Very Early Brain Damage Leads to Remodeling of the Working Memory System in Adulthood: A Combined fMRI/Tractography Study

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The human brain can adapt to overcome injury even years after an initial insult. One hypothesis states that early brain injury survivors, by taking advantage of critical periods of high plasticity during childhood, should recover more successfully than those who suffer injury later in life. This hypothesis has been challenged by recent studies showing worse cognitive outcome in individuals with early brain injury, compared with individuals with later brain injury, with working memory particularly affected. We invited individuals who suffered perinatal brain injury (PBI) for an fMRI/diffusion MRI tractography study of working memory and hypothesized that, 30 years after the initial injury, working memory deficits in the PBI group would remain, despite compensatory activation in areas outside the typical working memory network. Furthermore we hypothesized that the amount of functional reorganization would be related to the level of injury to the dorsal cingulum tract, which connects medial frontal and parietal working memory structures. We found that adults who suffered PBI did not significantly differ from controls in working memory performance. They exhibited less activation in typical fronto-parietal working memory areas and a relative overactivation of nontask-specific brain areas (perisylvian cortex) compared with controls. Structurally, the dorsal cingulum volume and hindrance-modulated orientational anisotropy was significantly reduced in the PBI group. Furthermore there was uniquely in the PBI group a significant negative correlation between the volume of this tract and activation in the bilateral perisylvian cortex and a positive correlation between this activation and task performance. This provides the first evidence of compensatory plasticity of the working memory network following PBI.

Key words: fMRI; perinatal brain injury; plasticity; spherical deconvolution; tractography; working memory

Significance Statement

Here we used the example of perinatal brain injury (PBI) associated with very preterm birth to study the brain’s ability to adapt to injury sustained early in life. In adulthood, individuals with PBI did not show significant deficits in working memory, but exhibited less activation in typical frontoparietal working memory areas. They also showed a relative overactivation of nontask-specific brain areas (perisylvian cortex) compared with controls, and such activation was negatively correlated with the size of white matter pathways involved in working memory (dorsal cingulum). Furthermore, this “extra” activation was associated with better working memory performance and could represent a novel compensatory mechanism following PBI. Such information could inform the development of neuroscience-based cognitive interventions following PBI.
Introduction

Despite great clinical effort, babies born very preterm (VPT; <32 weeks of gestation) are vulnerable to prematurity-related brain injury (PBI; McCrea and Ment, 2008; Ballabh, 2014), which can cause long-term cognitive difficulties for affected individuals (Luu et al., 2009). A growing interest in studying the long-term effects of PBI stems from the discovery that plastic changes in the brain and improvements to affected functions can occur even many years after brain injury (Klein and Jones, 2008). The majority of research into the mechanisms of chronic-stage recovery from brain injury has focused on stroke patients, in whom age-related degenerative processes are likely to have already begun (Sudlow and Warlow, 1997; Sowell et al., 2003). The possibilities for successful reorganization of brain resources could be even greater following very early brain injury, as affected individuals may take advantage of “critical periods,” during which the brain is purported to have a higher capacity for plastic reorganization (Hensch, 2004).

One unique and potentially crucial difference between PBI and later injury is the fact that higher-order cognitive networks are yet to fully form at the time of PBI (Doria et al., 2010). By focusing on how specific cognitive networks adapt to PBI-related anatomical constraints, we may learn general principles of brain reorganization by which we can guide plasticity-promoting interventions, such as those successfully implemented in stroke patients (Wolf et al., 2006; Berthier and Pulvermüller, 2011). Working memory is a cognitive function that is commonly affected in children who have suffered PBI (Ross et al., 1996; Woodward et al., 2005; Beauchamp et al., 2008; Anderson et al., 2010). This function is critical to everyday functioning (Kane et al., 2007) and may underlie academic difficulties (Mulder et al., 2010). Little is known, however, about the degree of working memory disruption in adulthood, the neuroanatomical substrates of working memory following PBI, or possible mechanisms by which the brain may adapt following early insults.

Here, using functional MRI (during performance of an n-back working memory task) and damped Richardson–Lucy spherical deconvolution tractography (Dell’acqua et al., 2010), we investigated whether PBI induces functional and structural reorganization of the working memory system by adulthood. This method allowed us to investigate the hindrance-modulated orientational anisotropy (HMOA), a sensitive tract-specific index of tract microstructure and organization (Dell’Acqua et al., 2013), in areas of crossing fibers. HMOA has an advantage over traditional voxel-based measures, such as fractional anisotropy (FA), as it can assess fiber crossings and may underlie academic difficulties (Mulder et al., 2010). Little is known, however, about the degree of working memory disruption in adulthood, the neuroanatomical substrates of working memory following PBI, or possible mechanisms by which the brain may adapt following early insults.

Materials and Methods

Participants

fMRI study

PBI group. Twenty participants (10 females) who had evidence of neonatal periventricular hemorrhage (grade I–IV) and/or ventricular dilatation according to ultrasound diagnosis within 5 days of birth were recruited for the EMRI study from a cohort of individuals born very preterm admitted to the neonatal unit at University College Hospital, London, between 1979 and 1985.

Periventricular hemorrhage was classified as hemorrhage into the germinal layer or lateral ventricles (Stewart et al., 1983). Ventricular dilatation was classified as clear dilatation of one or both lateral ventricles with CSF, although not sufficient to meet the conditions for a diagnosis of hydrocephalus. The maximum ventricular width was measured in the coronal plane at the level of the foramen of Monro (Levene, 1981).

Exclusion criteria included severe head injury, stroke, multiple sclerosis, epilepsy, severe visual impairment, hearing and/or motor impairment, and pregnancy for females. See Table 2 for demographic information on all groups.

VPT-N group. Twenty-one individuals born very preterm (10 females), recruited from the same cohort described above, without evidence of perinatal brain injury, were also studied. Exclusion criteria were the same as the PBI group.

Term-born controls. Forty-six term born control participants (29 females) were recruited from previous studies and on-line advertisements. Inclusion criteria were full-term birth (38 – 42 weeks) and birth weight >2500 g. Exclusion criteria included any history of neurological conditions including meningitis, head injury, and cerebral infections.

Diffusion MRI tractography study

To increase our sensitivity to detect structural differences between groups, we increased the size of our cohort for the diffusion MRI tractography study. A further 17 individuals born very preterm with PBI, 15 VPT-N individuals, and 34 term-born control participants were recruited for the structural imaging study, so that the final groups for the structural study included 39 individuals with PBI, 36 individuals born...
very preterm without such injury (VPT-N), and 80 controls. The additional participants did not differ from the participants that completed the fMRI study in chronological age at assessment, gestational age at birth, birth weight, or IQ for any of the three groups (all \( p > 0.14 \)). There was a difference in gender distribution in the control group, with more males in the diffusion MRI cohort (\( \chi^2 = 4.565, p = 0.033 \)).

Ethical approval for the study was obtained from King’s College London Psychiatry, Nursing and Midwifery Research Ethics Subcommittee, in compliance with national legislation and the code of ethical principles for Medical Research Involving Human Subjects of the World Medical Association (Declaration of Helsinki). Written informed consent was obtained from all participants.

MRI acquisition

For the fMRI scan, 186 gradient-echo echo-planar images (TR/TE = 2000/30 ms; flip angle, 75°; matrix, 64 \times 64) were acquired on a 3 tesla GE Signa MR scanner (GE Healthcare) at the Institute of Psychiatry/Maudsley Hospital, King’s College London. Each whole-brain image contained 39 noncontiguous slices of 3.5 mm thickness separated by a distance of 0.5 mm, and with in-plane voxel resolution of 3.75 \times 3.75 mm\(^2\). For the diffusion-weighted imaging, 60 contiguous near-axial slices were acquired with no gap and the following parameters: rostrocaudal phase encoding; \( b \) value = 1300 s/mm\(^2\); TE = 105 ms; voxel size, 2.4 mm\(^3\); 32 diffusion-weighted directions and 4 nondiffusion weighted volumes, using a spin-echo EPI sequence. Peripheral cardiac gating was applied, with an effective TR of 20/30 R–R–R intervals.

T1-weighted images were also acquired (TR/TE/TI = 7.1/2.8/450 ms; matrix, 256 \times 256), allowing for 196 slices with no gap and an isotropic resolution of 1.1 \times 1.1 \times 1.1 mm\(^3\).

Task

Before scanning, all participants were trained on the task (with a different sequence of letters) until comfortable with the rules. During the scan, participants were given a button box and were presented with visual stimuli (letters) through a two-mirror system. The task involved a control (not targeting working memory) condition, where participants had to press a button with their index finger every time they were presented with the letter “X” on the screen. The active task conditions were the one-back, two-back, and three-back, which place increasing amounts of strain on the working memory system. In these conditions, participants were requested to respond by pressing the button every time the letter visually presented to them was the same as the one presented one, two, or three letters previously, respectively. Stimuli were presented every 2 s. Each stimulus block contained three target stimuli and 11 nontarget stimuli. Each condition was repeated three times, blocks were presented in a pseudorandom order and, before each new block, an instruction was given telling the participant which condition was next (for more details on the N-back working memory paradigm, see Owen et al., 2005).

Task performance and reaction times inside the scanner were recorded and individual scores were used to calculate \( d' \), a bias-free measure that takes into account both hit rate (or omission errors) and false alarms (commission errors; Haatveit et al., 2010). Corrected recognition hits were also calculated as the proportion of correct hits minus the proportion of false alarms.

fMRI preprocessing

fMRI data preprocessing was performed using the fMRI Expert Analysis Tool (FEAT) from the FSL’s FMRIB (Functional Magnetic Resonance Imaging of the Brain) Software Library; version 6.0.0; Woolrich et al., 2009; Jenkinson et al., 2012). Images were motion-corrected using mcFLIRT (Motion Correction–FMRIB Linear Image Registration Tool; Jenkinson et al., 2002), slice-time corrected using Fourier-space time-series phase-shifting, skull stripped using Brain Extraction Tool (BET; Smith, 2002), and smoothed using a Gaussian kernel of FWHM = 5 mm. The 4D dataset was grand-mean intensity normalized by a single multiplicative factor and was high-pass filtered (\( \sigma = 31 \)).

As part of the denoising process, independent component analysis of each participant’s native space preprocessed data fMRI was performed using Me-

fMRI statistical analysis

fMRI data analysis was performed using FSL’s FEAT (version 6.0.0). Time series analysis was performed using FMRIB’s Improved Linear Model, with local autocorrelation correction (Woolrich et al., 2001). Higher-level analysis was performed using FMRIB’s Local Analysis of Mixed Effects (FLAME) stage 1 + 2. FEAT incorporates Markov Chain Monte Carlo (MCMC) estimation of the random effects at each voxel (Woolrich et al., 2004). The Bayesian structure of FLAME enabled us to model and estimate different group-level variances and, importantly, to carry up the first-level individual fixed-effects variances. Higher-level parameter estimates and mixed-effects variances were fitted using a fast approximation to the final estimation. Mixed-effects variance in the

Working Memory Plasticity after Early Brain Injury

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Marcus et al., 2013

Woolrich et al., 2001
near-threshold voxels was then processed using MCMC estimation, to which a general $\tau$ distribution was fit. Hypothesis testing was performed on the fitted $\tau$ distribution using this estimation of mixed-effects variance. $Z$-statistic images were thresholded using a $Z > 2.3$ and an FWE-corrected cluster threshold of $p < 0.05$ (Worsley, 2001).

We analyzed the data using a linear contrast, searching for areas that showed differential activation as working memory demands increased with the effects of the "Is it X2" visuomotor baseline condition used as the implicit baseline. As the two-back condition is the middle condition in a three-point linear comparison, this design in practice contrasts activation during the complex three-back working memory condition (which includes the key cognitive control and extended phonological loop aspects of working memory; Baddeley, 2003) with activation during the simpler one-back condition, which does not engage the central executive component of working memory and could be better described as a "short-term memory" task. The one-back task acted as a high-level baseline condition that allowed us to focus on the key cognitive components of working memory. Unless otherwise stated, all fMRI results pertain to the three-versus-one-back contrast.

We additionally repeated the above analyses, using sex as a covariate of no interest.

Analysis of functional activation patterns across working memory difficulty levels

To further probe the patterns of activation across levels of working memory difficulty, we analyzed the trends underlying the activation patterns in areas that significantly differed between the PBI and term-born control groups using repeated-measured ANOVA. We then used polynomial contrasts to assess whether the activation patterns had significant linear and quadratic terms (also known as trend analysis). These analyses allow us to analyze whether activation patterns increase or decrease in a linear or quadratic manner across the three levels of increasing working memory difficulty.

Structural MRI processing

Cortical and subcortical reconstruction was performed using FreeSurfer (Fischl, 2012). This involved removal of nonbrain tissue using a hybrid watersheds/surface deformation procedure (Ségonne et al., 2004), segmentation of subcortical structures and ventricles (Fischl et al., 2002), intensity normalization, tessellation of the white/gray matter border, and topology correction (equal to 7% of the amplitude of the maximum amplitude of the FOD at a voxel) at each voxel to remove the general noise floor and surviving near-threshold voxels that voxel) at each voxel to remove the general noise floor and surviving noise local maxima, respectively.

Tractography algorithm and dissections

Whole-brain tractography was performed in native diffusion space using each FOD peak (that survived thresholding) as a seed. Fiber orientation streamlines were propagated using Euler integration with a step-size of 1 mm. Propagation stopped if the track reached an FOD peak that did not survive thresholding, or if the angle between two successive steps exceeded 60°. If, while propagating a streamline from one voxel to the next, >1 FOD lobe in the next voxel survived thresholding, propagation of the tract continued along the lobe with the lowest angular distance from the current voxel's FOD lobe. Spherical deconvolution, fiber-orientation estimation, and tractography were performed using in-house software written in Matlab 7.8 (http://www.mathworks.co.uk/products/matlab/; Dell’Acqua et al., 2013).

Due to our hypothesis, the dorsal cingulum was the focus of our tractography study. The ventral cingulum, which connects parahippocampal structures to the posterior cingulate and medial parietal structures, is not thought to be involved in working memory, and was dissected to act as a control tract. We hypothesized that there would be a group difference in tract volume due to its location close to the lateral ventricles, but that this would not be related to the reorganization of the working memory system.

We additionally analyzed the SLFs. These frontoparietal tracts have previously been associated with working memory function, but lie distant from the lateral ventricles, and are thus unlikely to be directly affected by periventricular hemorrhage. By analyzing these tracts, we show that not all working memory tracts are reduced in volume following PBI.

We thus have a double control for the dorsal cingulum: (1) a tract that is likely to be affected by PBI, but is unlikely to be directly involved in working memory (ventral cingulum) and (2) tracts that are unlikely to be directly affected by PBI, but are likely to be involved in working memory (SLF 1–3).

Virtual dissections of the dorsal and ventral cingulum were manually performed in native diffusion space in TrackVis (trackvis.org) using a two-region method for the dorsal and ventral cingulum, with artificial fibers carefully removed through the use of a manually drawn exclusion region.

To further examine the role of the white matter connections in working memory function following PBI, we then dissected the SLF. This tract system connects frontal and parietal cortex laterally to the dorsal cingulum (Fig. 1) and has been described as a three-tract system in man, mirroring the monkey’s neuroanatomy (Thiebaut de Schotten et al., 2012). According to Thiebaut de Schotten and colleagues, “In humans, the first branch of the superior longitudinal fasciculus (SLF1) connects the superior parietal lobule and precuneus (BA 5 and 7) with the superior frontal gyrus (BA 8, 9 and 32) and perhaps to some anterior cingulate areas (BA 24). The second branch (SLF2) originates in the anterior intraparietal sulcus and the angular gyrus (BA 39) and terminates in the posterior regions of the superior and middle frontal gyrus (BA 6, 8, 9). The third branch (SLF3) connects the intraparietal sulcus and the inferior parietal lobule to the inferior frontal gyrus (BA 44, 45, 47)” (Thiebaut de Schotten et al., 2012).

As precise manual definition of cortical landmarks can be challenging and time consuming using diffusion-weighted scans, we used FreeSurfer to define the cortical landmarks on the t1-weighted images (Fischl, 2012). The whole-brain tracks obtained using damped Richardson–Lucy spherical deconvolution tractography for each individual were then registered to the FreeSurfer structural scan using boundary-based registration (Greve and Fischl, 2009; from a contrast-inversed FA image) and the track_transform command (part of the Diffusion Toolkit package; trackvis.org). Diffusion-to-FreeSurfer registrations were checked manually for accuracy.

The regions of interest used for defining the SLF 1, SLF 2, and SLF 3 are described in Table 1.

HMOA, a novel measure of microstructural white matter integrity was extracted for each tract (Dell’Acqua et al., 2013). HMOA has several advantages over traditional diffusion MRI measurements, such as FA. HMOA represents the absolute amplitude of each lobe fiber orientation distribution. Thus, within voxels that contain multiple fiber orientations (i.e., those with crossing fibers), each tract within the voxel will be assigned distinct HMOA values. When generating average HMOA values along a tract, only the HMOA lobe included in the tract of interest contributes to the value of the tract. The decision criterion for the inclusion of individual FOD lobes in a tract is described above.

All tractography comparisons are also presented after adjusting for sex.
IQD

To investigate whether functional neuroanatomical compensatory effects could be related to IQ, we assessed the relative dominance of verbal or performance IQ using an IQD index, calculated according to the following formula: $$\text{IQD} = \frac{100 \times (\text{verbal IQ} - \text{performance IQ})}{\text{verbal IQ} + \text{performance IQ}}.$$ This index is based on the laterality index that is commonly used in brain imaging studies (Seghier, 2008).

Exploring the association between imaging, behavioral performance, and gestational age

Due to our hypothesis that damage to the dorsal cingulum may be associated with compensatory activation outside the traditional working memory network, we first correlated cingulum volume (in millimeters cubed) with mean activation (contrast of parameter estimates) in the bilateral region that PBI individuals activated relatively more than controls.

To probe whether this activation was compensatory or detrimental, we then correlated activation in this region and task performance on the three-back condition (which did not suffer the ceiling effects seen in the other conditions), using a $d^r$ measure. R-to-z transformations were used to statistically compare correlation coefficients (Nieuwenhuis et al., 2011).

As the PBI group had a younger mean gestational age than the VPT-N group, we correlated activation in the same perisylvian region with gestational age at birth across the VPT sample as a whole (VPT-N and PBI groups) to ascertain whether the main findings were principally due to a difference in gestational age rather than PBI. Spearman correlations were used where data were not normally distributed.

Results

The PBI group had younger gestational age and lower birth weight than the VPT-N group (Table 2). There was a significant difference in performance IQ between the groups, with post hoc tests revealing the PBI and control groups to be significantly different on this measure ($p = 0.006$) with a trend for lower IQ in the PBI group compared with the VPT-N group ($p = 0.065$).

In-scanner behavioral results

There were no significant group differences in reaction time in any of the conditions. Reaction time increased in each group with each increase in task difficulty (Table 3).
Eighty-four percent of participants performed perfectly (no false-positive and no false-negative errors) on the sensorimotor condition (also known as zero-back), 66% of participants performed perfectly on the one-back condition, 51% of participants scored perfectly on the two-back condition, and only 9% of participants performed perfectly during the three-back condition. As a high number of people scored equally (maximally) on the two-back and two-back conditions, these conditions could not be satisfactorily examined using most statistical tests. In contrast, on the three-back condition, relatively few people scored maximally, creating a wider distribution more amenable to testing. In view of this, we concentrated our analysis on the three-back condition.

A Shapiro–Wilks test showed that the data were not normally distributed ($p = 2.15 \times 10^{-5}$) and a modified robust Brown–Forsythe Levene-type test based on absolute deviations from the median showed that group variances were not significantly different ($p = 0.73$).

There was no significant effect of group on three-back $d’$ (Kruskal–Wallis $\chi^2 = 2.28$, $df = 2$, $p = 0.32$; PBI, 2.96 $\pm$ 1.41; VPT-N, 3.40 $\pm$ 1.42; control group, 3.42 $\pm$ 1.29) or on corrected recognition hits (hit rate minus false-alarm rate) at any level (zero-back: $p = 0.754$; one-back: $p = 0.211$; two-back: $p = 0.071$; three-back: $p = 0.09$). When one-back, two-back, and three-back trials were pooled together, there were still not significant group differences ($\chi^2 = 5.39$, $p = 0.07$).

### Functional MRI results

#### Between-group results (increasing memory load across all four conditions)

**PBI versus controls.** The PBI group had lower activation in the bilateral cerebellum and the lateral posterior parietal cortex, as well as the left medial posterior parietal cortex, compared with the VPT-N group (Fig. 2, purple; Table 4). These were areas in which the PBI group also exhibited less activation than controls (Fig. 2). In contrast there were no areas in which the PBI group activated more than the VPT-N group.

**VPT-N versus controls.** There were no significant activation differences between the VPT-N group and controls.

#### Covarying for sex

Covarying for sex obtained the same results as those that did not explicitly model sex, with the exception of the cerebellar and ventrolateral PFC clusters from the PBI < controls contrast, which no longer reached significance.

#### Analysis of functional activation patterns across working memory difficulty levels

We then analyzed the activation patterns across working memory difficulty levels in the clusters that significantly differed between the PBI and full-term control groups. The positively and negatively activated clusters were analyzed separately in repeated-measures ANOVA analyses, with TaskLevel and BrainRegion as within-subject factors and Group as the between-subject factor.

There was a significant group*task level interaction in the positively activated frontoparietocerebellar clusters ($F = 3.65$, $p = 0.007$) and in the deactivated perisylvian clusters ($F = 2.75$, $p = 0.030$).

As a post hoc analysis, we examined the activation trends across groups. In the positively activated regions, there was a linear trend in the PBI group ($F = 11.09$, $p = 0.004$) and a marginal quadratic trend ($F = 3.41$, $p = 0.080$). In the VPT group, there were significant linear and quadratic terms (Linear: $F = 23.92$, $p < 0.001$; Quadratic: $F = 8.83$, $p = 0.008$) and in the control group there were also significant linear and quadratic terms (Linear: $F = 114.25$, $p < 0.001$; Quadratic: $F = 32.69$, $p < 0.001$).

In the perisylvian regions in the VPT-N and control groups, there was a dominant linear trend (VPT-N: $F = 19.23$, $p < 0.001$; control: $F = 37.06$, $p < 0.001$). In the PBI group only a quadratic trend was significant (Linear: $F = 2.27$, $p = 0.149$; Quadratic: $F = 18.38$, $p < 0.001$), suggesting an altered pattern of perisylvian activation in the PBI group with increasing cognitive load (Fig. 2).

#### Tractography results: dorsal cingulum

There was a significant difference in dorsal cingulum volume across the three groups (ANOVA: $F = 4.41$; $df = 2, 152$; $p = 0.0138$) in the expanded sample of participants. This remained significant when covarying for sex ($F = 5.90$, $p = 0.003$). Post hoc comparisons revealed the dorsal cingulum volume to be significantly lower in the PBI group compared with controls ($p =$...
0.004) and VPT-N adults ($p = 0.038$). HMOA of the dorsal cingulum was also significantly different between groups ($F = 8.94$, $p < 0.001$), and remained significant when covarying for sex ($F = 9.34$, $p < 0.001$) with the PBI group having significantly lower HMOA in the dorsal cingulum than the two other groups (PBI vs controls, $p = 0.001$; PBI vs VPTN, $p = 0.001$; VPTN vs controls, $p = 1$). Note that HMOA ($F = 5.79$, $p = 0.004$) but not volume ($F = 2.06$, $p = 0.134$) of the dorsal cingulum remains significantly different between groups if the sample is restricted to only those subjects that undertook the fMRI task.

**Tractography results: ventral cingulum and SLF 1–3**

The dissection of the ventral cingulum and SLF 1–3 as control tracts allowed us to ask the following: (1) whether all tracts likely
to be affected by PBI are associated with reorganization of the working memory network, even if they are not central to working memory function (ventral cingulum) and (2) whether all working memory-related tracts are affected by PBI, even if they are distant from the lateral ventricles (SLF 1–3).

There was a significant difference in volume of the ventral cingulum across the three groups (ANOVA: $F = 3.74; df = 2, 152; p = 0.026$), which remained when covarying for sex ($F = 4.39, p = 0.014$). *Post hoc* comparisons revealed the ventral cingulum volume to be significantly lower in the PBI group compared with each of the other two groups (PBI vs VPT-N: $p = 0.032$). There were no significant between-group differences in HMOA of the ventral cingulum ($\chi^2 = 2.25, p = 0.326$).

SLF 1 and SLF 3 volume did not significantly differ between the groups ($\chi^2 = 1.33, p = 0.515; \chi^2 = 5.01, p = 0.082$), even when covarying for sex ($F = 1.45, p = 0.238; F = 1.84, p = 0.163$). A Kruskall–Wallis test showed a significant difference in the volume of the SLF 2 across the three groups, with controls having the lowest volume, although Bonferroni’s *post hoc* tests did not reveal significant differences between the groups (PBI vs controls, corrected $p = 0.180$; VPT-N vs controls, corrected $p = 0.108$; PBI vs VPT-N, corrected $p = 1$); these results were no longer significant after adjusting for sex ($F = 2.52, p = 0.084$). There were no between-group differences in HMOA of the SLF 1 ($F = 2.26, p = 0.108$; or when adjusting for sex: $F = 2.33, p = 0.101$) or SLF 2 ($\chi^2 = 2.99, p = 0.225$; or when adjusting for sex: $F = 1.586, p = 0.208$). There was a significant difference in HMOA of the SLF 3 ($\chi^2 = 11.57, p = 0.003$; or when adjusting for sex: $F = 5.52, p = 0.005$), with the control group having lower HMOA than the two other groups (PBI vs control, $p = 0.006$; VPT-N vs control, $p = 0.032$; PBI vs VPT-N, $p = 0.504$).

**Correlation between structure and function**

We investigated whether activation in the perisylvian region, where the PBI group showed increased activation compared with controls, was related to neonatal injury to the dorsal cingulum tract, which connects key medial frontoparietal working memory structures (Fig. 3).

There was a significant negative correlation between dorsal cingulum volume and perisylvian activation in the PBI group ($r = -0.49, p = 0.030$). Dorsal cingulum volume was not correlated with perisylvian activation in the VPT-N ($r = 0.07, p = 1$) or control groups ($r = 0.06, p = 1$). The dorsal cingulum–perisylvian activation correlation was significantly different in the PBI group from that seen in the VPT-N ($z = -1.75, p = 0.040$ one-tailed) and control groups ($z = -2.06, p = 0.020$ one-tailed).

Furthermore, the structure–function correlation was statistically significant only at the highest cognitive load of the $n$-back task when contrasting the three levels of working memory difficulty with baseline (one-back: $r = -0.10, p = 0.682$; two-back: $r = -0.23, p = 0.332$; three-back: $r = -0.49, p = 0.030$). HMOA did not significantly correlate with perisylvian activation in any group ($p > 0.2$).

On the contrary, there was no significant correlation between the volume of the ventral cingulum and perisylvian activation in the PBI group ($r = 0.17, p = 0.468$) or in either of the other two groups (VPT-N: $r = 0.04, p = 0.870$; controls: $r = 0.06, p = 0.706$). Furthermore, there was no statistically significant correlation between the volume of SLF 1, 2, or 3 and perisylvian activation in any group ($p > 0.14$).

**Functional activation and task performance**

To test whether the relative hyperactivation of the perisylvian area in the PBI group was associated with on-line task performance, we correlated activation in these clusters with performance on the hardest working memory condition (three-back) in the PBI group and found that activation positively correlated with performance ($r = 0.57, p = 0.009$). There was no significant relationship between task performance and perisylvian activation in the VPT-N ($r = -0.27, p = 0.24$) or control ($r = 0.05, p = 0.74$) groups. Furthermore, this activation–behavior correlation was significantly stronger in the PBI group compared with each of the other two groups (PBI vs VPT-N: $z = 2.72, p = 0.007$; PBI vs controls: $z = 2.07, p = 0.039$; Fig. 4).

To further probe the relationship between working memory load, task performance, and activation, we assessed in an exploratory *post hoc* analysis the relationship between the change in activation in the perisylvian cortex from the two-back to the three-back condition (i.e., where the PBI group showed the most marked differences compared with the VPT-N and control groups; Fig. 2) and task performance (three-back $d’$), while controlling for any minor between-group differences in activation from one-back to two-back. The increase in activity from the moderately hard two-back to the very hard three-back condition in the PBI group was associated with increased task performance.

| Table 4. Between-group differences in activation during the $n$-back task ($p < 0.05$, FWE-corrected) |
|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| BOLD activation between-group contrasts; region | Peak MNI $x$ coordinate (mm) | $y$ coordinate | $z$ coordinate | Cluster size (voxels) | $Z$ score |
| PBI > Controls | Right perisylvian cortex | 45 | −17 | 0 | 3125 | 3.81 |
| | Left perisylvian cortex | −58 | −3 | 2 | 2468 | 3.88 |
| Controls > PBI | Right parietal cortex | 38 | −63 | 57 | 10,762 | 6.71 |
| | Left parietal cortex | −23 | −68 | 59 | 9024 | 6.13 |
| | Left dorsal prefrontal | −29 | 8 | 60 | 8617 | 5.56 |
| | Right dorsal prefrontal | 33 | 7 | 60 | 4457 | 6.02 |
| | Right cerebellum | 15 | −79 | −22 | 3414 | 4.35 |
| | Left ventrolateral prefrontal | −38 | 54 | 21 | 2807 | 4.27 |
| | Left cerebellum | −46 | −71 | 27 | 2546 | 4.24 |
| VPT-N > PBI | Bilateral cerebellum | −7 | −83 | −16 | 4681 | 4.42 |
| | Right parietal cortex | 49 | −50 | 55 | 4481 | 3.88 |
| | Left parietal cortex | −39 | −62 | 57 | 3187 | 4.43 |

Note that analysis was carried out in the space of the study-specific template. Results were mapped from the custom template into MNI space in order to allow for co-ordinates to be reported. There were no significant clusters in the PBI > VPT-N, Controls > VPT-N, and VPT-N > Controls contrasts.
(r = 0.46, p = 0.047), while no significant relationship was found in the VPTN (r = −0.35, p = 0.134) or full-term control groups (r = −0.05, p = 0.746).

No significant relationship between task performance (three-back $d'$) and frontoparietocerebellar activation was detected in any of the three groups (PBI: $r = 0.29, p = 0.212$; VPT-N: $r = 0.14, p = 0.548$; controls: $r = 0.04, p = 0.779$).

**Functional activation and gestational age at birth**  
Gestational age in all participants born very preterm was not significantly correlated with BOLD activation in the perisylvian area ($r = 0.165, p = 0.314$).

**Ventricular volumes**  
Ventricular volumes (as a proportion of intracranial volume) differed significantly between groups in both the original fMRI sample ($\chi^2 = 20.225, p < 0.001$) and the expanded diffusion MRI (dMRI) sample ($\chi^2 = 29.393, p < 0.001$), with the PBI group having the largest ventricles (fMRI sample: $30,355 \pm 27,408$ mm$^3$; dMRI sample: $30,237 \pm 28,717$ mm$^3$), followed by the VPTN group (fMRI sample: $17,303 \pm 7264$ mm$^3$; dMRI sample: $16,699 \pm 8634$ mm$^3$) and the control group (fMRI sample: $10,821 \pm 4976$ mm$^3$; dMRI sample: $11,496 \pm 6135$ mm$^3$). *Post hoc* Mann–Whitney U tests revealed significant differences in ventricle volume between each of the three groups (PBI vs VPTN,

**Figure 3.** Structure–function relationships. The volume of the dorsal cingulum bundle (purple), which connects key medial frontal and parietal nodes of the working memory network (hot colors) is inversely correlated with compensatory activation of the perisylvian cortex (cool colors) in the PBI group (right, blue) but not in the VPT-N or control groups (right; green, red respectively). This was not a general effect of white matter damage in the PBI group as no such correlation was observed between activation in the perisylvian cortex and the volume of the ventral cingulum (yellow; $r = 0.165, p = 0.760$), which connects medial temporal and parietal lobe structures and is thought to be involved in episodic memory.

**Figure 4.** Compensatory activation of perisylvian cortex. Activation in perisylvian cortex positively correlated with working memory performance in the PBI (blue), but not in VPT-N or control groups (green and red, respectively). Least-squared lines are shown for illustrative purposes only and do not constitute a formal test. Spearman correlations were performed to test for brain–behavior relationships.
corrected $p = 0.02$; PBI vs control, corrected $p = 0.001$; VPT-N vs control, corrected $p = 0.003$).

**Effects of sex**

The critical outcome variables—perisylvian activation, dorsal cingulum volume, and three-back $d'$ score—were then analyzed with respect to sex effects. Three full factorial models were created, each with sex and group as fixed factors and perisylvian activation, dorsal cingulum volume, or three-back $d'$ as dependent variables.

In the first model, with perisylvian activation as the dependent variable, there was a significant effect of group ($F = 4.197, p = 0.018$) and a significant effect of sex ($F = 6.694, p = 0.011$, with greater deactivation in males) but no group*sex interaction ($F = 0.845, p = 0.433$), signifying that group had a significant effect on perisylvian activation independent of sex.

In the second model, with dorsal cingulum volume as the dependent variable, there was a significant effect of group ($F = 5.739, p = 0.004$, sex ($F = 22.805, p < 0.001$, with greater dorsal cingulum volume in males), but no group*sex interaction ($F = 0.400, p = 0.671$), signifying that group had a significant effect on dorsal cingulum volume independent of sex.

In the third model, with three-back $d'$ as the dependent variable, there was no significant effect of group ($F = 1.573, p = 0.214$, sex ($F = 0.018, p = 0.895$), or group*sex interaction ($F = 0.335, p = 0.716$).

**IQD**

As shown in Table 2, the PBI group demonstrated a greater difference between verbal and performance IQ than the other study groups.

IQD significantly differed between the groups ($F = 7.192, p = 0.002$), with the PBI having significantly higher IQD (i.e., higher verbal/performance IQ ratio) compared with the two other groups (PBI vs controls, $p = 0.002$; PBI vs VPT-N, $p = 0.007$; VPT-N vs controls, $p = 1$). However, IQD did not correlate significantly with three-back $d'$ in any group (all $p > 0.6$). In the control group, a significant negative correlation was detected between IQD and perisylvian activation ($r = 0.508, p = 0.003$), indicating that greater verbal IQD was associated with greater deactivation of the perisylvian cortex with increasing cognitive demand. This relationship was not found in the other two groups (VPT-N: $r = 0.335, p = 0.161$; PBI: $r = -0.082, p = 0.771$).

**Discussion**

In this study we investigated the brain’s means of compensating for alterations to the working memory network associated with PBI. The PBI group had relatively preserved working memory performance, apparently supported by compensatory activity in the perisylvian cortex, despite reduced activation in typical frontoparietal working memory areas and smaller volume of the dorsal cingulum.

Previous work found increased gray matter volume in adolescents with PBI in frontal and medial parietal cortex, possibly reflecting delayed or incomplete anatomical maturation of these structures (e.g., by synaptic pruning: Nosarti et al., 2008). The dorsal cingulum connects these medial working memory structures and lies superior to the corpus callosum and lateral ventricles, making it vulnerable to PBI. Alterations to the dorsal cingulum exist in adolescents born VPT (Eikenes et al., 2011), and such alterations can impair working memory function (Winston et al., 2013). We found reduced dorsal cingulum volume in the PBI group compared with controls, which was negatively correlated with perisylvian activation in the PBI group, but not the VPT-N or control groups, suggesting a structure–function relationship that is uniquely present following PBI. Furthermore, we show that, among the tracts we studied, only the dorsal cingulum is associated with functional alterations in the perisylvian cortex.

This may be explained by the dorsal cingulum being unique among the tracts studied in both (1) being at high risk of perinatal periventricular injury (like the ventral cingulum, but not the SLF) and (2) connecting working memory regions (like the SLF, but not the ventral cingulum).

We hypothesized that, in the PBI group, increased activation outside the frontoparietal network would be compensatory. An alternative hypothesis is that activation increases in the PBI group relative to controls would be maladaptive and hinder task completion (Myers et al., 2010). To test these competing hypotheses, we correlated perisylvian activation with working memory performance. We found that less inhibition of perisylvian regions correlated with improved task performance, and that this relationship was unique to the PBI group, suggesting a novel compensatory process had developed in this group. Indeed, the less the PBI group resembled controls (in perisylvian activation), the better they performed.

Reduced cerebellar activation was also found in the PBI group, although this was no longer statistically significant when sex was included in the model as a covariate of no interest. Several studies have suggested a role for the cerebellum in working memory (Desmond et al., 1997; Ravizza et al., 2006; Boehringer et al., 2013; Blokland et al., 2014). The cerebellum likely connects to cortical regions of the working memory network through direct frontocerebellar tracts and indirect routes via the pons and thalamus (Ramnani et al., 2006; Salmi et al., 2010). Structural and functional alterations of the cerebellum are common following VPT birth (Parker et al., 2008; Lawrence et al., 2014) and are associated with worse neurodevelopmental and neuropsychological outcome (Messerschmidt et al., 2008; Parker et al., 2008). Furthermore, the cerebellum is particularly vulnerable to PBI, even in modern VPT cohorts (Limperopoulos et al., 2005). Therefore, alterations to the cerebellum or its connections may affect working memory function in adulthood following PBI.

The PBI group also differed from the VPT-N group in cerebellar and parietal activation. Differences in frontal and perisylvian activation were not statistically significant, possibly due to the small sample size, or shared developmental factors between the VPT groups leading to alterations of the working memory system. The activation pattern in the VPT-N group was more similar to that seen in the control group, however, and no functional, structural, or behavioral differences were found between these two groups. While this is striking in the context of an extensive literature documenting working memory problems following preterm birth (Luciana et al., 1999; Böhm et al., 2004; Sansavini et al., 2007; Mulder et al., 2010), strong conclusions about working memory ability in this group should not be made without a more comprehensive working memory assessment.

Although previous studies have found a significant relationship between SLF microstructure and working memory function (Ostby et al., 2011; Vestergaard et al., 2011), we did not find an association, possibly due to the working memory assessment used. While the PBI group had reduced volume and HMOA of the dorsal cingulum compared with controls, they had increased HMOA in the SLF 3. These results suggest the possibility of lateral neuroanatomical compensation for medial structural deficits in the PBI group, a hypothesis we will investigate further in future studies.
Previous investigations of the SLF in preterm children (Murray et al., 2015) and adolescents (Frye et al., 2010) failed to detect microstructural alterations. These studies relied on diffusion tensor imaging measures, such as FA, and included tracts projecting to the temporal lobe (also known as the arcuate fasciculus; Catani and Thiebaut de Schotten, 2008; Thiebaut de Schotten et al., 2011). Simulations suggest that HMOA may be a more sensitive measure than FA, and is more appropriate for tracts that are highly affected by crossing fibers, such as the SLF, as it does not average across all tracts within a voxel (Dell’Acqua et al., 2013). It would be interesting to see whether such alterations could be detected in younger cohorts with available spherical deconvolution data (Murray et al., 2015) to distinguish methodological from developmental differences.

The areas showing less inhibition of activation in the PBI group included areas related to verbal repetition, such as the posterior insula, subcortical gyrus, and superior temporal gyrus (Koelsch et al., 2009; Cloutman et al., 2012), perhaps suggesting a means by which perisylvian activation could aid working memory function in this group. We noted a negative correlation between IQD and perisylvian activation in the control group but not the PBI group, perhaps suggesting an altered role of the perisylvian cortex in these individuals.

In contrast with previous studies in children and adolescents showing lasting effects of PBI on working memory function (Woodward et al., 2005; Beauchamp et al., 2008; Anderson et al., 2010), and evidence from animal models suggesting longer-term dysfunction (Chambers et al., 1996; Lipska et al., 2002; Arteni et al., 2003), we found no significant working memory performance differences between groups. This finding is in line with a recent case study of a patient with severe PBI that found no evidence of working memory impairment at 28 years of age (Baddeley et al., 2010) and an fMRI study of working memory in adults born VPT that found no performance differences on the two-back working memory task (Daamen et al., 2015), suggesting that catch-up in working memory function may continue into adulthood (Nosarti and Froudist-Walsh, 2015).

Daamen and colleagues found altered deactivation of the medial parietal cortex and cerebellum in adults born VPT (Daamen et al., 2015). They suggested that this could be a compensatory alteration, although activation patterns did not correlate with task performance. In contrast to the present study, individuals born VPT with and without PBI were not studied separately. This choice may have masked activation differences that were attributable primarily to individuals with PBI. Furthermore, in the current study, differences in perisylvian activation in the PBI group were only noted in the hardest working memory condition (three-back), which was not assessed by Daamen et al. In contrast, the increased power afforded by the larger heterogeneous preterm group in that study may have enabled Daamen and colleagues to detect altered deactivation patterns that we were unable to observe here.

Our results point to adults with PBI upregulating activity in the perisylvian cortex as a means to compensate for reduced working memory capacity. Promotion of such compensatory activity in PBI individuals may be a manner in which neuroscientific information could inform the development of cognitive interventions (Berthier and Pulvermüller, 2011; Pascoe et al., 2013). Further work is required to identify the periods in which the brain’s functional networks undergo major changes following PBI. This information may help identify the age at which working memory interventions designed to improve academic functioning may be most effective (Wass et al., 2012; Pascoe et al., 2013).

A limitation of this study is the lack of an independent test of working memory ability, which would have allowed for further validation of the brain–behavior relationships. There were significant differences in birth weight and gestational age between the PBI and VPT-N groups. While gestational age was not significantly correlated with perisylvian activation, we cannot exclude the possibility that these results could in part relate to extreme prematurity. We used ultrasound, which does not have the sensitivity or specificity of neonatal MRI, to diagnose PBI. Nonetheless, the PBI group still had larger lateral ventricles at current assessment, suggesting long-term neuroanatomical sequelae to perinatal periventricular damage. The VPT-N group had intermediate ventricle size, indicating the possibility of residual brain injury that was not detected on neonatal ultrasound.

We used a hypothesis-driven approach and found significant associations between dorsal cingulum volume and perisylvian activation in the PBI group. On the other hand, the use of a data-driven approach, such as fMRI-informed tractography (i.e., using functional areas as a seed region; Salvan et al., 2014), may have shed light on how the perisylvian cortex connects with the rest of the working memory system.

To our knowledge, this is the first multimodal imaging study to investigate the functional and structural correlates of adult working memory following PBI. We found minimal differences in working memory performance between controls and individuals with a history of VPT birth or PBI. We did, however, detect large reductions in functional activation in typical frontoparietal–cerebellar regions of the working memory network in PBI young adults. This underutilization of traditional working memory resources was accompanied by reduced volume of the dorsal cingulum tract and was offset by the beneficial upregulation of activation in perisylvian cortex. These results add to our understanding of the developmental trajectories of cognitive abilities following VPT birth and perinatal brain injury and shed new light on the mechanisms the brain develops to compensate for early cognitive and anatomical deficits.

References


