Risk of Serious Infections in Patients with Psoriasis on Biologic Therapies: A Systematic Review and Meta-Analysis

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A comprehensive evaluation of the risk of serious infections in biologic therapies for psoriasis is lacking. We performed a systematic review and meta-analysis of randomized controlled trials (RCTs) and prospective cohort studies reporting serious infections in people taking any licensed biologic therapy for psoriasis compared with those taking placebo, nonbiologic therapy, or other biologic therapies. The quality of the studies was assessed using Grading of Recommendations Assessment, Development and Evaluation criteria. No significant heterogeneity was detected in data from 32 RCTs (n = 13,359 participants) and one cohort study (n = 4,993 participants). In adults, low- to very-low-quality RCT data showed no significant difference between any biologic therapy and placebo at weeks 12–16 (overall pooled Peto odds ratio = 0.71, 95% confidence interval = 0.36–1.41) and weeks 20–30 (odds ratio = 2.27, 95% confidence interval = 0.45–11.49). No significant differences were found in any of the other comparisons in underpowered RCT data. Prospective cohort study data of low quality suggests that only adalimumab (adjusted hazard ratio [adjHR] = 2.52, 95% confidence interval = 1.47–4.32) was associated with a significantly higher risk of serious infection compared with retinoid and/or phototherapy in adults. No association between biologic therapies and serious infections in patients with psoriasis who were eligible for RCTs was detected. Further observational studies are needed to inform the uncertainty around this risk in the real world.

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extensions to these trials often lack a comparator arm. One systematic review of RCTs with meta-analysis reported no increased risk of serious infection with the short-term use of tumor necrosis factor inhibitors in patients with psoriasis and psoriatic arthritis compared with placebo (odds ratio [OR] = 0.70, 95% confidence interval [CI] = 0.40–1.21) (Dommasch et al., 2011). However, in addition to trials involving participants with psoriasis predominantly, this review also included trials involving only participants with psoriatic arthritis, limiting its generalizability to patients with only cutaneous manifestations of psoriasis. This review was not able to take into account more recent clinical trials involving ustekinumab and secukinumab.

An updated review of the evidence is needed to investigate the risk of serious infection for the new biologic therapies and to include more recent studies involving the tumor necrosis factor inhibitors, thereby offering more power to evaluate the rare event of serious infection, to focus on a homogenous study population of patients with predominately cutaneous disease, and to inform development work for the updating of the British Association of Dermatologists national guideline for the use of biologic therapies in patients with psoriasis. We aimed to review RCTs and prospective cohort studies to assess the risk of serious infection of the currently licensed biologic therapies for the treatment of psoriasis, both against placebo, against nonbiologic systemic therapies, and where possible compared with each other, based on data currently available.

RESULTS
The systematic literature search yielded 6,987 results. Overall, 204 articles were assessed for eligibility in full text; data were extracted from the 30 RCT articles (reporting 32 studies) and one cohort study that met the inclusion criteria (Figure 1, and Supplementary Table S1 online). The reasons for exclusion of studies are given in Supplementary Table S2 online. A total of 48 articles were excluded because of study design, and 28 were excluded because of lack of reporting of serious infection outcome. A total of 13,359 participants from RCT studies and 4,993 participants in one cohort study were included.

No statistical evidence of heterogeneity was detected for any of the comparisons, and therefore no subgroups, including dosing regimens, were investigated separately.

Thirteen RCTs included past serious infection as an exclusion criterion in the methods report of the article. Two RCTs assessed patients under 18 years of age. All of the included studies investigated participants with plaque-type psoriasis. Seventeen studies reported inclusion of participants who had previously received biologic therapy; the proportion ranged from 9.3% to 60% in a treatment arm within the RCT studies, whereas the cohort study reported that 87.4% of participants were biologic experienced.

The total number of serious infections reported across all RCTs was low (n = 54). Eight studies did not report any serious infections in either arm.

Risk of bias
Overall, using the risk of bias assessment checklist from the National Institute for Health and Care Excellence and the National Clinical Guideline Centre, the risk of bias varied among the individual studies, ranging from low to very high (see Supplementary Table S3 online). Regarding selection bias and performance bias, 26 of 31 (83.9%) studies had a low risk of selection bias, and 26 of 31 (83.9%) had a low risk of performance bias. A total of 27 studies (87.1%) did not clearly report blinding of the investigators to important confounding or prognostic factors. The intervention was open label in one study (Gordon et al., 2015). All studies reviewed were financially sponsored by the pharmaceutical industry, and all studies had industry involvement in both the analysis of the data and the writing of the manuscript. There was a low risk of attrition bias in most individual studies. The percentage of patients discontinuing allocated treatment at the time of the primary outcome overall was significantly higher in the placebo group (median 8.1%) compared with the treatment group (median = 4.5%, P < 0.01, Mann-Whitney test). However, it is unclear whether these participants continued under follow-up for adverse events after discontinuation of therapy.

Three studies defined the outcome of serious infection (Bachelez et al., 2015; Kalb et al., 2015; Reich et al., 2005), with two of these studies clearly defining this in the results section (Bachelez et al., 2015; Reich et al., 2005) (see Supplementary Table S4 online). There was heterogeneity in the nomenclature of the outcome, with 22 studies defining it as serious infection, whereas other studies used various terms such as serious infection event, individual severe adverse event, infectious severe adverse event, serious infectious adverse event, and hospitalization due to infection (see Supplementary Table S1).
For outcomes that were able to be assessed by the Grading of Recommendations Assessment, Development and Evaluation (GRADE) criteria, the overall quality of evidence was found to be either low or very low. This was due to either very serious imprecision and/or serious risk of bias.

Regarding publication bias, a funnel plot did not show any significant asymmetry for the studies examining biologic therapies versus placebo at 3 or 4 months, and the number of studies was too low for the other outcomes to be evaluated for publication bias in this way.

Sensitivity meta-analyses using Mantel-Haenszel methods for both fixed- and random-effects models did not influence the conclusions of any comparisons.

**Evidence from RCTs: risk of serious infection with biologic therapies compared with placebo in adults**

**At 12–16 weeks.** There were 24 placebo-controlled trials across the different biologic therapies reporting a serious infection event rate of 0.4% in the placebo arm and 0.3% in the biologics arm at 12–16 weeks (Figure 2). No significant heterogeneity was found across the different biologic therapies (I² = 46% for etanercept, I² = 0% for all other biologic therapies and overall).

The pooled Peto OR for individual biologic therapies did not show any significant differences compared with placebo. Although most earlier studies, especially those of etanercept and adalimumab (6/8 etanercept studies and 4/5 adalimumab studies), were conducted in US or European sites only; later studies varied between inclusion of sites worldwide (4/5 secukinumab studies) and predominantly Asian populations (3/5 ustekinumab studies).

The pooled Peto OR for all biologic therapies versus placebo was 0.71 (95% CI = 0.36–1.41, I² = 0%), showing no significant difference in the risk of serious infection (Figure 2).

Only one small study of 129 patients was eligible for comparison of infliximab with placebo, with the outcome measured at 10 weeks and with very-low-quality evidence (Yang et al., 2012).

The quality of the evidence across the studies ranged from low for studies involving etanercept (Bachelez et al., 2015; Gottlieb et al., 2011; Griffiths et al., 2015; Papp et al., 2005; Strober et al., 2011; Tying et al., 2006; van de Kerkhof et al., 2008) and secukinumab (Blauvelt et al., 2015; Langley et al., 2014; Paul et al., 2015; Rich et al., 2013) to very low for studies involving infliximab (Yang et al., 2012), adalimumab (Asahina et al., 2010; Gordon et al., 2015; Gordon et al., 2006; Menter et al., 2008; Saurat et al., 2008), and ustekinumab (Igarashi et al., 2012; Leonardi et al., 2008; Papp et al., 2008; Tsai et al., 2011; Zhu et al., 2013) (Supplementary Table S5 online).

**At 20–30 weeks.** There were four placebo-controlled trials reporting a serious infection event rate of 0.4% in the placebo arm and 0.9% in the biologics arm between 20 and 30 weeks. One study evaluated etanercept (Gottlieb et al., 2003), two studies evaluated infliximab (Gottlieb et al., 2004; Reich et al., 2005), and one study evaluated ustekinumab (Krueger et al., 2007). The pooled Peto OR for all four studies was 2.27 (95% CI = 0.45–11.49, I² = 0%), and none of the individual ORs were statistically significant, with a low quality of evidence overall (see Supplementary Figure S1 and Supplementary Table S6 online).

**Evidence from RCTs: risk of serious infection with biologic therapies compared with nonbiologic therapy and between biologic therapies in adults**

**Biologic therapy versus nonbiologic therapy (methotrexate) at 12–16 weeks.** Only one study evaluated a biologic therapy (adalimumab) (n = 108) versus methotrexate (n = 110) (Saurat et al., 2008). No serious infection events were reported in any of the treatment arms (Supplementary Table S7 online).

**Between biologic therapies at 12–16 weeks and at 1 year.** The comparisons eligible for inclusion were ustekinumab versus etanercept (Griffiths et al., 2010) (OR = 2.19, 95% CI = 0.36–13.31, low-quality evidence) and secukinumab versus etanercept at week 12 (Langley et al., 2014) (OR = 4.47, 95% CI = 0.07–286.66, low-quality evidence), secukinumab versus ustekinumab (Thaci et al., 2015) at week 16 (OR = 0.52, 95% CI = 0.05–4.97, very-low-quality evidence), and secukinumab versus etanercept at 1 year (Langley et al., 2014) (OR = 1.00, 95% CI = 0.30–3.34, low-quality evidence) (Supplementary Tables S8 and S9 online).

**Evidence from RCTs: risk of serious infection with biologic therapy compared with nonbiologic therapy and between biologic therapies in children**

**At 12–16 weeks.** One study assessed patients with psoriasis from 4–17 years of age receiving etanercept (Paller et al., 2008), and another study assessed patients from 12–17 years of age receiving ustekinumab (Landells et al., 2015). The studies evaluated a total of 321 patients, and did not report any serious infections in either the treatment or the placebo arm (Supplementary Table S10 online).

**Evidence from prospective cohort studies: risk of serious infection with biologic therapies compared with nonbiologic therapies in adults**

One prospective observational registry published adjusted comparisons for risk of serious infection between biologic therapies and nonbiologic systematic therapies (Kalb et al., 2015). This study reported adjHRs in a Cox proportional hazard model for time-to-event data, taking into account differences in the population including key potential confounders such as age, sex, ethnicity, body mass index, Physician’s Global Assessment score at baseline, and history of significant infection. For the incident population (population starting a biologic therapy while registered), adalimumab was shown to have an increased risk of serious infection compared with a population who received systemic retinoids and/or psoralen plus UVA/UVB (adjHR = 2.52, 95% CI = 1.47–4.34), with a low quality of evidence (Figure 3). Ustekinumab (adjHR 1.26, 95% CI = 0.66–2.42), infliximab (adjHR = 1.78, 95% CI = 0.64–4.98), and etanercept (adjHR = 1.29, 95% CI = 0.55–3.01) did not show any significant difference of serious infection risk compared with the same population (very-low-quality evidence) (Supplementary Table S11 online).
### DISCUSSION
This systematic review and meta-analysis provides an up-to-date synthesis of the published evidence regarding the risk of serious infection for biologic therapies in the treatment of psoriasis, and to our knowledge represents the only review of the risk of serious infection of ustekinumab and secukinumab to date.

None of the biologic therapies showed a significantly higher risk of serious infection compared with placebo in RCTs. In a worst-case scenario, taking the upper 95% CI for the risk of serious infection of ustekinumab and secukinumab to date.

### Figure 2. Forest plot for dose-independent comparison between biologic therapies and placebo. Serious infection at week 12–16 for adults (randomized controlled trials). CI, confidence interval.
the pooled Peto OR from RCT data, it is unlikely that the odds of having an episode of serious infection in the first 3–4 months of treatment with biologic therapies overall are higher than 1.4 times the background odds (i.e., 2 more cases of serious infection per 1,000 patients treated).

The cohort study reported here showed a different result, reporting that adalimumab had a significantly higher risk of serious infection at 2.5 times the risk of retinoids and/or phototherapy. Several factors may explain the difference in the results between the RCT meta-analysis and the cohort study data. The population at risk in the clinical trials was different from the real-world population—for example, 13 RCTs reported the exclusion of patients who had a serious infection. This may be important, because a history of "significant infections" before registry entry was an independent predictor of serious infections (adjHR = 1.67; 95% CI = 1.28–2.18) in the multivariate analysis performed by Kalb et al. (2015). The external validity of safety outcome results from clinical trials is limited by the varied eligibility criteria (Garcia-Doval et al., 2012), with one study estimating that approximately 30% of patients in a real-world clinic are ineligible for enrolment in RCTs.

The cohort study used a time-to-event analysis. We were unable to perform this meta-analysis for the RCT data and adjust for person-year of follow-up because we did not have the individual patient data available.

The limited number of head-to-head trials, or trials comparing biologic therapies with a traditional systemic therapy, restricted our ability to analyze the comparative risk of biologic therapies. The imprecision of the meta-analyzed estimates (Figure 2 and see Supplementary Figures S1–S3 online) showed that the study is underpowered to make a confident estimate of the true risk of serious infection for biologic therapies based on RCT data. Similarly, there were only two published trials for patients under the age of 18 years, and they did not report any serious infection events in either arm.

By comparison, a recent systematic review of serious infection risk in patients with rheumatoid arthritis receiving biologic therapies analyzed more than 3 times the number of participants compared with the current review, reporting

### Table 1: Forest plot for dose-independent comparison between biologic therapies and retinoid therapy/phototherapy

<table>
<thead>
<tr>
<th>Study or Subgroup</th>
<th>Log[Hazard Ratio]</th>
<th>SE</th>
<th>Total</th>
<th>Weight</th>
<th>Hazard Ratio IV, Fixed, 95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.1.1 Etanercept vs Retinoids/Phototherapy</td>
<td>0.2548</td>
<td>0.4349</td>
<td>461</td>
<td>1610</td>
<td>100.0%</td>
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<tr>
<td>Subtotal (95% CI)</td>
<td></td>
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<tr>
<td>Heterogeneity: Not applicable</td>
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<tr>
<td>Test for overall effect: Z = 0.59 (P = 0.56)</td>
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<tr>
<td>7.1.2 Infliximab vs Retinoids/Phototherapy</td>
<td>0.5766</td>
<td>0.5219</td>
<td>246</td>
<td>1610</td>
<td>100.0%</td>
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<tr>
<td>Subtotal (95% CI)</td>
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<tr>
<td>Heterogeneity: Not applicable</td>
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<tr>
<td>Test for overall effect: Z = 1.10 (P = 0.27)</td>
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<tr>
<td>7.1.3 Adalimumab vs Retinoids/Phototherapy</td>
<td>0.9243</td>
<td>0.275</td>
<td>1157</td>
<td>1610</td>
<td>100.0%</td>
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<tr>
<td>Subtotal (95% CI)</td>
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<td>Heterogeneity: Not applicable</td>
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<tr>
<td>Test for overall effect: Z = 3.36 (P = 0.0000)</td>
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<tr>
<td>7.1.4 Ustekinumab vs Retinoids/Phototherapy</td>
<td>0.2311</td>
<td>0.3299</td>
<td>1519</td>
<td>1610</td>
<td>100.0%</td>
</tr>
<tr>
<td>Subtotal (95% CI)</td>
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<tr>
<td>Heterogeneity: Not applicable</td>
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<tr>
<td>Test for overall effect: Z = 0.70 (P = 0.48)</td>
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</tbody>
</table>

Test for subgroup differences: Chi² = 3.24, df = 3 (P = 0.36), P = 7.4%
10 times the number of serious infection events in total (Singh et al., 2015). However, there are differences between this rheumatoid arthritis population and psoriasis populations, including age, sex, and disease pathogenesis. In addition, RCTs in patients with rheumatoid arthritis often allow co-therapy with other oral immune suppressants (which is not allowed in psoriasis RCTs), and patients with psoriasis are less likely to be affected by mobility issues or be treated with concomitant intra-articular or enteral corticosteroid therapy. Thus, rheumatoid arthritis patients have a higher baseline infection risk, and this may partially account for the lower event rates seen in psoriasis RCTs.

The serious infection safety profile from the meta-analysis of RCT data here is in keeping with the findings of two previous systematic reviews and meta-analyses (Dommasch et al., 2011; Nast et al., 2015), one of which investigated adverse events rather than serious infection specifically (Nast et al., 2015). These studies likely share the same limitations as the current study in terms of number of events and sample size, duration of treatment, and exclusion criteria listed above.

Observational data are also likely to be limited by factors such as residual confounding. Different thresholds for hospitalization of patients among the 301 centers from 16 different countries (e.g., between predominantly privately funded vs. publicly funded health systems) may lead to outcome misclassification in patients from the cohort study (Kimball et al., 2014). It is unclear whether this misclassification would be differential, because the relative prevalence for each prescribed therapy is not given for each country. However, it is probable that this type of misclassification would affect multinational, multicenter RCTs to the same extent.

The selection of a close comparator cohort is important, but some baseline differences between the two cohorts were not provided in the study by Kalb et al. (2015) (e.g., psoriasis area and severity index, number of previous treatments). The main analysis used a prevalent population, that is, inclusive of patients who had started a biologic therapy before registration. This presents a problem of left truncation: those who have had the event of interest before registration are not captured in the analysis, introducing selection bias favoring the interventions. This is especially pertinent given the probability that the risk of serious infection may follow a time-dependent trajectory of a higher risk in the first 6 months. The use of the adjHRs for the incident population in this review may help take this into account (Yoshida et al., 2015).

Data were particularly scarce for the assessment of the risk of serious infections for infliximab. Three eligible trials were examined, and the incident population cohort reported by Kalb et al. (2015) for infliximab included 246 patients and 324 patient-years only.

CONCLUSIONS

In summary, no increased short-term risk of serious infection was identified in adults with psoriasis who were eligible for enrolment in the RCTs. No differential serious infection risk between the biologic therapies was detected where head-to-head RCT data were available. Data from a real-world population, however, suggest that adalimumab is associated with a higher risk of serious infections compared with acitretin and/or phototherapy in adults.

The results from the RCTs should be interpreted with caution given limitations, which include the lack of long-term data, the differences among the characteristics of the study population compared with the target population of patients in real-world settings, and the unclear reporting of outcome measures of serious infections in RCTs.

Other limitations include a low event rate, the lack of data informing the serious infection risk of infliximab, and the lack of comparative data either between biologic therapies or against traditional systemic therapies. Future research priority should focus on standardization of the nomenclature, definition, and reporting of the outcome for serious infection in RCTs. Strict adherence of the extension of the CONSORT statement to report harms in clinical trials will help make the definition, ascertainment, and statistical evaluation of adverse events more transparent (Ioannidis et al., 2004). Future RCTs should conduct head-to-head comparisons between biologic therapies above and beyond 6 months. More RCTs should be conducted in children from different populations. Individual patient data and trial protocols from the RCT should be shared after publication for replication of results and to facilitate time-to-event meta-analysis (Taichman et al., 2016).

Analysis of further adequately powered registry data with adequate adjustment for potential confounding should be performed to clarify the risk of serious infection of biologic therapies against a suitable comparator cohort. This may inform the current uncertainty about the risk of serious infection when biologic therapies are used in patients with psoriasis. Clinicians should encourage their patients to enroll in prospective pharmacovigilance registries and should remain vigilant for serious infections in patients receiving biologic therapies for psoriasis.

MATERIALS AND METHODS

The current systematic review and meta-analysis was conducted in accordance with the PRISMA statement. The review protocol was registered on the PROSPERO International prospective register of systematic reviews (2015:CRD42015017538).

Predefined search strategy and selection criteria

An a priori protocol was established as follows. The patient population included all people with psoriasis who were being treated primarily for their skin disease. Children (up to 12 years) and young people (12–18 years), people with different psoriasis phenotypes, and people receiving a second biologic were considered in different strata if data were available. Factors such as biologic dosing regimen, methotrexate use, disease severity, skin type and ethnicity, psoriatic arthritis, and body mass index were considered for subgroup analysis if heterogeneity of the results was present. Published studies, including RCTs, systematic reviews, or prospective cohort studies, were considered for inclusion if the intervention consisted of one or more of the following: adalimumab, etanercept, infliximab, ustekinumab, and secukinumab. The comparison arm could consist of any of the listed biologic therapies or of placebo or nonbiologic systemic interventions. Only prospective studies were considered. For observational studies, only studies that presented adjusted estimates (e.g., adjHRs) were eligible. The outcome was the occurrence of a serious infection episode at time points reported at the end of the placebo- or comparator-controlled period; serious infection was defined by the investigator.
Studies were excluded if there were fewer than 50 participants or if there were fewer than 25 participants in each intervention arm. Studies involving indirect populations were excluded, with populations involving a treated proportion for psoriatic arthritis of greater than 50% considered indirect.

The systematic literature search was conducted in the PubMed, Medline, Embase, and Cochrane databases from inception up to September 29, 2015, with the results de-duplicated, titles reviewed, and irrelevant studies excluded (LE). The search terms and strategy are presented in the Supplementary Materials, section S1, online. All studies reported in a language other than English were excluded.

Titles and abstracts of studies were screened in a two-step process, initially by two assessors (ZY and ZJL), with any disagreement reviewed by a third assessor (CS). The full-text articles were obtained, read, and rechecked against the protocol, with those that did not meet it excluded (LE). Systematic reviews and meta-analyses were screened for additional studies (LE). The RCTs and identified cohort studies were distributed among the coauthors for detailed appraisal and extraction of data using a standardized data extraction tool. For the studies that did not report serious infection as a main outcome, the relevant pharmaceutical company and/or the lead author for the published study was contacted. Data were provided for the following referenced studies in this way: Griffiths et al., 2015; Langley et al., 2014; and Thaci et al., 2015. The data extraction and appraisal were then repeated by one assessor for all eligible articles (ZY). The methodological quality of each study, including the risk of bias of individual studies, was assessed using checklists from National Institute for Health and Care Excellence (United Kingdom) and the National Clinical Guideline Centre, and the quality of the evidence for outcomes across studies was assessed by the GRADE criteria.

**Data analysis and quality assessment of evidence**

The meta-analysis was performed using Peto’s method with a fixed effects model to give a least-biased results and is preferable when the events are rare (Bradburn et al., 2007). Under Peto’s method, studies were dropped from the pooled analysis if they reported no events in either arm. In situations where in one cell there were zero cases, 0.5 was added to all four cells of a $2 \times 2$ table. A sensitivity analysis was performed using the Mantel-Haenszel risk ratios to add robustness to the results.

Heterogeneity was assessed using the $I^2$ test. Selection bias, lack of blinding, attrition bias, and measurement and outcome reporting bias were assessed using the National Institute for Health and Care Excellence checklists for individual studies. The GRADE assessment profile table was generated using software from the Cochrane Collaboration (GRADEpro 3.6, The Nordic Cochrane Centre, The Cochrane Collaboration, 2014, Copenhagen), because it has been shown that this method gives the least-biased results and is preferable when the events are rare (Bradburn et al., 2007). Under Peto’s method, studies were dropped from the pooled analysis if they reported no events in either arm. In situations where in one cell there were zero cases, 0.5 was added to all four cells of a $2 \times 2$ table. A sensitivity analysis was performed using the Mantel-Haenszel risk ratios to add robustness to the results.

Heterogeneity was assessed using the $I^2$ test. Selection bias, lack of blinding, attrition bias, and measurement and outcome reporting bias were assessed using the National Institute for Health and Care Excellence checklists for individual studies. The GRADE assessment profile table was generated using software from the Cochrane Collaboration (GRADEpro 3.6, The Nordic Cochrane Centre, The Cochrane Collaboration, 2014, Copenhagen). The quality of the evidence for each comparison across studies was classed as very low, low, moderate, and high based on an assessment of the risk of bias, inconsistency, indirectness of evidence, imprecision, and publication or reporting bias. Based on the GRADE criteria, evidence for observational studies is downgraded to start with a low quality. This can be graded upward if there are no reasons for downgrading because of the described assessment and if the magnitude of the treatment effect is large, there is evidence of a dose-response relationship, and if all plausible confounders would have ordinarily decreased the magnitude of an apparent treatment effect.


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