neurons responsive to somatosensory input through the central  
228 barrel (filled with 4M sodium chloride [NaCl]). Iontophoretic drug applications were performed  
229 unblinded using the outer barrels (Salt, 1987). On each occasion, one of the outer barrels was filled  
230 with 1M NaCl for current balancing. The remaining outer barrels each contained one of the following  
231 substances: LY354740, LY341495, (1S,2S,4R,5R,6S)-rel-2-Amino-4-methylbicyclo[3.1.0]hexane-2,6-  
232 dicarboxylic acid (LY395756) as Na<sup>+</sup> salts (each 5mM, pH8.0 in 75mM NaCl), ejected as anions, with  
233 2,2,2-Trifluoro-N-[4-(2-methoxyphenoxy)phenyl]-N-(3-pyridinylmethyl)ethanesulfonamide  
234 hydrochloride (LY487379; 1mM, pH6.0, in 1% DMSO, 75mM NaCl) ejected as cations, and a 1% DMSO  
235 vehicle control. All compounds were prevented from diffusing out of the pipette by using a retaining  
236 current (10-20nA) of opposite polarity to that of the ejection current. Compounds were ejected within  
237 a current range ensured to produce a sub-maximal effect on sensory inhibition (LY354740 6nA-50nA;  
238 LY341495 12-40nA; LY395756 10-50nA; LY487379 50nA-100nA).

### 239 2.34 Stimulation protocol

240 Neurons were identified as VB neurons on the basis of stereotaxic location (Paxinos and Watson, 1986)  
241 and responses to vibrissa deflection. Vibrissa deflection was performed using fine air-jets directed  
242 through 23 gauge needles mounted on micro-manipulators positioned and orientated close to the  
243 vibrissa to ensure deflection of a single vibrissa was achieved. Air-jets were electronically gated with  
244 solenoid valves that produced a rising air pulse at the vibrissa 8ms after switching. Response latencies  
245 were calculated from the start of the gating pulse. Using such an approach it is possible to use air-jets  
246 to evoke an excitatory response from stimulation of a single vibrissa, as described previously (Salt,  
247 1987). Prior to the beginning of the experimental protocol, the 'principal' vibrissa (i.e. the vibrissa at  
248 the centre of the receptive field) for each neuron was identified. All neurons recorded from were  
249 quiescent.

250 Cycles of sensory stimulation (10s long) were established and repeated continuously whilst recording  
251 from neurons. Cycles contained one type of stimulus consisting of 500-1000ms duration trains (5-  
252 10Hz) of air-jets directed at the principal vibrissa. After several baseline response cycles displaying  
253 consistent neuronal responses had been recorded, LY354740, LY341495, LY487379 and/or LY395756  
254 were iontophoretically ejected for 2-15mins as required. After cessation of compound ejection,  
255 sensory stimulation cycles were continued until VB neuronal responses had returned to their  
256 respective baseline response levels.

## 257 2.4 Data collection and statistical analysis

258 *In vitro* electrophysiology protocols: mIPSC signals were amplified, low-pass filtered at 5kHz, digitised  
259 with an analog-to-digital converter and collected onto a computer hard drive and analysed with the  
260 MiniAnalysis program (V6.0.4, Synaptosoft). Quantitative results are expressed in the text and figures  
261 as mean±S.E.M. MATLAB (Mathworks, Version R2018a) was used to perform paired t-tests to assess



262 statistical significance ( $p < 0.05$ ). The frequency of the mIPSC events was determined during the final 5  
263 minutes of each treatment period (baseline, 30nM LY354740, 30nM LY354740 + 100nM LY341495)  
264 using the MiniAnalysis program (V6.0.4, Synaptosoft). Inter-event intervals were calculated and  
265 plotted as cumulative fraction histograms for each treatment group. MATLAB (Mathworks, Version  
266 R2018a) was used to perform Kolmogorov-Smirnov tests on the inter-event interval cumulative  
267 fractions and Wilcoxon matched pairs tests on mIPSC frequencies to determine statistical significance  
268 ( $p < 0.05$ ).

269 *In vivo* electrophysiology protocols: Throughout the study, extracellular single neuron action  
270 potentials were gated, timed and counted using a window discriminator, a CED1401 interface and  
271 Spike2 software (Cambridge Electronic Design, Cambridge, UK), which recorded the output from the  
272 iontophoresis unit and also triggered the sensory stimuli sequence. Data were analysed by plotting  
273 post-stimulus time histograms (PSTHs) from these recordings by counting the spikes evoked by  
274 sensory stimulation. We used conventional criteria to divide neuronal responses into burst and tonic  
275 activity (Lu et al., 1992). Any action potentials with interspike intervals of  $\leq 4$ ms were considered to be  
276 part of a burst. All other spikes were regarded as tonic. We computed a burst-tonic firing ratio (the  
277 proportion of burst spikes normalized with respect to the total number of recorded spikes). Data are  
278 expressed as a percentage of baseline responses prior to compound application to mitigate variability  
279 in neuronal responses between cells and aid in interpretation ( $\pm$ S.E.M.). Statistical analysis was  
280 performed using MATLAB (Mathworks, Version R2018a), with comparisons made using Wilcoxon  
281 matched-pairs test ( $p < 0.05$ ).

## 282 **2.5 Materials**

283 *In vitro* electrophysiology protocols: Slice preparation and recording solution components (NaCl,  
284 MgCl<sub>2</sub>, KCl, NaHCO<sub>3</sub>, NaH<sub>2</sub>PO<sub>4</sub>, CaCl<sub>2</sub>, HEPES, KH<sub>2</sub>PO<sub>4</sub>, MgSO<sub>4</sub>, glucose, NaOH, CH<sub>3</sub>COOK, CsCl, NaATP,  
285 NaGTP, Cs-EGTA) and DMSO were obtained from Sigma-Aldrich. CNQX and DL-APV were obtained  
286 from Tocris, with TTX and bicuculline obtained from Abcam. LY354740 and LY341495 stocks were  
287 made in-house.

288 *In vivo* electrophysiology protocols: NaCl and DMSO were obtained from Sigma Aldrich. LY354740,  
289 LY341495 and LY487379 were obtained from Tocris. LY395756 was obtained from Dr James Monn, Eli  
290 Lilly & Co.

## 291 **2.6 Nomenclature of Targets and Ligands**

292 Key protein targets and ligands in this article are hyperlinked to corresponding entries in  
293 <http://www.guidetopharmacology.org>, the common portal for data from the IUPHAR/BPS Guide to  
294 PHARMACOLOGY (Harding et al., 2018), and are permanently archived in the Concise Guide to  
295 PHARMACOLOGY 2019/20 (Alexander et al., 2019).

296

297 **3.0 Results**

298 The interpretation of the results in this study clearly rely upon careful and appropriate use of the  
299 electrophysiological preparations in conjunction with the Group II mGlu receptor selective  
300 pharmacological compounds.

301 The rodent VB comprises one major cell type, thalamocortical relay neurons, and is otherwise largely  
302 devoid of intrinsic inhibitory interneurons, receiving its inhibitory input almost exclusively from the  
303 adjacent TRN (Diamond et al., 2008). This VB circuitry is homologous between rat and mouse species  
304 (Diamond et al., 2008). In this study *in vitro* electrophysiology was performed with mouse brain slices  
305 to take advantage of the genetic manipulations possible in this species to generate mGlu3 knock-out  
306 animals (Linden et al., 2005). Rats were used for the *in vivo* components to take advantage of their  
307 larger vibrissal pad size (Haidarliu et al., 2010), which increases feasibility of individual vibrissa  
308 deflections.

309 The Group II orthosteric agonist LY354740 (Monn et al., 1997, Schoepp et al., 2003) has been widely  
310 employed to investigate Group II mGlu receptor function in both *in vitro* and *in vivo* neurophysiological  
311 assays in both animal and human CNS studies (Moldrich et al., 2003, Schoepp et al., 2003, Nordquist  
312 et al., 2008, Copeland et al., 2012, Copeland et al., 2015, Copeland et al., 2017). The Group II  
313 orthosteric antagonist LY341495 possesses high selectivity with nanomolar potencies for the Group II  
314 mGlu receptors. Whilst LY341495 also has submicromolar potencies at other mGlu receptor subtypes  
315 (Kingston et al., 1998), its use in this study follows the parameters previously demonstrated to produce  
316 selective antagonism for the Group II mGlu receptors only (Kingston et al., 1998). The mGlu2 selective  
317 PAM LY487379, which possesses no intrinsic agonist activity at mGlu2 receptors, acts to enhance  
318 responses to submaximal mGlu2 receptor agonism without activity at other receptors or ion channels  
319 (Johnson et al., 2003). The use of LY487379 in rodent *in vitro* and *in vivo* neurophysiological studies is  
320 well documented (Galici et al., 2005, Copeland et al., 2012, Copeland et al., 2015, Copeland et al.,  
321 2017, Mango et al., 2019, Cieřlik et al., 2020). LY395756 is a mixed compound in that it possesses  
322 opposite activity at each of the Group II mGlu receptor sub-types: it is an agonist at mGlu2 receptors  
323 ( $EC_{50}$  0.40 $\mu$ M) and an antagonist of mGlu3 receptors ( $IC_{50}$  2.94 $\mu$ M; see compound 13 (Dominguez et  
324 al., 2005)). Whilst the mGlu2 partial agonist activity of LY395756 has been debated to account for  
325 some of its observed pharmacological responses (Johnson et al., 2017, Sanger et al., 2013), under  
326 physiological conditions in native systems relevant to the experimental preparations used here, the  
327 majority of studies where LY395756 (and/or LY541850, its active enantiomer) has been used  
328 demonstrate it to act as a full agonist at mGlu2 receptors: acute (depression) effects are comparable  
329 to that of other widely used Group II mGlu receptor agonists (Ceolin et al., 2011, Hanna et al., 2013,  
330 Lucas et al., 2013).

331 **3.1 Synaptic transmission at the TRN-VB synapse can be modulated by mGlu3 receptor activation**

332 It has been previously demonstrated that IPSPs evoked *in vitro* in the VB upon TRN stimulation in rat  
333 brain slices can be suppressed by Group II mGlu receptor activation (Turner and Salt, 2003), and that  
334 there is an mGlu2 component to this overall Group II mGlu effect (Copeland et al., 2012, Copeland et  
335 al., 2017). Therefore, we wanted to determine if there was a complementary mGlu3 component to  
336 this same effect. Whilst the reversible Group II agonist LY354740 effect on evoked IPSP amplitude in  
337 the VB was reproducible in wild-type mouse brain slices (Turner and Salt, 2003, Copeland et al., 2017)  
338 where the circuitry connecting the VB and TRN is preserved (LY354740 30nM reduced baseline evoked  
339 IPSP amplitude by 29 $\pm$ 2%; n=6 cell recordings from 6 slices; **Figures 2ai and 2bi**), this was completely  
340 ablated in the mGlu3 receptor knock-out mouse brain slices (LY354740 30nM and 300nM reduced  
341 baseline evoked IPSP amplitude by 2 $\pm$ 1% and 1 $\pm$ 1%, respectively; n=6 cell recordings from 6 slices;  
342 **Figures 2aii and 2bii**). Interestingly, upon application of the highest concentration of the Group II

343 antagonist LY341495 in combination with the Group II agonist LY354740 in the wild-type preparation,  
344 an increase in evoked IPSP amplitude when compared to baseline was observed (LY354740 30nM and  
345 LY341495 100nM increased evoked IPSP amplitude by  $13\pm 2\%$ ; **Figures 2ai and 2bi**). Application of  
346 vehicle controls had no effect in comparison to baseline responses (data not shown).

347 One component that contributes to IPSP amplitude suppression is direct inhibition of GABAergic  
348 vesicle fusion with the presynaptic TRN membrane (Turner and Salt, 2003). *In vitro* recording of mIPSCs  
349 from VB neurons enables quantification of the frequency of such spontaneous presynaptic quantal  
350 release events. Whilst the Group II agonist LY354740 effect on mIPSC frequency was reproducible  
351 (Copeland et al., 2017) and reversible in wild-type mouse brain slices (**Figure 2ci and 2di**), again, this  
352 was not evident in the mGlu3 receptor knock-out mouse brain slice preparations (**Figure 2cii and 2dii**).  
353 The frequency of mIPSCs between wild-type and mGlu3 knock-out preparations were comparable  
354 ( $4.1\pm 1.9\text{Hz}$  vs  $3.8\pm 1.8\text{Hz}$ , respectively; each  $n=6$  cell recordings from 6 slices; Wilcoxon matched pairs  
355 test  $p>0.05$ ). Application of vehicle controls had no effect in comparison to baseline responses (data  
356 not shown).

357 Taken together these *in vitro* data indicate that there is a considerable mGlu3 receptor-mediated  
358 component to the overall Group II mGlu receptor effect on TRN-induced GABAergic inhibition evoked  
359 in the VB. We therefore sought to confirm this effect *in vivo*.

### 360 **3.2 mGlu3 activation contributes to the gating of neuronal responses to somatosensory stimulation**

361 VB neuronal responses to short- and long-duration vibrissal stimulation can be potentiated by Group  
362 II mGlu receptor activation leading to somatosensory disinhibition, which comprises an mGlu2  
363 component (Salt and Eaton, 1995a, Salt and Eaton, 1995b, Salt and Turner, 1998, Copeland et al., 2012,  
364 Copeland et al., 2017). We used iontophoretic application of the mixed compound LY395756 (mGlu2  
365 agonist, mGlu3 antagonist) onto VB neurons *in vivo* to confirm functional contribution of an mGlu3  
366 component to this overall Group II effect, as suggested by the *in vitro* experiments. Application of the  
367 mixed compound LY395756 alone inhibited total VB neuronal responses to long duration vibrissal  
368 stimulation ( $83\pm 3\%$  of baseline;  $n=11$  cell recordings from 9 rats; **Figure 3**): an effect similar to that  
369 observed upon application of the Group II mGlu antagonist LY341495 ( $81\pm 5\%$  of baseline;  $n=6$  cell  
370 recordings from 3 rats **Figure 4b**). As the mixed compound is an mGlu3 antagonist, this has revealed  
371 an mGlu3 component to the overall Group II mGlu effect on sensory disinhibition. Upon co-application  
372 of the mixed compound LY395756 with the mGlu2 PAM LY487379 the reverse was seen, with a  
373 potentiation of VB neuronal responses to long duration vibrissal stimulation ( $146\pm 10\%$  of baseline;  
374  $n=6$  cell recordings from 5 rats): an effect similar to that observed upon application of the Group II  
375 mGlu agonist LY354740 ( $177\pm 24\%$  of baseline;  $n=6$  cell recordings from 6 rats; **Figure 4b**). As the mixed  
376 compound is an mGlu2 agonist, this confirms the mGlu2 component to the overall Group II mGlu effect  
377 on sensory disinhibition that has been previously described (Copeland et al., 2012, Copeland et al.,  
378 2017). Furthermore, this action of the mGlu2 PAM confirms that the Harlan Wistar rats used in this  
379 study express functional mGlu2 receptors (Ceolin et al., 2011). Application of vehicle controls had no  
380 effect in comparison to baseline responses (data not shown).

381 To further investigate the agonist/antagonist activity of the mixed compound LY395756 we performed  
382 further sub-analysis of its effects on burst firing of VB thalamic neurons, which exhibit two distinct  
383 response patterns: tonic and burst-mode responses (Ramcharan et al., 2000, Rivadulla et al., 2003,  
384 Copeland et al., 2015). Tonic responses are associated with a linear transmission of information, and  
385 occur when thalamic neurones have been depolarised from resting potential following the inactivation  
386 of a voltage- and time-dependent calcium current ( $I_T$ ), whilst burst-mode firing occurs following  
387 hyperpolarisation of thalamic neurones where  $I_T$  is de-inactivated (Llinás and Jahnsen, 1982, Jahnsen  
388 and Llinás, 1984). As such, during tonic firing synaptic transmission through the thalamus is faithfully

389 relayed, whereas during burst firing transmission through the thalamus is less reliable with impulses  
390 occurring at low and irregular rates punctuated by high-frequency bursts. Iontophoretic application of  
391 the mixed compound LY395756 alone increased burst firing (baseline burst firing:  $65\pm 7\%$ ; +LY395756  
392 burst firing  $75\pm 6\%$ ; n=11 cell recordings from 9 rats; **Figure 4a**), an effect similar to that observed upon  
393 application of the Group II mGlu antagonist LY341495 (baseline burst firing:  $49\pm 6\%$ ; +LY341495 burst  
394 firing  $65\pm 4\%$ ; n=6 cell recordings from 3 rats; **Figure 4b**). Iontophoretic application of the mixed  
395 compound LY395756 in combination with the mGlu2 PAM LY487379 decreased burst firing (baseline  
396 burst firing:  $66\pm 6\%$ ; +LY395756 & LY487379 burst firing  $53\pm 6\%$ ; n=6 cell recordings from 5 rats; **Figure**  
397 **5a**), an effect similar to that observed upon application of the Group II mGlu agonist LY354740  
398 (baseline burst firing:  $74\pm 5\%$ ; +LY354740 burst firing  $62\pm 4\%$ ; n=6 cell recordings from 6 rats; **Figure**  
399 **5b**). Application of vehicle controls had no effect in comparison to baseline responses (data not  
400 shown).

#### 401 4.0 Discussion and Conclusions

402 Thalamic nuclei can be classed as either first-order or higher-order nuclei based upon the source of  
403 their driver inputs of information: first-order nuclei receive driver inputs from the periphery (e.g.  
404 auditory, visual, somatosensory), while higher-order nuclei receive driver inputs from cortical layer V  
405 (Jones, 2009). By understanding basic principles of thalamic function in a first-order nucleus, such as  
406 the VB, it is then possible to extend this basic knowledge to understand the function of more complex  
407 thalamic circuitries, such as those of the higher-order thalamic nuclei that serve to support cognitive  
408 processes (see Jones [2009] for review). As the rodent VB comprises only of excitatory VB neurons (i.e.  
409 no interneurons) (Ralston, 1983, Barbaresi et al., 1986, Harris and Hendrickson, 1987, Ohara and  
410 Lieberman, 1993), which when coupled with our in-depth understanding of its simple circuitry  
411 (Sherman and Guillery, 2001) (**Figure 1**), makes it an ideal candidate with which to understand the  
412 basic principles of thalamic function.

413 There is substantial evidence from *in vitro* and *in vivo* electrophysiological studies that upon driver  
414 afferent stimulation, the Group II mGlu receptors reduce inhibition in thalamic nuclei, likely via a  
415 reduction in GABAergic transmission from the TRN (Turner and Salt, 2003, Salt and Eaton, 1995a, Salt  
416 and Turner, 1998, Alexander and Godwin, 2005, Copeland et al., 2012, Copeland et al., 2015, Copeland  
417 et al., 2017). In the VB it has been previously demonstrated that this mechanism comprises an mGlu2  
418 component (Copeland et al., 2012, Copeland et al., 2017), and in this study we are able to provide  
419 further evidence to support this, and also additional evidence for co-contribution from mGlu3  
420 receptor activation to this effect. In fact, these data suggest that the overall Group II mGlu receptor  
421 effect is majority mediated via mGlu3: the mixed compound LY395756 is a more than seven-fold more  
422 potent mGlu2 receptor agonist ( $EC_{50}$  0.40 $\mu$ M) than it is an mGlu3 receptor antagonist ( $IC_{50}$  2.94 $\mu$ M;  
423 (Dominguez et al., 2005)), yet the over-riding effect of the mixed compound when applied alone in  
424 this study was that of antagonism. Indeed, the mGlu2 PAM used to reveal the mGlu2 component is in  
425 itself very effective: application of submaximal glutamate (1 $\mu$ M) to produce a ~3% of maximal  
426 glutamate response can be potentiated upon co-application of LY487479 up to ~75% of maximal  
427 glutamate response – a 2500% increase (Johnson et al., 2003) - meaning that even low levels of mGlu2  
428 activation can be revealed. Therefore, the mGlu2 effect revealed by the potent mGlu2 PAM in this  
429 study and our previous work (Copeland et al., 2012; Copeland et al., 2017) on reducing inhibition in  
430 the VB from the TRN may, under normal physiological conditions, minimally contribute to the overall  
431 Group II mGlu effect. Evidence from ultrastructural studies support this theory, as mGlu3 receptors  
432 are heavily localised within GABAergic terminals of the TRN (Ohishi et al., 1993a, Ohishi et al., 1993b,  
433 Lourenço Neto et al., 2000, Tamaru et al., 2001). Taken together, the electrophysiological and  
434 ultrastructural studies suggest that mGlu3 receptors localised on TRN axon terminals likely majority  
435 mediate the reduction in inhibition in thalamic nuclei from the TRN, with any Group II mGlu receptor  
436 subtypes present on surrounding glial processes likely contributing a smaller modulatory role to the  
437 same effect.

438 The location of Group II mGlu receptors on TRN terminals and surrounding astrocytic processes  
439 indicates they play a pivotal role in modulating inhibition in the thalamus. As TRN terminals are not  
440 directly targeted by synaptically released glutamate, these receptors may be activated by endogenous  
441 'glutamate spillover' (Kullmann, 2000) from synapses formed between excitatory driver afferents and  
442 thalamocortical neurons upon normal sensory processing (Copeland et al., 2012) or under conditions  
443 of intense synaptic activation (Alexander and Godwin, 2006). This is further evidenced by the *in vitro*  
444 IPSP experiments conducted in this study, as application of the highest concentration of the Group II  
445 mGlu receptor antagonist LY341495 was able to increase IPSP amplitude above that seen under  
446 baseline conditions. As the mGlu3 knock-out data indicates that reductions in IPSP amplitude and

447 mIPSC frequency are majority mediated via mGlu3, taken together these data suggest that it is basal  
448 mGlu3 receptor activation which is likely occurring upon driver afferent stimulation via 'glutamate  
449 spillover' to reduce inhibition in the VB from the TRN. Ultrastructural studies support such a  
450 mechanism as they have evidenced close association of sensory afferent terminals with TRN afferent  
451 GABAergic terminals, upon which mGlu3 receptors are heavily localized (Ohishi et al., 1993a, Ohishi  
452 et al., 1993b, Lourenço Neto et al., 2000, Tamaru et al., 2001), on the soma and proximal dendrites of  
453 neurons in the rodent VB (Ralston, 1983, Ohara and Lieberman, 1993).

454 This study contributes to the growing evidence demonstrating that GABAergic transmission from the  
455 TRN can be reduced through activation of Group II mGlu receptors, resulting in a reduction in sensory-  
456 evoked inhibition (Copeland et al., 2012, Copeland et al., 2017). Such a mechanism could play an  
457 important role in discerning relevant information from background activity to enhance sensory  
458 perception. In higher-order nuclei this mechanism could be of importance in attentional and cognitive  
459 processes, and there is evidence to suggest that it is the mGlu3 receptor subtype which plays a role in  
460 reducing inhibition from the TRN in such nuclei (Copeland et al., 2015).

461 As increased inhibition in the higher-order mediodorsal thalamic nucleus has been associated with  
462 onset of cognitive deficits and impairments in working memory, such as is seen in schizophrenia  
463 (Parnaudeau et al., 2013, Peräkylä et al., 2017, DeNicola et al., 2020), targeting of the Group II mGlu  
464 receptors, and specifically the mGlu3 receptor subtype, may be of therapeutic importance for this  
465 disease state: as antagonism of mGlu3 receptors increases evoked inhibition from the TRN, as  
466 evidenced in this study by a decrease in total firing but an increase in burst firing upon application of  
467 the mixed compound LY395756 alone, activation of mGlu3 receptors to reduce inhibition may be an  
468 appropriate target-specific treatment for schizophrenia. This mechanism of mGlu3-mediated  
469 reduction in inhibition is further supported by the IPSP and mIPSC data presented in this study. It has  
470 been previously demonstrated that activation of mGlu3 receptors in the mediodorsal thalamus is able  
471 to reduce inhibition from the TRN (Copeland et al., 2015), and this study suggests that mGlu3 receptor  
472 activation will decrease the unreliable and irregular synaptic transmission associated with burst firing,  
473 and increase the proportion of tonic firing where synaptic transmission through the thalamus is  
474 faithfully relayed. Indeed, the mGlu3 receptor has been implicated in the aetiological,  
475 pathophysiological and pharmaco-therapeutic aspects of schizophrenia, with polymorphisms in the  
476 mGlu3 receptor gene and protein, but not the mGlu2 receptor, detected in patients with  
477 schizophrenia (See Review: (Stansley and Conn, 2018)). The design of future novel therapies targeted  
478 to treat deficits in cognitive function may therefore achieve greater success if selectivity and higher  
479 efficacy for mGlu3 receptors were achieved.

#### 480 **4.1 Conclusions**

481 The Group II mGlu receptor effect in reducing evoked inhibition from the TRN to the VB is likely  
482 majority mediated via mGlu3 receptors. This mechanism may be of importance when identifying  
483 important sensory information in an environment with background activity, and may be an over-  
484 arching principal applicable to higher-order thalamic nuclei function in the control of cognitive and  
485 attentional processes. As the mGlu3 receptor subtype appears to be activated by endogenous  
486 'glutamate spillover' upon afferent stimulation, it may be advantageous to develop mGlu3 PAMs, as  
487 opposed to direct agonists, to alleviate instances of increased thalamic inhibition, as is believed to  
488 occur in schizophrenia. Indeed, there is emerging evidence to suggest that mGlu3 PAMs could act to  
489 enhance performance in cognitive tasks (Walker and Conn, 2015).

490

491

492 **Figure Legends**

493 **Figure 1. Thalamic circuitry underlying responses to vibrissal deflection.** Branching collaterals from  
494 excitatory thalamocortical and corticothalamic axons (black), which originate from functionally linked  
495 topographical areas in the thalamus/cortex, innervate the TRN, and the TRN sends a reciprocal  
496 inhibitory projection (grey) back to the thalamic area from which it receives its thalamocortical  
497 innervation.

498 **Figure 2. The Group II mGlu receptor agonist effect on evoked and spontaneous presynaptic quantal**  
499 **release events is nullified in mGlu3 knock-out mice.** **ai** Traces of the effects of the Group II mGlu  
500 receptor agonist LY354740 (30nM) alone and in conjunction with increasing concentrations of the  
501 Group II mGlu receptor antagonist LY341495 (10nM, 30nM, 300nM) on IPSP amplitude in wild type  
502 mouse brain slices. **aii** Traces of the effects of the Group II mGlu receptor agonist LY354740 (30nM &  
503 300nM) on IPSP amplitude in mGlu3 knock-out mouse brain slices. **bi** Overall effects on IPSP amplitude  
504 in wild type mouse brain slices of the same compound application combinations as described in **ai**. \*  
505 indicates significance at the  $p < 0.05$  threshold of responses under drug conditions in comparison to  
506 baseline. **bii** Overall effects on IPSP amplitude in mGlu3 knock-out mouse brain slices of the same  
507 compound application combinations as described in **aii**. **ci** Traces from individual neurons illustrating  
508 the effects of the Group II mGlu receptor agonist LY354740 (30nM) alone or in conjunction with the  
509 Group II mGlu receptor antagonist LY341495 (100nM) on the number of spontaneous mIPSC events  
510 in the VB in wild type mouse brain slices. **cii** Traces from individual neurons illustrating the effects of  
511 the Group II mGlu receptor agonist LY354740 (30nM) on the number of spontaneous mIPSC events in  
512 the VB in mGlu3 knock-out mouse brain slices. **di** Effects of the same compound application  
513 combinations on the cumulative fraction of the calculated inter-event intervals of the spontaneous  
514 mIPSCs in the VB in wild type mouse brain slices as described in **ci**. **dii** Effects of the same compound  
515 application combinations on the cumulative fraction of the calculated inter-event intervals of the  
516 spontaneous mIPSCs in the VB in mGlu3 knock-out mouse brain slices as described in **cii**.

517 **Figure 3. The mixed compound LY395756 (mGlu2 agonist, mGlu3 antagonist) reveals an mGlu3**  
518 **component to the overall Group II mGlu receptor effect on sensory evoked inhibition.** **a** Top:  
519 Timeline of a representative neuron response under baseline conditions (1), upon application of the  
520 mixed compound LY395756 alone (2), recovery (3), application of the mixed compound LY395756  
521 together with the mGlu2 PAM LY487379 (4), and a second recovery (5). Bottom: PSTHs of responses  
522 of a VB neuron (CVB122c1) to train stimulation of a single vibrissa (40ms bins, 6 trials) under the  
523 conditions described in the above timeline. **b** Bars representing the % baseline response ( $\pm$ SEM) under  
524 baseline conditions (100%), in the presence of the mixed compound LY395756 alone, the mixed  
525 compound LY395756 in conjunction with the mGlu2 PAM LY487379, and during recovery. \* indicates  
526 significance at the  $p < 0.05$  threshold of responses under drug conditions in comparison to baseline,  
527 unless otherwise indicated.

528 **Figure 4. The mixed compound LY395756 when applied alone exerts effects similar to that of the**  
529 **Group II mGlu receptor antagonist LY341495.** **ai** PSTHs delineating tonic (black) and burst (grey)  
530 responses of a VB neuron (CVB127b2) to train stimulation of a single vibrissa (50ms bins, 18 trials)  
531 under baseline conditions, upon application of the mixed compound LY395756, and during recovery.  
532 **aii** LHS: Bars representing the total % baseline response ( $\pm$ SEM) under the same conditions as  
533 described in **ai**. RHS: Bars representing the % of the response exhibited as burst firing ( $\pm$ SEM) under  
534 the same conditions as described in **ai**. **bi** PSTHs delineating tonic (black) and burst (grey) responses  
535 of a VB neuron (CVB087b1) to train stimulation of a single vibrissa (50ms bins, 18 trials) under baseline  
536 conditions, upon application of the Group II mGlu receptor antagonist LY341495, and during recovery.  
537 **bii** LHS: Bars representing the total % baseline response ( $\pm$ SEM) under the same conditions as

538 described in **bi**. RHS: Bars representing the % of the response exhibited as burst firing ( $\pm$ SEM) under  
539 the same conditions as described in **bi**. \* indicates significance at the  $p < 0.05$  threshold of responses  
540 under drug conditions in comparison to baseline.

541 **Figure 5. The mixed compound LY395756 when applied in conjunction with the mGlu2 PAM**  
542 **LY487379 exerts effects similar to that of the Group II mGlu receptor agonist LY354740. ai** PSTHs  
543 delineating tonic (black) and burst (grey) responses of a VB neuron (CVB130a2) to train stimulation of  
544 a single vibrissa (50ms bins, 18 trials) under baseline conditions, upon application of the mixed  
545 compound LY395756 and the mGlu2 PAM LY487379, and during recovery. **aii** LHS: Bars representing  
546 the total % baseline response ( $\pm$ SEM) under the same conditions as described in **ai**. RHS: Bars  
547 representing the % of the response exhibited as burst firing ( $\pm$ SEM) under the same conditions as  
548 described in **ai**. **bi** PSTHs delineating tonic (black) and burst (grey) responses of a VB neuron  
549 (CVB030a2) to train stimulation of a single vibrissa (50ms bins, 18 trials) under baseline conditions,  
550 upon application of the Group II mGlu receptor agonist LY354740, and during recovery. **bii** LHS: Bars  
551 representing the total % baseline response ( $\pm$ SEM) under the same conditions as described in **bi**. RHS:  
552 Bars representing the % of the response exhibited as burst firing ( $\pm$ SEM) under the same conditions as  
553 described in **bi**. \* indicates significance at the  $p < 0.05$  threshold of responses under drug conditions in  
554 comparison to baseline.



555 **Conflict of Interest**

556 Authors: No conflicts to declare.

557 Declaration of transparency and scientific rigour: This Declaration acknowledges that this paper  
558 adheres to the principles for transparent reporting and scientific rigour of preclinical research as  
559 stated in the BJP guidelines for [Design and Analysis](#), and [Animal Experimentation](#), and as  
560 recommended by funding agencies, publishers and other organisations engaged with supporting  
561 research.

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— Excitatory projection  
- - - Inhibitory projection

Vibrissa deflection



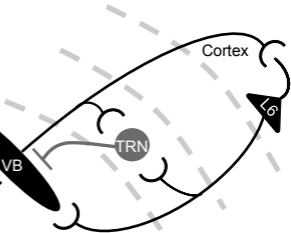
Sensory driver afferent



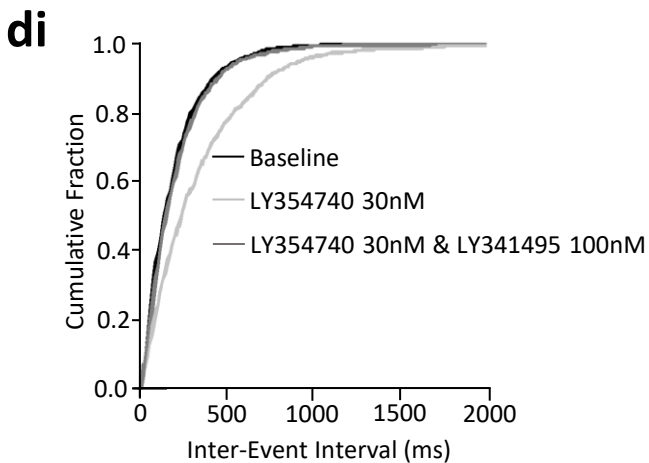
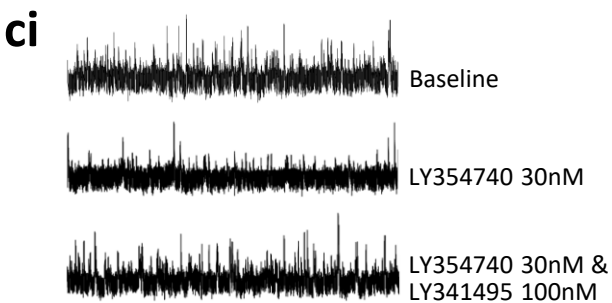
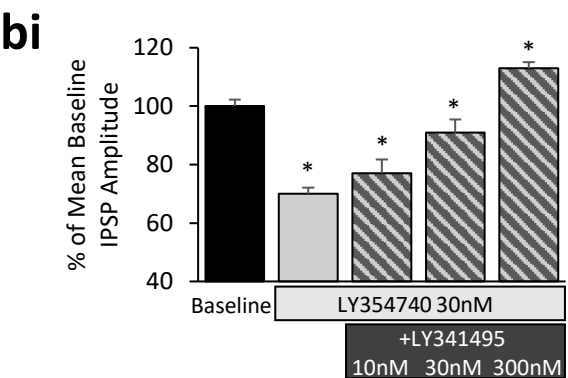
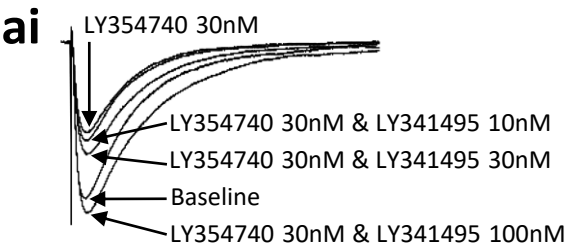
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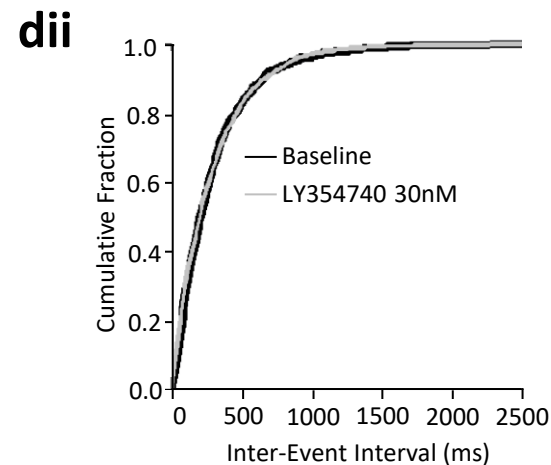
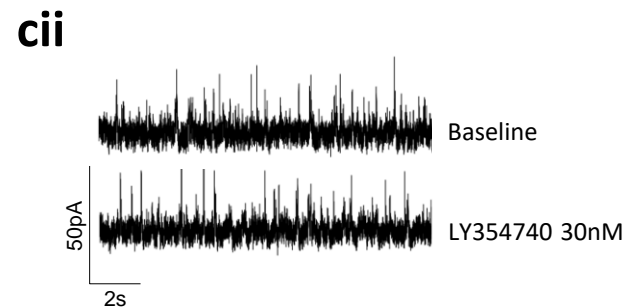
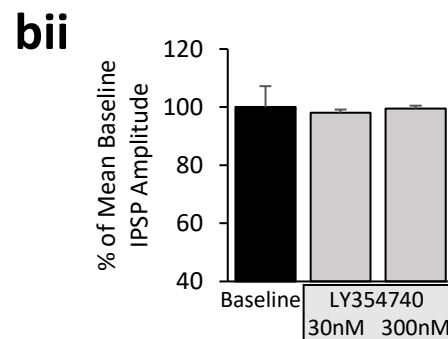
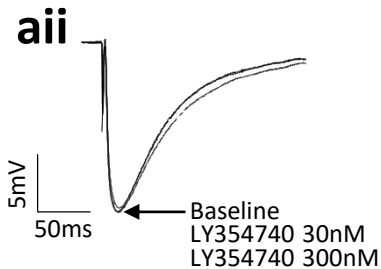
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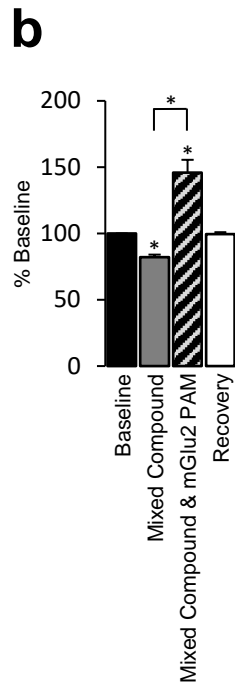
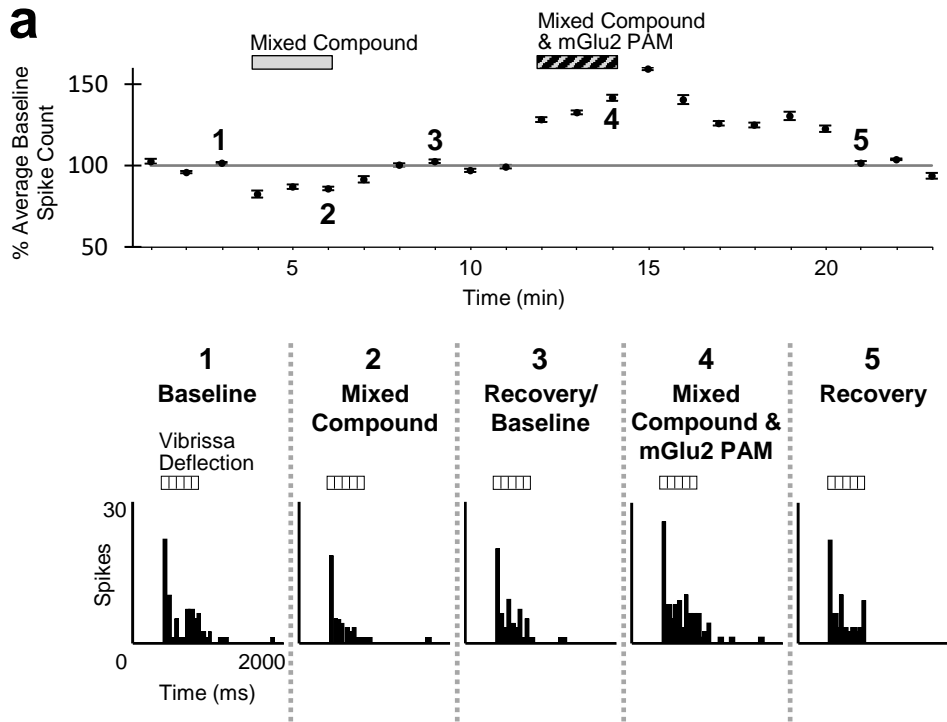
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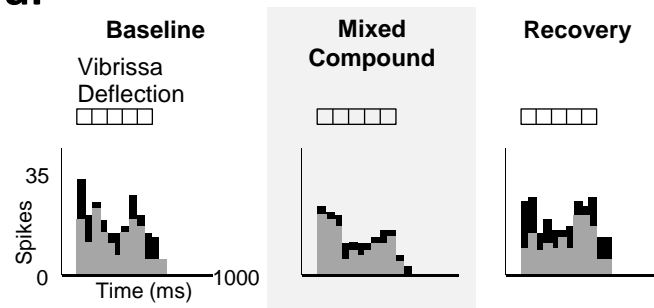
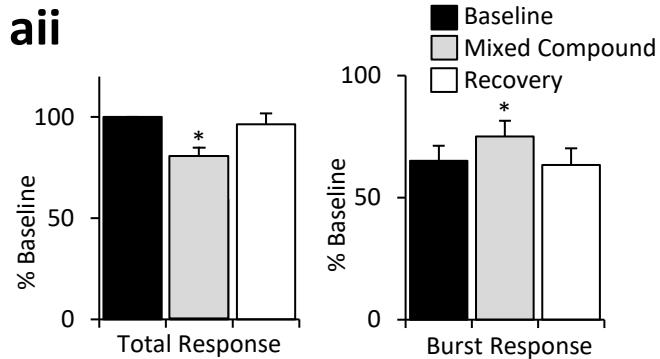
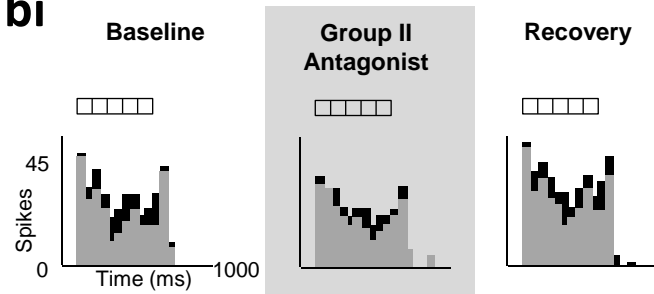
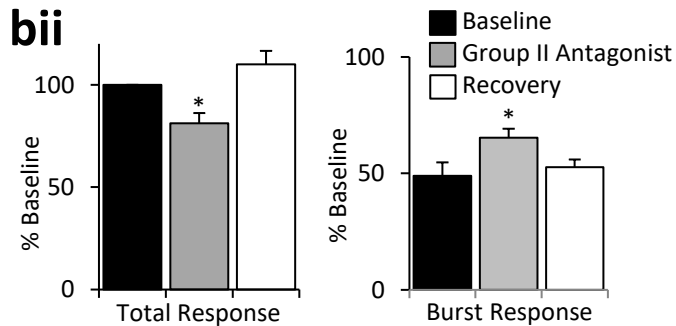


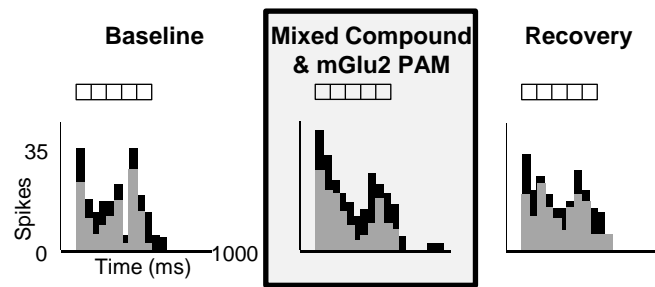
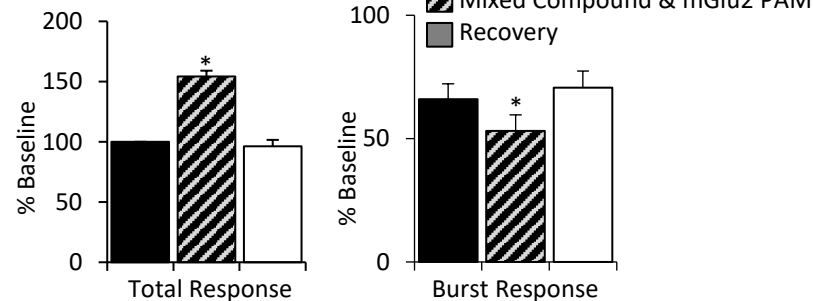
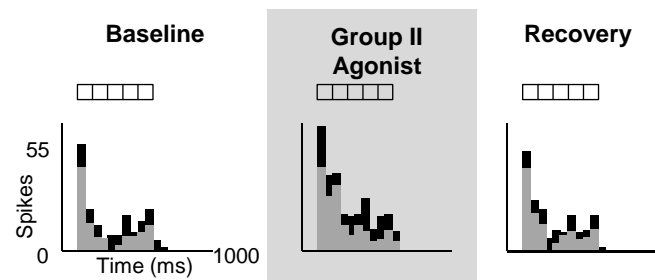
# mGlu3 Knock Out







**ai****aii****bi****bii**

**ai****a ii****bi****b ii**