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3 **From dried bear bile to molecular investigation: a systematic review of the**
4 **effect of bile acids on cell apoptosis, oxidative stress and inflammation in**
5 **the brain, across pre-clinical models of neurological, neurodegenerative**
6 **and neuropsychiatric disorders**

7

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20 **Abstract**

21 Bile acids, mainly ursodeoxycholic acid (UDCA) and its conjugated species
22 glyoursodeoxycholic acid (GUDCA) and tauroursodeoxycholic acid (TUDCA) have
23 long been known to have anti-apoptotic, anti-oxidant and anti-inflammatory properties.
24 Due to their beneficial actions, recent studies have started to investigate the effect of
25 UDCA, GUDCA, TUDCA on the same mechanisms in pre-clinical models of
26 neurological, neurodegenerative and neuropsychiatric disorders, where increased cell
27 apoptosis, oxidative stress and inflammation in the brain are often observed. A total of
28 thirty-five preclinical studies were identified through PubMed/Medline, Web of
29 Science, Embase, PsychInfo, and CINAHL databases, investigating the role of the
30 UDCA, GUDCA and TUDCA in the regulation of brain apoptosis, oxidative stress and
31 inflammation, in pre-clinical models of neurological, neurodegenerative and
32 neuropsychiatric disorders. Findings show that UDCA reduces apoptosis, reactive
33 oxygen species (ROS) and tumour necrosis factor (TNF)- α production in
34 neurodegenerative models, and reduces nitric oxide (NO) and interleukin (IL)-1 β
35 production in neuropsychiatric models; GUDCA decreases lactate dehydrogenase,
36 TNF- α and IL-1 β production in neurological models, and also reduces cytochrome c
37 peroxidase production in neurodegenerative models; TUDCA decreases apoptosis in
38 neurological models, reduces ROS and IL-1 β production in neurodegenerative models,
39 and decreases apoptosis and TNF- α production, and increases glutathione production
40 in neuropsychiatric models. In addition, findings suggest that all the three bile acids
41 would be equally beneficial in models of Huntington's disease, whereas UDCA and

42 TUDCA would be more beneficial in models of Parkinson's disease and Alzheimer's
43 disease, while GUDCA in models of bilirubin encephalopathy and TUDCA in models
44 on depression. Overall, this review confirms the therapeutic potential of UDCA,
45 GUDCA and TUDCA in neurological, neurodegenerative and neuropsychiatric
46 disorders, proposing bile acids as potential alternative therapeutic approaches for
47 patients suffering from these disorders.

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64 **Introduction**

65 Ursodeoxycholic acid (UDCA), is a bile acid endogenously produced in small
66 quantities in human bile and in larger quantities in certain species of bear bile (Qiao et
67 al., 2011; Shoda, 1927; Tint et al., 1990). Dried bear bile has been used for centuries in
68 China as a remedy for fever, inflammation, swelling and pain (Feng et al., 2009; Zhou
69 et al., 2015), particularly for several liver conditions (Beuers et al., 1998). From the
70 prospective of traditional Chinese medicine, bear bile shows remarkably abilities to
71 clear ‘liver heat’ and reduce ‘liver fire’, which refer to the pathological phenomenon
72 related to the liver (Li et al., 2016). Along with the rising concerns about endangered
73 species, over the decades scientists have developed new ways of producing it through
74 the chemical transformation of cholic acid and chenodeoxycholic acid from bovine bile
75 (Eggert et al., 2014). Currently, UDCA is widely used as the first-line therapy for
76 cholestatic hepatopathies and the only one approved by the United States Food and
77 Drug Administration (FDA) for the treatment of primary biliary cirrhosis (Amaral et al.,
78 2009). Moreover, its use has recently been expanded to other hepatic diseases, like
79 intrahepatic cholestasis (Zhang et al., 2016b), and even to extrahepatic ones, such as
80 inflammatory bowel diseases (Van den Bossche et al., 2017). The versatility is
81 attributed to its multiple mechanisms of action, including reducing cell death, as well
82 as exerting anti-oxidant and anti-inflammatory properties (Roma et al., 2011).

83

84 UDCA is a hydrophilic tertiary bile acid, when given orally, unconjugated UDCA
85 is rapidly conjugated in the liver with glycine to form glyoursodeoxycholic acid

86 (GUDCA) in humans, and to a lesser extent with taurine to form tauroursodeoxycholic
87 acid (TUDCA) (Invernizzi et al., 1999; Rudolph et al., 2002) (Fig.1). Both conjugated
88 and unconjugated UDCA can be detected in the blood and brain of humans and rodents
89 (Chen et al., 2020a; Huang et al., 2015; Pan et al., 2017; Tao et al., 2021; Xie et al.,
90 2013). Accordingly, pre-clinical evidence shows that both UDCA and GUDCA can
91 slowly penetrate in the brain across the blood-brain barrier (BBB) endothelium
92 (Palmela et al., 2015). In humans, UDCA can enter the cerebrospinal fluid after been
93 given orally (Parry et al., 2010), and similarly, TUDCA can easily cross the BBB in
94 mice and improve cerebral blood flow (Chen et al., 2020b). As a result, it is thought
95 that the principal source of conjugated and unconjugated UDCA in the brain comes
96 from peripheral circulation (Kiryama and Nochi, 2019), but UDCA synthesis in the
97 brain should also not be excluded.

98
99 Once in the brain, UDCA and its amidated conjugates, GUDCA and TUDCA, are
100 known to exert neuroprotective properties, which consist on reducing cell apoptosis,
101 oxidative stress and inflammation (Vaz et al., 2019; Zangerolamo et al., 2021a). For
102 instance, UDCA and TUDCA were found to reduce neuronal loss in prion-infected
103 cerebellar slice cultures (Cortez et al., 2015); TUDCA was also able to prevent
104 oxidative stress induced by elevated glucose concentration in cultured retinal neural
105 cells through decreasing reactive oxygen species (ROS) production (Gaspar et al.,
106 2013); while GUDCA was demonstrated to promote resolution of inflammation via
107 reducing tumor necrosis factor (TNF)- α and interleukin (IL-1)- β cytokines expression

108 in transduced microglial cell line (Vaz et al., 2019).

109

110 Interestingly, changes in brain apoptosis, oxidative stress and inflammation have
111 been identified as three of the main pathophysiological mechanisms characterizing
112 neurological (Hilton et al., 2006; Rawat et al., 2018; Xu et al., 2018), neurodegenerative
113 (Cai and Xiao, 2016; Gelders et al., 2018; Hickey and Chesselet, 2003; Jenner, 2003;
114 Liu et al., 2019; Sanchez-Lopez et al., 2012), and neuropsychiatric disorders (Borsini
115 et al., 2020; Jahanbazi Jahan-Abad et al., 2018; Kohler et al., 2016; Liu et al., 2015).

116 For example, several pre-clinical studies observed higher apoptosis in brain cells
117 exposed to 1-methyl-4-phenylpyridinium (MPP)/1-methyl-4-phenyl-1,2,3,6-
118 tetrahydropyridine (MPTP) or interferon (IFN)- α models of Parkinson's disease (PD)
119 and depression, respectively (Borsini et al., 2018; Hilton et al., 2006; Sun et al., 2018).

120 Similar to apoptosis, increased production of pro-inflammatory cytokines, such as
121 TNF- α , IL-6 and IFN- γ , as well as excessive levels of oxidative stress products are often
122 observed in at least a sub-group of patients with neurological disorders, such as those
123 experiencing acute encephalitis/encephalopathy (Ichiyama et al., 1998) and cerebral
124 ischemia (Ewelina et al., 2017), or those with neurodegenerative disorders, like PD
125 (Chen et al., 2008) and Alzheimer's disease (AD) (Terranova et al., 2001), or those with
126 neuropsychiatric disorders, such as depression (Maes et al., 1998; Pariante, 2019).

127

128 Due to the ability of bile acids in reducing cell apoptosis, oxidative stress and
129 inflammation, recent studies have started to investigate the effect of UDCA, GUDCA

130 and TUDCA on those aforementioned mechanisms also in the context of neurological,
131 neurodegenerative and neuropsychiatric disorders (Cheng et al., 2019; McMillin and
132 DeMorrow, 2016). This is the first systematic review which summarizes evidence
133 generated so far and demonstrating the role of UDCA, GUDCA and TUDCA in the
134 regulation of apoptosis, oxidative stress and inflammation in the brain, across *in vivo*,
135 *ex vivo* and *in vitro* models of neurological, neurodegenerative and neuropsychiatric
136 disorders, or in homeostatic state.

137

138 **1. Methods**

139 We searched for studies including keywords related to bile acids, and either cell
140 apoptosis or oxidative stress or inflammation using the following algorithm ((UDCA)
141 OR (ursodeoxycholic acid) OR (GUDCA) OR (glyoursodeoxycholic acid) OR
142 (TUDCA) OR (taoursodeoxycholic acid)) AND ((apoptosis) OR (oxidative stress)
143 OR (inflammation)). The study protocol was registered on PROSPERO (ID:
144 CRD42021223744) prior to the systematic literature search. The search was carried out
145 using PubMed/Medline, Web of Science, Embase, PsychInfo, and CINAHL databases.
146 We included *in vivo*, *ex vivo* and *in vitro* studies investigating the role of the three bile
147 acids, UDCA, GUDCA and TUDCA in models of neurological, neurodegenerative and
148 neuropsychiatric disorders, or in homeostatic state conditions. In addition, studies had
149 to include outcomes of cell apoptosis, oxidative stress or inflammation. Studies
150 excluded from the search were or contained one or more of the following: no markers
151 of cell apoptosis, oxidative stress or inflammation, not published in English language,

152 or investigated models in the context of conditions out of our scope (gene modification
153 models, surgical models, prion infection models and retinal disease models). A total of
154 35 studies met the criteria for our review at the end of the selection process (Fig.2), and
155 were assessed for risk of bias following the SYRCLE guidelines for animal studies
156 (Hooijmans et al., 2014) (Suppl. Material 1), and with previously used questionnaires
157 for cellular studies (AlShwaimi et al., 2016; Golbach et al., 2016) (Suppl. Material 2).

158

159 **2. Results**

160 In this section of the review, we will summarise findings from 34 articles
161 reporting changes in brain cell apoptosis, oxidative stress and inflammation in *in vivo*,
162 *ex vivo* and *in vitro* studies, nine of which using treatment with UDCA, six using
163 GUDCA, and twenty-one using TUDCA (see Table 1).

164

165 **2.1 UDCA**

166 ***2.1.1 Models of neurological disorders***

167 One *ex vivo* study investigated the effect of treatment with UDCA on apoptosis
168 in models of neurological disorders. Findings show that UDCA prevents cell apoptosis
169 in models of encephalopathy. In particular, the *ex vivo* study, conducted in foetal
170 neurons and astrocytes isolated from rats, found that treatment with UDCA prevents
171 the increase in the number of TUNEL positive apoptotic cells induced by treatment with
172 bilirubin, here used as a model of encephalopathy (Silva et al., 2001).

173

174 ***2.1.2 Models of neurodegenerative and neuropsychiatric disorders***

175 One *in vivo*, two *ex vivo* and four *in vitro* studies investigated the effect of
176 treatment with UDCA in models of neurodegenerative disorders, like PD, AD and
177 Huntington's Disease (HD), and one *ex vivo* study in models of neuropsychiatric
178 disorders, particularly depression. Together they show that, independently of the model,
179 treatment with UDCA prevents increase in cell apoptosis, oxidative stress and
180 inflammation induced by the various challenges used to model the aforementioned
181 disorders.

182
183 In particular, the first *in vivo* study used a rotenone-induced PD model
184 (Abdelkader et al., 2016), whereas the second *in vitro* study used a sodium nitroprusside
185 (SNP)-induced PD model (Chun and Low, 2012), and the third *in vitro* study used
186 MPP⁺-induced PD model (Qi et al., 2021). All studies showed that treatment with
187 UDCA prevents activation of the apoptotic marker caspase 9, and decrease in TNF- α
188 and IL-1 β production in the striatum of rotenone-treated rats (Abdelkader et al., 2016),
189 and prevents production of ROS in SNP-induced human neuroblastoma cells (Chun and
190 Low, 2012) and MPP⁺-induced mouse neuroblastoma cells (Qi et al., 2021).

191
192 Three other studies used amyloid- β (A β) models of AD. The two *ex vivo* study
193 conducted in rats showed that UDCA prevents cell death induced by A β in cortical
194 neurons using Hoechst staining (Sola et al., 2006), as well as the production of IL-1 β
195 and nitric oxide (NO) in microglia exposed to A β (Joo et al., 2003). The other one *in*

196 *in vitro* studies found that UDCA prevents production of TNF- α and NO in BV2 microglia
197 previously exposed to A β (Joo et al., 2004).

198

199 Another *in vitro* study used treatment with 3-Nitropropionic acid (3-NP) as a
200 model of HD. The study showed that UDCA prevents mitochondrial release of
201 cytochrome c, a well-known indicator of apoptosis, in rat neuronal RN33B cells
202 (Rodrigues et al., 2000). Finally, one aforementioned *ex vivo* study also used
203 lipopolysaccharide (LPS) as a model of inflammation-induced depression and showed
204 that UDCA inhibits the production of IL-1 β and NO in rat microglia (Joo et al., 2003).

205

206 **2.1.3 Homeostatic state**

207 Four *ex vivo* and four *in vitro* studies, six of which previously mentioned (Chun
208 and Low, 2012; Joo et al., 2003; Joo et al., 2004; Rodrigues et al., 2000; Silva et al.,
209 2001; Sola et al., 2006) investigated the effect of UDCA on apoptosis, oxidative stress
210 or inflammation in homeostatic conditions. Overall, studies showed that UDCA does
211 not change cell apoptosis, and levels of oxidative stress and inflammation.

212

213 Five *ex vivo* and *in vitro* studies showed that UDCA does not affect cell
214 apoptosis in neurons (Rodrigues et al., 2000; Silva et al., 2001; Sola et al., 2006),
215 astrocytes (Silva et al., 2001), human neuroblastoma cells (Chun and Low, 2012) and
216 human glioblastoma cells (Yao et al., 2020). However, one *in vitro* study found that
217 treatment with UDCA increases ROS production in glioblastoma cells (Yao et al., 2020),

218 while another *in vitro* study did not find any changes in ROS production in human
219 neuroblastoma cells (Chun and Low, 2012). In terms of inflammation, one *ex vivo* and
220 one *in vitro* study showed that UDCA does not change levels of NO and IL-1 β or
221 inducible nitric oxide synthase (iNOS) production in rat primary microglial cells (Joo
222 et al., 2003) and BV2 microglia (Joo et al., 2004).

223

224 **2.2 GUDCA**

225 ***2.2.1 Models of neurological disorders***

226 Five *ex vivo* studies investigated the effect of treatment with GUDCA on
227 apoptosis, oxidative stress or inflammation in a bilirubin model of neurological
228 disorders. Altogether, these studies showed that GUDCA administration has anti-
229 apoptotic and anti-oxidant effects, but no anti-inflammatory effects.

230

231 In particular, one *ex vivo* study showed that GUDCA prevents bilirubin-induced
232 expression of caspase 3 and caspase 9 in rat primary neurons (Vaz et al., 2010). In
233 addition, another three *ex vivo* studies found that GUDCA prevents reduction in
234 apoptosis, using Hoechst staining, in rat cortical neurons and organotypic-cultured
235 hippocampal slices (Silva et al., 2012), in neuron monocultures and in rat cortical
236 neuron-astrocyte co-cultures (Falcao et al., 2014), and in rat cortical astrocytes
237 (Fernandes et al., 2007).

238

239 With respect to oxidative stress, two aforementioned *ex vivo* studies demonstrated

240 that GUDCA prevents bilirubin-induced production of lactate dehydrogenase (LDH)
241 (Fernandes et al., 2007), as well as oxygen consumption extracellular adenosine
242 triphosphate (ATP) release (Vaz et al., 2010). Similarly, another *in vitro* study showed
243 that GUDCA prevents bilirubin-induced reduction of glutathione (GSH) in rat neurons
244 (Brito et al., 2008). With respect to inflammation, one aforementioned *ex vivo* study
245 showed that GUDCA prevents TNF- α , IL-1 β and IL-6 production induced by bilirubin
246 (Fernandes et al., 2007), while another *ex vivo* study, also previously mentioned, did
247 not find any effect (Falcao et al., 2014).

248

249 ***2.2.2 Models of neurodegenerative disorders***

250 Only one aforementioned *in vitro* study also investigated the effect of GUDCA
251 in a neurodegenerative model of HD, induced by the neurotoxic 3-NP. Results showed
252 that GUDCA prevents release of cytochrome c in rat neuronal RN33B cells (Rodrigues
253 et al., 2000).

254

255 ***2.2.3 Homeostatic state***

256 Five *ex vivo* and one *in vitro* study previously mentioned (Brito et al., 2008;
257 Falcao et al., 2014; Fernandes et al., 2007; Rodrigues et al., 2000; Silva et al., 2012;
258 Vaz et al., 2010) investigated the effect of GUDCA on apoptosis, oxidative stress and
259 inflammation in homeostatic state conditions. Together, these studies showed that,
260 GUDCA treatment has no effect on all three aforementioned mechanisms.

261

262 In particular, all studies showed that GUDCA does not affect apoptosis,
263 measured by either propidium iodide (PI), Hoechst or Annexin V+/7-AAD staining;
264 oxidative stress, measured by cytochrome c, ATP, NO or LDH production; and
265 inflammation, measured by TNF- α , IL-1 β or IL-6 production, in rat neurons (Brito et
266 al., 2008; Falcao et al., 2014; Silva et al., 2012; Vaz et al., 2010), rat astrocytes (Falcao
267 et al., 2014; Fernandes et al., 2007), rat neuronal RN33B cells (Rodrigues et al., 2000)
268 and rat neurons-astrocytes co-cultured cells (Falcao et al., 2014).

269

270 **2.3 TUDCA**

271 ***2.3.1 Models of neurological disorders***

272 One *in vivo* study and one *in vitro* study investigated the anti-apoptotic effect of
273 TUDCA in models of neurological disorders. In the first *in vivo* study, administration
274 of TUDCA before or after stereotaxic collagenase injection, used here as a model of
275 intracerebral hemorrhage, prevented or rescued caspase protein expression, as well as
276 nuclear factor kappa-light-chain-enhancer of activated B cells (NF- κ B) expression in
277 female rat brain (Rodrigues et al., 2003). However, another *in vitro* study found that
278 TUDCA has no effect on apoptosis in human neuroblastoma cells exposed to
279 oxygen-glucose deprivation-reoxygenation (OGD/R) treatment, used here as a model
280 of cerebral ischemia (Cheng et al., 2018).

281

282 ***2.2.2 Models of neurodegenerative disorders***

283 Six *in vivo*, six *ex vivo* and five *in vitro* studies, two of which previously

284 mentioned (Rodrigues et al., 2000; Sola et al., 2006) investigated the effect of TUDCA
285 on cell apoptosis, oxidative stress and inflammation in HD, AD and PD models of
286 neurodegeneration. Altogether, these studies showed that, treatment with TUDCA
287 exerts anti-apoptotic, antioxidant and anti-inflammatory properties in the various
288 models.

289

290 On *in vivo* and one *in vitro* study used 3-NP as model of HD, and showed that
291 TUDCA prevents cell apoptosis, measured by Hoechst staining, in rat striatal (Keene et
292 al., 2001) and in rat neuronal RN33B cells (Rodrigues et al., 2000). Three other *ex vivo*
293 and one *in vitro* study, using an A β model of AD, found that TUDCA prevents increase
294 in apoptosis, measured in this case by TUNEL staining, in primary rat cortical neurons
295 (Sola et al., 2006; Sola et al., 2003), rat cortical and hippocampal neurons (Ramalho et
296 al., 2013), and rat neuronal-like PC12 cells (Ramalho et al., 2004; Viana et al., 2010).
297 Similarly, another *ex vivo* study, using glutamate-induced model of acute and chronic
298 neurodegenerative disorders, showed that TUDCA prevents neuronal apoptosis in rat
299 cortical neurons by reducing Hoechst staining (Castro et al., 2004), whereas another
300 study, conducting both *ex vivo* and *in vitro* experiments, showed that TUDCA prevents
301 advanced glycation endproducts (AGEs)-induced expression of the apoptotic marker
302 caspase 9 in rat cortical neurons and in human neuroblastoma cells exposed to AGEs,
303 used here as model of AD (Yin et al., 2012). In addition, one *in vivo* study, using 6-
304 hydroxydopamine (6-OHDA) as model of PD, showed that TUDCA prevents increase
305 in apoptosis, using TUNEL staining, in rat striatum (Duan et al., 2002).

306

307 With respect to oxidative stress, two *in vivo*, one *ex vivo* and two *in vitro* studies,
308 using either MPTP or MPP⁺-induced model of PD, showed that TUDCA prevents ROS
309 production in mice midbrain and striatum (Moreira et al., 2017), in cortical neurons
310 (Rosa et al., 2017), as well as in human neuroblastoma cells (Moreira et al., 2017; Rosa
311 et al., 2017). Similarly, another *in vivo* study, using the same MPTP model of PD
312 showed that TUDCA prevents increase in glutathione peroxidase (GPx) production in
313 mice cortex (Mendes et al., 2019). With respect to inflammation, the same study showed
314 that TUDCA prevents IL-1 β expression in the same mice brain region (Mendes et al.,
315 2019), while another *in vivo* study used streptozotocin as the model of AD, and showed
316 that TUDCA inhibits TNF- α , IL-1 β and IFN- γ mRNA expression in the hippocampus
317 of male mice (Zangerolamo et al., 2021b).

318

319 ***2.3.3 Models of neuropsychiatric disorders***

320 Four *in vivo*, two *ex vivo* and one *in vitro* studies investigated the effect of
321 TUDCA on cell apoptosis, oxidative stress and inflammation in LPS-induced models
322 of depression. Overall, studies showed that treatment with TUDCA exerts anti-
323 apoptotic, anti-oxidant and anti-inflammatory properties in this model.

324

325 In one *in vivo* study, TUDCA prevents increase in apoptosis, measured by
326 Hoechst staining, in mice hippocampus (Wu et al., 2019). With respect to inflammation,
327 four *in vivo* studies and one *in vitro* study showed that TUDCA prevents increase in

328 TNF- α and IL-6 production in mice hippocampus (Wu et al., 2019) and prefrontal
329 cortex (Cheng et al., 2019), and in BV2 microglia cells (Kim et al., 2018). In addition,
330 two *ex vivo* studies showed that TUDCA prevents production of iNOS in primary
331 astrocytes (Yanguas-Casas et al., 2014) and microglial cells (Yanguas-Casas et al., 2014,
332 2017). Similarly, in one *in vitro* study and one *in vivo* study, TUDCA prevents iNOS
333 production in BV2 microglia cells (Kim et al., 2018), and increases GSH production in
334 mice hippocampus and prefrontal cortex (Cheng et al., 2019).

335

336 **2.3.4 Homeostatic state**

337 Two *in vivo*, eleven *ex vivo* and six *in vitro* studies, fourteen of which previous
338 mentioned (Castro et al., 2004; Cheng et al., 2019; Keene et al., 2001; Kim et al., 2018;
339 Moreira et al., 2017; Ramalho et al., 2013; Ramalho et al., 2004; Rodrigues et al., 2000;
340 Rosa et al., 2017; Sola et al., 2006; Sola et al., 2003; Viana et al., 2010; Yanguas-Casas
341 et al., 2014, 2017) investigated the effect of TUDCA treatment on apoptosis, oxidative
342 stress and inflammation in homeostatic conditions. Overall, these studies showed that
343 TUDCA does not affect cell apoptosis and inflammation, but might regulate oxidative
344 stress products to some extent.

345

346 Generally, TUDCA does not affect apoptosis in female rat striata (Keene et al.,
347 2001), in primary rat cortical and hippocampal neurons (Castro et al., 2004; Ramalho
348 et al., 2013; Sola et al., 2006; Sola et al., 2003), in rat neuronal RN33B cells (Rodrigues
349 et al., 2000), and in human neuroblastoma cells and PC12 neuronal cells (Ramalho et

350 al., 2004; Viana et al., 2010). TUDCA also does not affect inflammation in mice
351 hippocampus and prefrontal cortex (Cheng et al., 2019; Yanguas-Casas et al., 2017), in
352 primary rat cortical and hippocampal cells (Ramalho et al., 2013), in rat primary
353 microglia and astrocytes (Yanguas-Casas et al., 2014, 2017), as well as in BV2
354 microglia cells (Kim et al., 2018).

355 With respect to oxidative stress, TCDCA however increases mitochondrial
356 membrane potential ($\Delta\Psi_m$) and, surprisingly, also ATP level in primary mouse cortical
357 neurons (Rosa et al., 2017), but increases production of the antioxidant enzyme
358 manganese superoxide dismutase (MnSOD) in (Soares et al., 2018) in rat hippocampal
359 stem/progenitor cells and decreases ATP release in mice primary neural stem cells
360 (Xavier et al., 2014). However, TUDCA has no effect on ROS, LDH, GPx or GSH
361 production in mice hippocampus, striatum, midbrain and prefrontal cortex (Cheng et
362 al., 2019; Moreira et al., 2017), in primary mice cortical neurons (Rosa et al., 2017) and
363 human neuroblastoma cells (Moreira et al., 2017; Rosa et al., 2017).

364

365 **3. Discussion**

366 This is the first review summarising current evidence for the effects of UDCA
367 and its glycine- and taurine-coupled conjugates GUDCA and TUDCA on brain cell
368 apoptosis, oxidative stress and inflammation in models of neurological,
369 neurodegenerative and neuropsychiatric disorders, as well as in homeostatic state.
370 Overall, these findings suggest that all the three bile acids exert anti-apoptotic, anti-
371 antioxidant and anti-inflammatory effects across neurological, neurodegenerative and

372 neuropsychiatric models. In particular, UDCA reduces apoptosis, ROS and TNF- α
373 production in neurodegenerative models, and reduces NO and IL-1 β production in
374 neuropsychiatric models; GUDCA decreases LDH, TNF- α and IL-1 β production in
375 neurological models, and also reduces cytochrome c peroxidase production in
376 neurodegenerative models; TUDCA decreases apoptosis in neurological models,
377 reduces ROS and IL-1 β production in neurodegenerative models, and decreases
378 apoptosis and TNF- α production, and increases GSH production in neuropsychiatric
379 models (Fig.3). In addition, findings suggest that all the three bile acids would be
380 equally beneficial in models of HD, whereas UDCA and TUDCA would be more
381 beneficial in models of PD and AD, while GUDCA in models of bilirubin
382 encephalopathy and TUDCA in models on depression.

383

384 First of all, the number of studies which investigated the effect of UDCA in
385 neurological models was relatively small, while UDCA properties were well
386 documented in a wide range of neurodegenerative models. In these models the
387 beneficial effects of this bile acid were at least in part mediated by prevention of
388 mitochondrial dysfunction. In particular, treatment with UDCA modulates
389 mitochondrial homeostasis perturbations in the rotenone-induced rat model
390 (Abdelkader et al., 2016), and prevents mitochondria-dependent programmed cell death
391 caused by treatment with SNP and MPP, in both human and mice neuroblastoma cells
392 (Chun and Low, 2012; Qi et al., 2021). These mitochondria-mediated mechanisms are
393 quite relevant in order to understand how this acid exerts its properties, especially in

394 the context of neurodegenerative conditions. Of relevance, two undergoing clinical
395 trials are now testing UDCA in patients with PD: while the first study primarily
396 investigates safety and tolerability of UDCA in these patients (Clinical Trials
397 registration: NCT03840005), the second one aims to assess whether UDCA can reduce
398 PD progression and ultimately its symptomatology (Clinical Trials registration:
399 NCT02967250) (Zangerolamo et al., 2021a). Findings from these trials will be
400 fundamental to further validate the pre-clinical evidence outlined in this review, and
401 will corroborate knowledge for the beneficial role of UDCA in delaying or reducing PD
402 neurodegeneration.

403

404 In contrast with UDCA, studies on GUDCA mainly focused on bilirubin-induced
405 models of encephalopathy. In particular, findings from our review showed that GUDCA
406 can prevent bilirubin-induced mitochondrial energy impairment in rat primary neurons
407 (Vaz et al., 2010), as well as TNF- α and IL-1 β production in rat primary astrocytes,
408 ultimately reducing cell apoptosis and inflammation (Fernandes et al., 2007). In
409 addition, evidence suggests that, as a consequence of its anti-inflammatory properties,
410 GUDCA can also indirectly affect other downstream mechanisms, such as synaptic
411 plasticity (Silva et al., 2012), which is indeed very sensitive to inflammation (Golia et
412 al., 2019). Therefore, this confirms the involvement of this bile acid not only in the anti-
413 apoptotic and anti-inflammatory pathways previously identified and discussed in this
414 review, but also in several other downstream mechanisms, such as brain plasticity,
415 which are highly relevant in the context of neurological disorders (Martella et al., 2018).

416 Instead, TUDCA has been widely investigated in both models of
417 neurodegenerative and neuropsychiatric conditions. In models of neurogenerative
418 disorders, TUDCA decreases A β - and 3-NP-induced neuronal cell death (Rodrigues et
419 al., 2000; Sola et al., 2003), whereas in models of neuropsychiatric disorders, the effect
420 of TUDCA mostly results in preventing increased neuroinflammation, such as elevated
421 TNF- α and IL-6, caused by treatment with LPS. Part of TUDCA anti-inflammatory
422 action consists in reducing NF- κ B activation and regulating brain-derived neurotrophic
423 factor expression (Wu et al., 2019; Yanguas-Casas et al., 2017), both of which are often
424 identified in both pre-clinical and clinical studies in depression (Bai et al., 2021; Zhang
425 et al., 2016a). Another possible target of TUDCA anti-inflammatory effect may be the
426 G protein-coupled bile acid receptor 1/Takeda G protein-coupled receptor 5
427 (GPBAR1/TGR5) (Yanguas-Casas et al., 2017), which has been found to mediate anti-
428 inflammatory effect (Keitel et al., 2019) and its agonist have been reported to alleviate
429 delayed neuronal degeneration (Hu et al., 2021) and neurodegeneration (Wu et al.,
430 2018).

431

432 Although UDCA, TUDCA and GUDCA have been proven to significantly
433 reduce cell apoptosis, oxidative stress and inflammation in pre-clinical models of
434 neurological, neurodegenerative and neuropsychiatric conditions, each of those has
435 shown its unique properties and level of efficacy, with respect to a specific brain
436 condition. For example, UDCA and GUDCA maybe more effective for bilirubin
437 encephalopathy, whereas UDCA and TUDCA for AD, with TUDCA also effective in

438 depression. While these pre-clinical findings are quite interesting, more research is
439 needed in clinical context, in order to identify the best acid-specific treatment strategy,
440 also taking also into account their individual bioavailability. In particular, TUDCA is
441 better absorbed by the intestine than GUDCA (Aldini et al., 1996), and undergoes less
442 biotransformation than UDCA (Invernizzi et al., 1999), therefore suggesting that
443 TUDCA has significant metabolic advantages over UDCA and GUDCA. This, together
444 with pre-clinical evidence outlined in our review, will definitely guide future
445 therapeutic approaches.

446

447 Surprisingly, in homeostatic state, most of the studies showed that bile acids do
448 not affect cell apoptosis or inflammation, especially when used within a certain range
449 of concentrations (50-800 μ M for UDCA; 50 μ M for GUDCA; 50-500 μ M or 50-400
450 mg/kg for TUDCA). However, UDCA was able to reduce the cell apoptosis in two
451 different kinds of brain blastoma cells (Chun and Low, 2012; Yao et al., 2020), the dual
452 pro-apoptotic and anti-apoptotic properties of UDCA represent the characteristic traits
453 of bile acids (Goossens and Bailly, 2019). Despite additional experiments are still
454 required to confirm the anticancer activity of UDCA in the brain, it shows promise as a
455 potential therapeutic agent for the therapy of brain cancer. Apart from that, TUDCA
456 was able to regulated the production of oxidative stress molecules across a variety of
457 brain cells (Moreira et al., 2017; Rosa et al., 2017; Soares et al., 2018; Xavier et al.,
458 2014). For example, TUDCA increased $\Delta\Psi_m$ in mice primary cortical neurons and HO-
459 1 in human neuroblastoma cells when used at 100 μ M (Moreira et al., 2017; Rosa et al.,

460 2017). $\Delta\Psi_m$ forms the transmembrane potential of hydrogen ions which is harnessed
461 to make ATP (Zorova et al., 2018), while HO-1 protects cells by reducing superoxide
462 and other ROS (Uddin et al., 2020). Similarly, TUDCA inhibited production of ROS
463 products in mice neural stem cells, when used both at 50 and 100 μ M (Soares et al.,
464 2018; Xavier et al., 2014). However, further concentration-dependent experiments are
465 still required to confirm these preliminary findings.

466

467 This review has few limitations that should be considered, such as the variety
468 of models and the numerous molecules that were manipulated. Additionally, dosage and
469 route of administration among bile acids also varied significantly across studies.
470 However, so far, our review consistently showed strong beneficial anti-apoptotic, anti-
471 oxidant and anti-inflammatory effects of UDCA and its taurine/glycine conjugated
472 species across a variety of pre-clinical models of neurological, neurodegenerative and
473 neuropsychiatric disorders. Future research will allow to identify which bile acid would
474 be the most effective for each specific disorder, and ultimately to develop more
475 personalized dosages and treatment duration strategies for patients suffering from these
476 conditions.

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482 **Declaration of Competing Interest**

483 The authors of this manuscript declare no conflicts of interest.

484

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