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1 **Spillover systems in a telecoupled Anthropocene:**  
2 **Typology, methods, and governance for global sustainability**  
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## Abstract

The world has become increasingly telecoupled through distant flows of information, energy, people, organisms, goods, and matter. Recent advances suggest that telecouplings such as trade and species invasion often generate spillover systems with profound effects. To untangle spillover complexity, we make the first attempt to develop a typology of spillover systems based on six criteria: flows from and to sending and receiving systems, distances from sending and receiving systems, types of spillover effects, sizes of spillover systems, roles of agents in spillover systems, and the origin of spillover systems. Furthermore, we highlight a portfolio of qualitative and quantitative methods for detecting the often-overlooked spillover systems. To effectively govern spillover systems for global sustainability, we propose an overall goal (minimize negative and maximize positive spillover effects) and three general principles (fairness, responsibility, and capability).

## Highlights

- Telecouplings have generated widespread spillover systems worldwide
- We develop a typology of spillover systems based on six criteria
- Spillover systems are often overlooked but can be uncovered using various methods
- We propose an overall goal and three general principles to govern spillover systems

## Introduction

Increasing environmental and socioeconomic interactions across the world is a distinct feature of the Anthropocene [1]. Telecoupling is a newly developed umbrella concept that encompasses a broad range of socioeconomic and environmental interactions over distances [1], such as international trade [2], foreign direct investment, animal migration [3], human migration [4], tourism, travel, species invasion [5], disease spread, transfers of pollutants and waste, payments for ecosystem services, technology transfer, and knowledge transfer [6\*\*].

Telecouplings intimately connect coupled human natural systems around the world, and many telecouplings generate complex and profound socioeconomic and environmental impacts across local to global scales. Such impacts have important implications for achieving global initiatives such as the United Nations Sustainable Development Goals [7], the Paris Agreements [8], and the Aichi Targets [9]. Although many telecouplings have existed for a long time, their rapid expansions require new frameworks to understand the unprecedented interconnections and feedbacks within the new and evolving contexts in the Anthropocene.

Conceptually, the telecoupling framework offers a useful analytical lens for effective sustainability research and policy [1,10]. It explicitly views global interconnectivity as flows among interrelated units of analysis, e.g., sending, receiving, and spillover systems [1,11]. Sending and receiving systems are entities that send and receive flows of information, material, energy, goods, products, capital, people, knowledge, techniques, ideas, and/or organisms. Spillover systems are entities that affect, or are affected by, interactions between sending and receiving systems. For example, spillover systems are created when an interaction between a sending and receiving system generates flows and effects that spill over to other locations.

1 However, the classification of systems as sending, receiving or spillover systems depend on their  
2 function as well as the research question or the analytical perspective of the researcher [12].

3 The notion of spillover systems is related to widely used concepts (Liu J, Hull V,  
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1 Table 1) such as spatial externalities [13,14], off-site impacts [15], displacements [16],  
2 leakages and indirect land use changes [17,18]. However, the concept of spillover systems is  
3 more comprehensive than these related concepts which focus on effects. Spillover systems in this  
4 paper are explicitly associated with telecoupling causes, sending and receiving systems, flows,  
5 agents, and effects [6\*\*]. The concept also goes beyond disciplinary fields, explicitly  
6 incorporating both socioeconomic and environmental linkages with sending and/or receiving  
7 systems.

8 Recent studies have brought increasing attention to spillover systems, including spillover  
9 effects (e.g. [19\*, 20, 21\*, 22-25, 26\*, 27, 28]). However, the diffuse and illusive nature of  
10 spillover systems makes them inherently difficult to detect, study, and govern [6\*\*]. This is in part  
11 because they are largely hidden from the main interactions between sending and receiving  
12 systems [29]. For example, in international trade, attention is focused on trade partners, while  
13 other parties are often overlooked. Identifying and understanding spillover systems is a new,  
14 important frontier in sustainability research, and the telecoupling framework helps facilitate  
15 analysis of issues beyond primary interactions [12,30]. Minimizing negative effects and  
16 amplifying positive effects of telecoupling on spillover systems is essential for achieving global  
17 sustainability goals, targets, and agreements. It urgently requires integrative research across  
18 disciplinary boundaries and a portfolio of methods to address the challenges involved with  
19 spillover systems, now and in the future.

20 To advance spillover system research and governance, we aim to (1) develop a typology  
21 of spillover systems with illustrative examples, (2) highlight methods for investigating spillover  
22 systems, and (3) discuss spillover system governance goal and principles.

## 23 **Typology of Spillover Systems**

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26 To disentangle the complexity of spillover systems, we develop a typology of spillover  
27 systems according to six criteria: flows from and to sending and receiving systems, distances  
28 between sending/receiving systems and spillover systems, types of effects on spillover systems,  
29 sizes of spillover systems, roles of agents in spillover systems, and origins of spillover systems.

### 30 ***Spillover systems based on flow directions***

31  
32  
33 There are four distinct ways that spillover systems connect to sending and receiving  
34 systems through various flows (Figure 1, Table 2): (1) Sending/receiving-linked spillover  
35 systems are connected with both sending and receiving systems (Figure 1a). For example, in the  
36 global food trade system, many countries (e.g. Canada) can be viewed as spillover systems  
37 because they are affected by or affect soybean exports from Brazil (sending system) to China  
38 (receiving system, the largest soybean importing country in the world) [31\*] (Figure 2). (2)  
39 Sending-linked spillover systems are only connected with sending systems (Figure 1b). In the  
40 case of China's South-North Water Transfer Project, a large quantity of water is transferred from  
41 the water source (Yangtze River in south China, sending system) to the water transfer  
42 destinations (e.g., Beijing in north China, receiving system). Connected to the sending system  
43 but not directly connected with the receiving system, the Yangtze Delta has become a spillover  
44 system and is suffering from increasing seawater encroachment due to the reduction of water  
45 from the sending system of the transfer project [32]. (3) Receiving-linked spillover systems are  
46 only connected with receiving systems (Figure 1c). For example, in the international panda loans

1 program, zoos outside China (receiving systems) borrow giant pandas from Wolong Nature  
2 Reserve in southwestern China (sending system) [33\*]. In this case, spillover systems connected  
3 with the receiving systems would include areas that grow bamboo to feed the pandas in those  
4 zoos and the areas from which people travel to see the pandas. (4) Stopover spillover systems are  
5 connected with sending and receiving systems by being an intermediate stopover or point in the  
6 pathway between the sending and receiving systems (Figure 1d). For example, during migration  
7 following the breeding season, Kirtland's warblers travel long distances from the sending  
8 systems (breeding sites in Michigan) to receiving systems (wintering grounds in the Bahamas),  
9 and make stops in between to rest and feed. Those stopover sites or staging sites are spillover  
10 systems of this migration, which has both ecological and socioeconomic implications [3].

11

### 12 *Distant vs adjacent spillover systems*

13

14 Distances between spillover systems and associated sending and receiving systems can be  
15 geographical, environmental, ecological, institutional, or social [6\*,10,30,34]. That is, spillover  
16 systems and sending and/or receiving systems can be separated across geographical space (e.g.,  
17 measured in kilometers) [4], or separated by institutional ties such as food and energy sectors  
18 governed by different institutional arrangements [34]. Spillover systems can also be separated  
19 socially where their agents can be physically close, yet socially distant from the sending and  
20 receiving systems [4].

21 We exemplify distant versus adjacent spillover systems over geographic distances (Table  
22 2). Spatially distant spillover systems are located far from sending and receiving systems,  
23 whereas adjacent spillover systems are nearby. In the example of soybean trade between Brazil  
24 and China [35], countries such as Canada that export fertilizers to Brazil, which produces  
25 soybeans for China, are distant (e.g., ~7,400 km between the capitals of Canada and Brazil and  
26 ~17,000 km between the capitals of Brazil and China, Figure 2). Adjacent spillover systems are  
27 just beyond the borders of the sending and/or receiving system. For example, globally funded  
28 development projects supporting the construction of irrigation canals and the development of  
29 new agricultural production systems in developing countries (e.g., in the Bolivian Andes) have  
30 inadvertently impacted adjacent farmers and fields through channel overflow and the adoption of  
31 the new production systems among local farmers [4,36].

32

### 33 *Spillover systems with positive vs negative effects*

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35 Effects of telecoupling on spillover systems can be valued as either positive or negative  
36 outcomes. Distinguishing these effects depends on who experience them, research questions and  
37 perspectives as well as their assumptions, values, and goals. For example, many spillover effects  
38 on the environment are negative, such as emissions of greenhouse gases [31\*], pollution [37],  
39 biodiversity loss [2], deforestation [38], and socioeconomic loss [39]. A specific example of a  
40 negative spillover effect is the invasion of zebra mussels (*Dreissena polymorpha*) from the Black  
41 and Caspian Seas to the Great Lakes of the United States (USA) resulting from the 1980s grain  
42 trade between the American Midwest and the Soviet Union (Table 2). Oceangoing vessels  
43 transported zebra mussels from Soviet ports in their ballast water and discharged them into the  
44 Great Lakes on their return journeys. The zebra mussels now create water quality problems in the  
45 Great Lakes by selectively filtering the non-toxic algae that would naturally compete with toxic  
46 algae [40]. The concentration of toxic *Mycrocystis* and other blue-green algae led to a recent

1 drinking water crisis in northern Ohio and southern Michigan where 400,000 people had water  
2 deemed undrinkable for several days [41].

3 Examples of positive spillover effects consist of education opportunities in visiting zoos  
4 that increase environmental awareness and promote environmental actions [33\*], economic  
5 benefits from tourism-related industries that manufacture and sell goods (e.g., outdoor gears) and  
6 services [42], carbon sequestration from increased biomass through conservation investments  
7 [33\*], increased fish stock and catch in unprotected regions surrounding marine protected areas  
8 [43], conservation of the biodiversity (e.g., fruits and crop seeds) for agriculture [36], and  
9 incentives of desired outcomes including reduced production, input, or infrastructure costs in  
10 conversions to organic agriculture [4,14,44]. For example, a bamboo farm on the outskirts of  
11 Amsterdam, the Netherlands, (a spillover system) received more than US\$100,000 annually for  
12 providing organic bamboo shoots to feed the pandas in Edinburgh Zoo (receiving system) from  
13 Wolong Nature Reserve (sending system) [45,46].

### 14 15 *Large vs small spillover systems*

16  
17 Spillover systems can vary drastically in size, whether considered as the geographic area  
18 covered or the number of people affected. For example, the transport of goods between two  
19 distant countries or regions generate greenhouse gas emissions that impact the rest of the world  
20 as a spillover system through climate change effects [47]. Even transporting a pair of pandas  
21 from the sending system (Wolong Nature Reserve) to Edinburgh, Scotland, via a Boeing 777  
22 could emit 232,000 kg of CO<sub>2</sub> one way alone [33\*]. In contrast, some spillover systems are small,  
23 such as rural villages in Bolivia [36], Laos [11\*], and the East-West Economic Corridor between  
24 Vietnam and Thailand [48]. Regarding the East-West Economic Corridor in Southeast Asia [48],  
25 for example, the establishment of an economic corridor (major cities on the corridor are  
26 receiving systems) by domestic governments, foreign aid and overseas investment (as sending  
27 systems that send and facilitate investment) spurred growth and specifically, the construction of  
28 cassava processing facilities. Farmers in nearby villages (spillover systems) have also increased  
29 cassava cultivation, further catalyzed by the improved transportation infrastructure [48].

### 30 31 *Active vs passive spillover systems*

32  
33 Spillover systems can also be classified as active or passive based on the role of various  
34 agents in relation to the main flows in a given telecoupled system. Agents in spillover systems  
35 can be active or passive participants in telecouplings. The role of active agents is exemplified in  
36 spillover systems that are generated in relation to international land transfers or land grabbing.  
37 For example, some agents in the spillover systems actively facilitate land transfers by providing  
38 information and introducing agents in the sending and receiving systems, i.e., land demanding  
39 and land supplying countries [24]. In contrast, greenhouse gases emissions and oil spills often  
40 create passive spillover systems, where agents in these spillover systems do not generate these  
41 processes. For instance, the spillover system arising from CO<sub>2</sub> emissions by tourists traveling  
42 between sending and receiving systems is passive. CO<sub>2</sub> emissions by a tourist flying in economy  
43 class from Detroit, USA, to Chengdu, China, via Beijing would produce approximately 1,705 kg  
44 of CO<sub>2</sub> and the rest of the Earth system, including Beijing, is affected passively [49]. Active and  
45 passive spillover systems may coexist in the same telecoupling. In the above case, for example,  
46 Beijing is also an active spillover system whose agents provide services to tourists [33\*].

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## **Origin-based spillover systems**

Spillover systems may have different origins. They can transform from sending or receiving systems of the same telecoupled system, or emerge from systems of a different telecoupling.

Spillover systems are formerly sending systems (i.e., sending-converted). For instance, the USA was the largest sending system of soybeans to China between 1995 and 2012 (not including 2011, see [35]), but has been overtaken by Brazil since 2013 [35]. Thus, although the USA is still a major soybean sending system to China, it is now also a spillover system experiencing the negative effects of a declining global market share of soybean exports to China due to the competition from Brazil [50\*].

Receiving systems can also transition to spillover systems (i.e., receiving-converted). The ancient city of Shanghai, for instance, has recently become the world's largest container shipping port [51]. Thus, Shanghai was and still is a receiving system for goods, but it is also a spillover (stopover) system for other international trade.

Systems that were not previously in the telecoupled system can transform into spillover systems (i.e., new spillover systems). Invasion of fire ants (*Solenopsis invicta*) is a good example. Fire ants were inadvertently introduced into the southern USA (receiving system) from South America (sending system) via sea shipping early in the last century and, more recently, introduced into California (spillover system) from southern USA [5]. Fire ants were then introduced into southern Taiwan from California [5]. In this case, Taiwan that was not invaded by fire ants and not part of the telecoupled system is a new spillover system because the flow was redirected by the shipping from California.

## **Systems can have multiple typologies and roles**

It is important to note that these classifications may overlap. For example, a small spillover system may have positive or negative effect, and may be far away or adjacent to a sending system or receiving system. Furthermore, a system may have multiple roles, e.g., a spillover system may also be simultaneously a sending or receiving system in different telecouplings such as the above-mentioned Shanghai as receiving and spillover systems simultaneously [51].

## **Methods for Investigating Spillover Systems**

Similar to investigating sending and receiving systems, research on spillover systems and the range of processes that create them requires a portfolio approach with integrative research that draws on qualitative, quantitative, and mixed methods (Table 2). These methods range from molecular markers and global positioning systems (GPS) to remote sensing, from interviews to archival research, from first-hand measurements to secondary data analysis, from field observations to computer simulations, and from qualitative to quantitative analysis such as modeling and spatial statistics using Geographic Information Systems (GIS) (Table 2). This range of methods is characteristic of research on spillover systems while the same methods can also be used in research on sending and receiving systems.

### ***Qualitative methods***

1  
2 Many qualitative methods are useful for identifying spillover systems (Table 2).  
3 Ethnographic fieldwork and qualitative inquiry, for example, can enable the analysis of  
4 important political, cultural and environmental interactions through the experiences and  
5 narratives of the agents involved. Such methods are especially useful to capture spillover systems  
6 and non-material flows (such as the movement of information and ideas) due to their open-ended  
7 nature. For example, Friis & Nielsen [11<sup>\*</sup>] used in-depth interviews with stakeholders involved in  
8 the expansion of banana plantations in northern Laos to qualitatively analyze the multiple  
9 telecouplings that link banana land systems to other land systems, near and far. By progressively  
10 contextualizing how and why the banana plantation expansion took place, detailed ethnographic  
11 data illustrated how the banana land system was not only a receiving system of major capital and  
12 migrant labor inflows, but also a spillover system of an important political conflict between  
13 China and the Philippines, affecting the banana trade and the wider relationships between those  
14 countries [30,52]. Further contextualization and triangulation of primary qualitative data with  
15 local and international news reports, archival material, secondary literature and grey sources can  
16 also provide valuable means for detecting spillover systems. In the Lao banana case, local and  
17 international media reports pointed to the existence of a spillover system in banana producing  
18 regions of China, where catastrophic typhoon events had destroyed banana plantations, thereby  
19 increasing the demand for bananas from Laos [11<sup>\*</sup>].

20 Focus-group and community interviews are also valuable for distinguishing spillover  
21 systems and the mechanisms through which they occur. In the case of biodiversity conservation  
22 of maize in South America, Zimmerer and collaborators used interviews with farmers to identify  
23 and evaluate spillover systems and the key mechanisms involved, including the coordination of  
24 irrigation and production systems among small-size fields [4,36,53]. Furthermore, the  
25 researchers employed the triangulation technique that adds an important methodological cross-  
26 check of information. The triangulation technique involved incorporated focus-group interviews  
27 with the multi-member irrigators' association where diverse views and experiences were  
28 discussed and analyzed.

### 30 *Quantitative methods*

31  
32 Investigating spillover systems also benefits from many quantitative methods (Table 2),  
33 including mathematical and statistical, network, simulation and scenario analyses. Recently,  
34 many market and trade-related telecouplings involving economic and material flows have been  
35 analyzed using land footprint accounting and input-output models (e.g. [54, 55<sup>\*</sup>, 56, 57]). In the  
36 case of land use, econometric modelling can be used for distinguishing and characterizing  
37 spillover systems [14,44,58]. Remote sensing analysis, spatial statistics, and Geographic  
38 Information Systems can also be highly useful for describing spatial patterns and processes  
39 [50<sup>\*</sup>,59,60]. Indeed they are essential to estimating adjacent spillover systems, especially when  
40 combined with methods such as join-count statistics [44]. Statistical regression modelling can be  
41 used with empirical data to explore relationships between flows, causes and effects in spillover  
42 systems. For example, Dou et al. [61] estimated the contribution from flows and other factors  
43 (e.g., population, available land resource) to the deforestation rate in the Brazilian Cerrado biome  
44 as a spillover system. Advances in network analysis [62] enable consideration of both sending  
45 and receiving systems, as well as spillover systems simultaneously.

1 Combining and synthesizing quantitative methods to examine scenarios of change will be  
2 particularly important for understanding spillover effects and options for future sustainability.  
3 Computer simulation models that combine quantitative data and findings from approaches such  
4 as regression, artificial intelligence, or network analysis are particularly well-suited to investigate  
5 and predict changes in spillover systems through time, because they can simulate temporal  
6 dynamics and “emergent” phenomena that arise through interactions among system components.  
7 Although simulation models that allow dynamic representation of global systems have existed  
8 for many decades (e.g. [63,64]), it is only with recent conceptual and computing advances that  
9 the first hybrid models that integrate multiple approaches have emerged. For example,  
10 Millington et al. [65\*] describe the hybrid structure of a telecoupling simulation model to  
11 investigate long-term dynamics of local land use and global food trade for various  
12 socioeconomic, policy, and environmental scenarios. Dou et al. [61] used their Brazil  
13 telecoupling regression model with a scenario in which the Brazilian Soy Moratorium was absent  
14 in the Amazon biome to estimate the deforestation rate in the Cerrado biome spillover system.

### 15 *Mixed methods*

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18 Mixed methods, which employ various combinations of quantitative and qualitative  
19 methods (including but not limited to those presented above), are often needed for detecting and  
20 analyzing spillover systems and tracking their occurrence over time and across space. They can  
21 harness the strengths of multiple complementary methods and ways of understanding the world  
22 [66,67], and can provide pragmatic approaches (sensible and realistic ways based on practical  
23 instead of theoretical considerations) to complex and multi-faceted research problems [68].  
24 Applications of mixed methods may be achieved by employing complementary approaches in  
25 sequence, wherein the insights gained via one method build on prior findings of other methods,  
26 or by employing a number of methods in parallel for the triangulation or corroboration of results  
27 and increased analytical rigor in a single study. Different combinations of methods may be  
28 applied to data collection, analysis, inference, and interpretation to obtain new insights.

29 A number of spillover system studies have already used mixed methods. For instance,  
30 Leisz et al. [48] identified rural villages on both sides of the border between Vietnam and  
31 Thailand as spillover systems from the investment in economic activity of the East-West  
32 Economic Corridor, through spatial analysis of remote sensing data and data from interviews  
33 with government officials, university staff acting as non-formal educators and community  
34 facilitators (hereafter called extension agents), and village members. Mapping and visualization  
35 techniques are also effective mixed-methods approaches for revealing patterns of spillover  
36 systems [26\*]. For example, Figure 2 demonstrates the power of maps and graphs to illustrate  
37 soybean flows from Brazil and the spillover systems arising due to Brazilian demand for  
38 fertilizer. Similarly, Xiong et al. [57] used chord diagrams and other visualizations to examine  
39 flows and spillover systems with embedded greenhouse gas emissions in the global metal trade.

## 40 41 42 43 44 45 **Governance of Spillover Systems**



1           It is important to integrate spillover systems into telecoupling governance in a holistic  
2 manner. Telecouplings pose important new challenges for sustainability governance [34,69,70\*].  
3 They transcend traditional territories and jurisdictional levels, implicate diverse agents across the  
4 public-private spectrum, and connect multiple production and consumption sectors. Some  
5 particular telecouplings have attracted the attention of regulatory authorities, NGOs, and other  
6 civil society groups due to pressure to address negative social and environmental impacts – for  
7 example, along supply chains and in global sourcing networks. The literatures on supply chain  
8 management [71], global value chain governance [72], and multi-stakeholder standards [73]  
9 detail many examples of attempts to govern social and environmental impacts across diverse  
10 sectors. The success of such governance arrangements remains the subject of considerable debate  
11 [74]. As spillover systems and related socioeconomic and environmental effects are widely  
12 dispersed, efforts to govern telecouplings for sustainability must take account of spillover  
13 systems.

14           Spillover systems are particularly challenging for governance as they rarely appear on the  
15 agendas of individual states or multilateral governing authorities and regimes, or even hybrid  
16 governing entities such as multi-stakeholder platforms and ‘roundtables’. While network  
17 governance and supply chain governance have achieved some success through various public-  
18 private and hybrid governance arrangements (e.g. codes, standards, voluntary labels, and private  
19 rules), they may miss spillover systems. In order for governance to account for impacts in  
20 spillover systems and other parts of the telecoupled systems as a whole, they must become  
21 apparent. Furthermore, given the complex interconnectivity and non-linear cascading effects that  
22 give rise to spillover systems, it is likely that efforts to govern for sustainability in one place  
23 affect sustainability in other places. In this way, governance interventions – whether in the form  
24 of policy programs or other governing efforts – may themselves produce new dependencies and  
25 have ripple effects.

26           To effectively govern telecouplings with special attention to spillover systems, we  
27 propose an overall goal and three general principles. The overall goal is to minimize and avoid  
28 negative effects, while maximizing positive effects of telecoupled system interactions. The  
29 general principles are fairness, responsibility, and capability. First, fairness means that negative  
30 effects should be compensated for [6\*\*] and positive effects should be shared. How to determine  
31 ways and amounts of compensation may draw experiences in payments for economic damage  
32 [75], ecosystem services [76-78], environmental pollution [79-81], as well as carbon offsets in  
33 some travel-related activities [82]. Second, responsibility refers to the duty that various agents  
34 have in relation to specific spillover effects. If agents in spillover systems do not participate in  
35 generating the effects, agents in sending and/or receiving systems should be accountable for the  
36 effects. Third, capability refers to the relevant agents’ ability to cover the cost of negative effects  
37 or reap the benefits of positive effects.

38           To achieve the overall goal and follow the general principles outlined above, it is  
39 important to incorporate information on spillover systems into decision making. For example,  
40 trade agreements should incorporate spillover systems by going beyond trade partners. In  
41 addition to traditional place-based governance approaches (central focus on place), it is  
42 important to take a flow-based approach, which considers a place in light of its relationships with  
43 other places, by tracking and managing where key flows start, progress, and end [3,83]. Flow-  
44 based governance can also be directly targeted at the flows themselves, e.g., aimed at managing  
45 the value chains of products, through certification schemes, or the flow of money by taxation,  
46 etc.

1 For different types of spillover systems (Table 2), governance approaches should vary  
2 accordingly. The governance responses may include market mechanisms, regulations, regional,  
3 bilateral and international agreements. While further research is required to identify feasible and  
4 effective governance options for the various types of spillover systems, it is clear that  
5 governance responses will need to be tailored to specific systems. For instance, for negative  
6 spillover effects, responsible parties should offset the cost. On the other hand, relevant parties  
7 should share the positive spillover effects. Small and large spillover systems will require small to  
8 large degrees of cross-jurisdictional and multi-level governance. Governing adjacent spillover  
9 systems might draw upon successful experiences in working with neighbors. To revise the  
10 existing or develop new governance mechanisms for specific spillover systems, it would be most  
11 effective and efficient to engage relevant stakeholders (e.g., citizens and policy makers) across  
12 local to global levels.

13 To make stakeholders aware of spillover systems and to implement flow-based  
14 approaches to governance, extension programs can help stakeholders such as the World Trade  
15 Organization (WTO) and relevant government agencies frame issues within a telecoupled  
16 context. In the USA, for example, agricultural extension professionals are part of a nation-wide,  
17 non-credit education network created by the Smith-Lever Act in 1914 [84]. A parallel extension  
18 network focuses on marine, coastal and Great Lakes issues through the Sea Grant network  
19 created by the National Sea Grant College Program Act in 1966 [85]. Extension agents provide  
20 research-based information to farmers, fishermen, and other stakeholders and work to identify  
21 and address current issues and problems through public policy education, facilitation, and  
22 applied research [84,86,87]. As such, extension agents exemplify the importance of mediating  
23 agents that serve as bridges bringing together various other agents with skills to facilitate the co-  
24 design, co-production, and co-implementation of research projects on spillover systems.  
25 Mediating agents can also serve as honest brokers [88] of policy alternatives directed at  
26 telecoupled systems at the local to regional levels. It would be valuable to scale this approach to  
27 the global level, including extension efforts across the United Nations system.

## 28 29 30 **Concluding Remarks** 31

32 Recent studies indicate that spillover systems are widespread and are a key piece of the  
33 sustainability puzzle in a telecoupled world. To untangle the complexity of spillover systems, we  
34 make a first attempt to classify them into different types based on six criteria. Even though  
35 spillover systems are often overlooked, a variety of methods have proved to be effective in  
36 uncovering them. Spillover systems have profound implications for the Sustainable Development  
37 Goals and for many other global challenges. Governing spillover systems should follow three  
38 general principles (fairness, responsibility, and capability) toward the overall goal of minimizing  
39 negative and maximizing positive spillover effects. To achieve global sustainability in the  
40 Anthropocene, spillover systems must be explicitly recognized and systematically characterized  
41 in sustainability research and governance so that effective policies and practices can be  
42 developed and implemented to safeguard humankind and its planetary support systems.

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23

1 **Table 1**

2 **Concepts related to spillover systems**

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Concept	Definition	Examples
Displacement	A decrease in demand or supply of a good or service leads to the increase in demand or supply elsewhere [89]. Displacement can furthermore describe how demand for high value products or crops can push uses of other, more extensive resources, onto more marginal lands [17]	The forest regrowth in Vietnam is contributed largely by the displacement of its domestic wood demand to other tropical countries [89]
Leakage	An action or a policy that aims to reduce the undesirable effects in a target place but leads to the occurrence of such effects elsewhere [89,90]	Conservation efforts to protect Amazon forests lead to more deforestation and disturbances in surrounding unprotected native vegetation [91]
Indirect land use	Unintended land use change caused by the intended (also called direct) land use change elsewhere [92]	Brazil's government planned a large increase in biofuel production, which led to the replacement of pastureland by crops for biofuel production, but unintentionally pushed cattle ranching into the Amazon biome [93]
Off-site impact	Biophysical impacts happen outside of the land use change unit [15]	Fertilizers and livestock on pastoral farms affect the soil biogeochemistry of adjacent forests [94]
Spatial Externality	Economic or other activities in one area have effects on other spaces [14].	Land parcels that were certified organic in California Central Valley were affected by surrounding non-organic land uses [58]

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4

1 **Table 2**

2

3 **Typology of spillover systems**

<b>Criterion</b>	<b>Type of spillover systems</b>	<b>Examples</b>	<b>Methods for investigating spillover systems</b>
Flows to and from sending and receiving systems	Sending/receiving-linked: Flows to and from both sending and receiving systems	Countries (e.g. those in Africa) engaging in soybean trade with Brazil and China [31]	Statistical analysis of data on trade and other issues
	Sending-linked: Flows to and from sending systems only	Yangtze Delta with increased seawater encroachment due to the South-North Water Transfer Project [32]	Relevant measurements (e.g. water, sediments)
	Receiving-linked: Flows to and from receiving systems only	Areas supplying bamboo to zoos and areas from which people travel to see the pandas in zoos that have pandas from Wolong [33*]	Interviews with visitors and news media reports
	Stopover	Stopover for Kirtland's warblers (between USA and Bahamas) [3].	Field work observations and the use of GPS tracking devices
Distance between sending/receiving and spillover systems	Distant	Canada and other countries that provide fertilizers to Brazil for soybean production intended for consumption in China (Fig. 2)	Statistical analysis on international trade of fertilizers
	Adjacent	Fields and farmers in close proximity to newly irrigated areas may indirectly benefit from international development projects [36].	Interviews with farmers, focus groups with irrigators' association, analysis of field clustering using remote sensing and Geographic Information Systems, and join-count statistics
Effect	Positive	Holland received more than US\$100,000 annually for providing bamboo to feed the pandas in Edinburgh Zoo from Wolong [45,46]	Interview with zoo keepers of Edinburg and bamboo growers in the Netherlands.

	Negative	Northern Ohio and southern Michigan lost their drinking water supply due to zebra mussels spread through shipping [41,95]	Interviews with local residents and news media reports; Monitoring drinking water, nutrient loading, hydrology, and food web changes
Size	Large	Global increase in atmospheric CO <sub>2</sub> concentration due to air transport of a pair of pandas from Wolong to Edinburgh [33*]	Calculation of relationships between CO <sub>2</sub> emissions (measurements) and traveling methods (interviews or news reports) and distances (measurements)
	Small	New market outlets for rural villages located near the East-West Economic Corridor between Vietnam and Thailand due to nearby development with foreign aid investment [48].	Interviews with villagers and local government officials
Role of agents in spillover systems	Active	South Africa that facilitates investment from land-title-receiving countries to land-title-sending countries [24]	Interviews with relevant stakeholders
	Passive	Global increase in atmospheric CO <sub>2</sub> concentrations due to transportation emissions of flying and driving tourists [49]	Calculation of relationships between CO <sub>2</sub> emissions (measurements) and traveling methods (interviews or news reports) and distances (measurements)

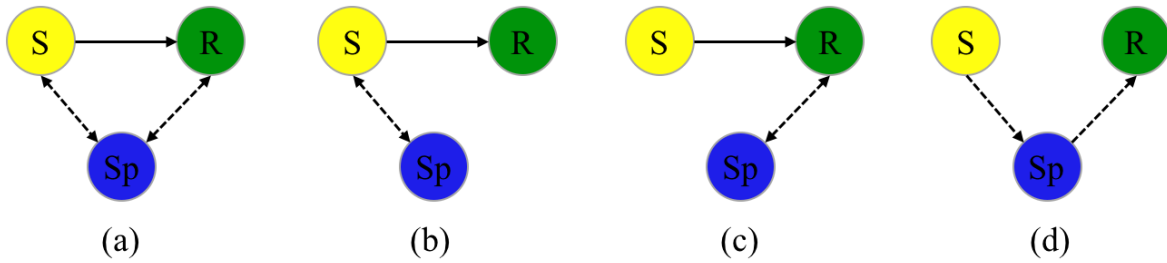
Origin of spillover systems	Sending-converted: Sending systems become spillover systems	United States, a traditional top soybean sending system to China, has recently become a spillover system because of competition from Brazil [35,50*]	Statistical analysis
	Receiving-converted: Receiving systems become spillover systems	Shanghai, a megacity and a receiving system for goods, has become a spillover system because it has the world's largest container shipping port since 2008 [51]	Statistical analysis
	New spillover systems: Spillover systems that were not previously in the telecoupled systems	The invasion of fire ants from South America (sending system) to southern United States (receiving system) via shipping, and later to California (spillover system). Taiwan later became a new spillover system of fire ant invasion due to the shipping from California [5]	Molecular marker

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**Figure 1**

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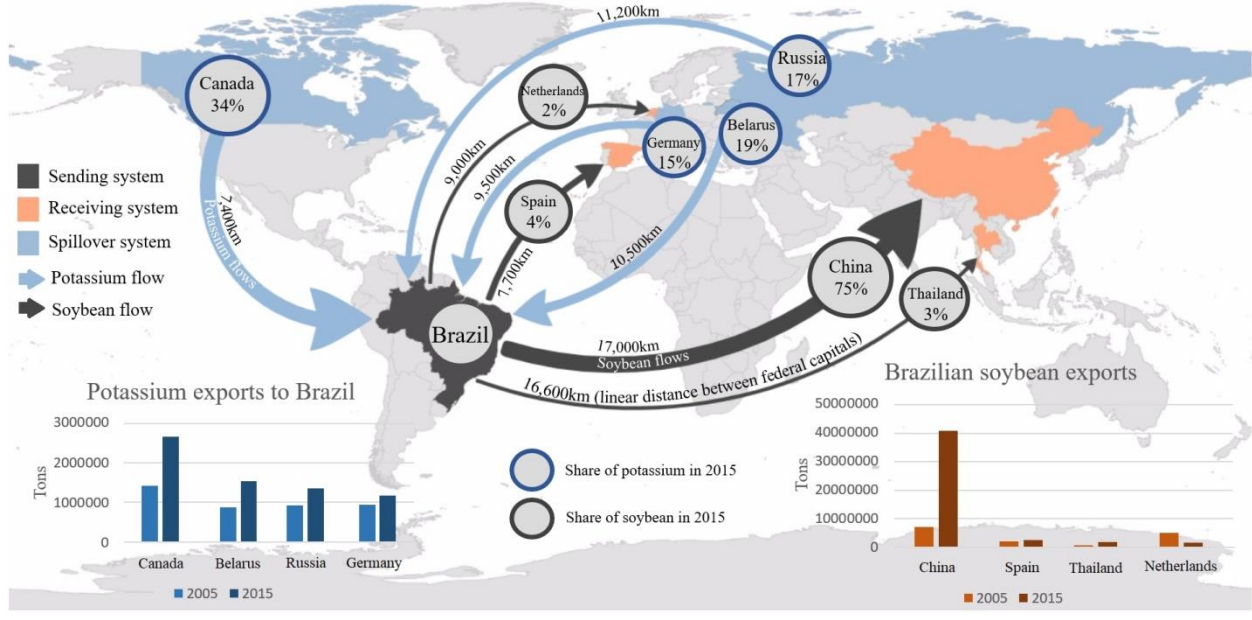
**S** Sending system    **R** Receiving system    **Sp** Spillover system  
→ Flows between sending and receiving systems  
----> Flows between spillover and sending/receiving system

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5 Figure 1. Four possible ways of connections between spillover and sending/receiving systems:  
6 (a) spillover system is connected with both sending and receiving systems; (b) spillover system is  
7 only connected with sending system; (c) spillover system is only connected with receiving  
8 system; (d) spillover system is connected sending and receiving systems by being an  
9 intermediate stopover or pathway between the two systems.

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1 **Figure 2**



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4 Figure 2. Flows of soybean from Brazil to importing countries and the spillover systems affected  
5 by the increased Brazilian demand for fertilizers. (Data source, [96]).

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