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1 **Autism spectrum disorder** doi:10.1038/s41572-019-0138-4 <https://rdcu.be/b0nzi>

2 Catherine Lord¹, Traolach S. Brugha², Tony Charman³, James Cusack⁴, Guillaume Dumas⁵, Thomas
3 Frazier⁶, Emily J. H. Jones⁷, Rebecca M. Jones^{8,9}, Andrew Pickles³, Matthew W. State¹⁰, Julie Lounds
4 Taylor¹¹ and Jeremy Veenstra-VanderWeele¹²

5 1 Departments of Psychiatry and School of Education, University of California, Los Angeles, Los Angeles,
6 CA, USA.

7 2 Department of Health Sciences, University of Leicester, Leicester, UK

8 3 Institute of Psychiatry, Psychology & Neuroscience, King's College London, London, UK.

9 4 Autistica, London, UK.

10 5 Institut Pasteur, UMR3571 CNRS, Université de Paris, Paris, France.

11 6 Autism Speaks, New York, NY, USA.

12 7 Centre for Brain & Cognitive Development, University of London, London, UK.

13 8 The Sackler Institute for Developmental Psychobiology, New York, NY, USA

14 9 The Center for Autism and the Developing Brain, White Plains, NY, USA.

15 10 Department of Psychiatry, Langley Porter Psychiatric Institute and Weill Institute for Neurosciences,
16 University of California, San Francisco, CA, USA.

17 11 Department of Pediatrics and Vanderbilt Kennedy Center, Vanderbilt University Medical Center,
18 Nashville, TN, USA.

19 12 Department of Psychiatry, Columbia University, New York, NY, USA.

20

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44

45 **Author Contributions**

46 All authors read and edited the full document. Introduction (C.L.), Epidemiology (T.S.B.),
 47 Mechanisms/pathophysiology (M.W.S., G.D., R.M.J., T.C. and E.J.); Diagnosis, screening and prevention
 48 (T.C., E.J. and T.S.B.), Management (T.S.B., T.C., E.J., J.L.T. and J.V.W.), Quality of life (J.L.T., J.C. and T.F.),
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50

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68

69 **Abstract**

70 Autism Spectrum Disorder (ASD) is a construct used to describe individuals with a specific combination
 71 of impairments in social communication and repetitive behaviours, highly restricted interests and/or
 72 sensory behaviours beginning early in life. The worldwide prevalence of ASD is just under 1%, but
 73 estimates are higher in high-resource countries. Although gross brain pathology is not characteristic of
 74 ASD, subtle anatomical and functional differences have been observed in postmortem, neuroimaging
 75 and electrophysiological studies. Initially it was hoped that accurate measurement of behavioural
 76 phenotypes would lead to specific genetic subtypes, but genetic findings have mainly applied to
 77 heterogeneous groups that are not specific to ASD. Psychosocial interventions in children can improve
 78 specific behaviours, such as joint attention, language and social engagement that may affect further
 79 development and could reduce symptom severity. However, further research is necessary to identify the
 80 long-term needs and treatments and the mechanisms behind them that could result in improved
 81 independence and quality of life over time. Families are often the major source of support for people

82 with ASD throughout much of life and need to be considered, along with the perspectives of autistic
 83 persons, in both research and practice.

84

85

86 [H1] Introduction

87 Autism spectrum disorder (ASD) is a common, highly heritable and heterogeneous neurodevelopmental
 88 disorder that has underlying cognitive features and commonly co-occurs with other conditions. The
 89 behaviours, strengths and challenges of people with autism, or ASD, have attracted the attention of
 90 scientists and clinicians for at least 500 years (Fig. 1). ASD is a heterogeneous disorder and, reflecting this
 91 heterogeneity, the term autism has been used in various ways to describe both a broader presentation,
 92 and then a specific diagnosis when it was considered to be one subgroup within the general diagnostic
 93 category of ‘pervasive developmental disorders’ (PDDs), a group of disorders that was introduced in
 94 Diagnostic and Statistical Manual of Mental Disorders, Third Edition (DSM III) in 1980 to convey the idea
 95 of a broader spectrum of social communication deficits. Owing to of lack of clear borders between the
 96 PDDs and difficulties in reliably distinguishing them, the current diagnostic systems, the International
 97 Classification of Diseases 11th Revision (ICD-11) and the DSM-5 use the umbrella term ‘ASD’, and
 98 differentiate individuals using additional clinical specifiers and modifiers.

99 Manifestations of ASD include impairments in social communication and interaction, sensory anomalies,
 100 repetitive behaviours and varying levels of intellectual disability (Table 1). Together with these core
 101 symptoms, co-occurring psychiatric or neurological disorders are common in people with ASD, of which,
 102 hyperactivity and attention disorders (such as attention-deficit/hyperactivity disorder (ADHD)), anxiety,
 103 depression and epilepsy are fairly prevalent. A diagnosis of ASD is reached after obtaining a detailed
 104 developmental history, often from the parents, and observation of the individual interacting with parents
 105 or other individuals^{1,2}. Early intervention for children with ASD is key owing to common difficulties in
 106 communication. The types of interventions used change throughout life and include parent-mediated
 107 interventions and/or therapist-delivered interventions in childhood, school-based strategies and
 108 techniques to promote independence in adulthood. Pharmacological therapies can be used to treat some
 109 of the associated symptoms of ASD, such as irritability, and comorbidities, such as anxiety.

110 This Primer discusses the epidemiology and mechanisms of ASD, together with the diagnosis and
 111 treatment of people with this condition. Three themes are addressed: mechanisms of causality and
 112 change over time, heterogeneity within and between individuals with ASD, and outcomes across the
 113 lifespan.

114

115 [H1] Epidemiology

116 [H2] Prevalence [Au: subheading introduced for flow, OK?YES]

117 Epidemiological administrative and community-based [Au:OK?YES] studies have suggested that ASD is
 118 more common in males than in females, with reported ratios [Au:OK to add ‘with reported ratios’ here?]
 119 ranging from 2.1–5. 1, with an estimate of 4.1 in the 2010 [Au:OK?] Global Burden of Disease study^{3,4}. The
 120 sex ratio is slightly lower in studies that use population-wide testing [Au: I’ve edited ‘screening’ to
 121 ‘population-wide testing’ here based on this comment. Do we need to edit the text in the Diagnosis,
 122 screening and prevention section too?] to find community cases within a population compared with the

123 more common passive case-finding studies that review administrative data (for example, medical or
124 special educational records), and that may result in less plausible associations and, therefore, artificially
125 increase prevalence estimates⁵. Active case-finding that does not rely on administrative records has
126 demonstrated an equivalent community rate of ASD in men and women with moderate to profound
127 intellectual disability⁴. Thus, even the most widely accepted tenet of our understanding of factors
128 associated with ASD is far from straightforward.

129 Estimates of the prevalence of ASD in various populations and settings differ according to the method of
130 ascertainment used in the study, including definition, sampling and the extent of independent population
131 case assessment. Of note, the Global Burden of Disease study uses all known data from administrative
132 and community survey sources on a disease or disorder to model associations (particularly with time) to
133 examine trends. In the 2010 GBD study [Au:OK?] , an estimated 52 million people had ASD globally,
134 equating to a prevalence of 1 in 132 individuals⁶. Worldwide, little interpretable variation in the
135 prevalence of ASD between regions or ethnicities has been reported [Peer reviewer comment: It would
136 be useful to add a few comments about prevalence estimates around the world and how they differ
137 based upon resources of the countries (i.e., are likely not due to regional variations in prevalence or
138 differing environmental conditions, but instead reflect access to services). This could enhance the
139 paper's global perspective. [Au: could we quote additional data from the GBD study here? If these data
140 are lacking I would mention this here so it's clear to readers]. Indeed, one systematic review did not find
141 a strong effect of ethnic, cultural or socioeconomic factors on the prevalence of autism [Au:OK]⁷.
142 However, statistical power to detect any effects was limited in the available data sets, particularly in low-
143 income countries. An increased prevalence of ASD has been reported in migrant groups in some studies⁸
144 with few clear factors that might contribute to a greater prevalence in an Afro-Caribbean population in
145 higher income countries^{9,10,11} in the absence of any evidence of geographical variation⁷. However, a
146 survey of adults in the general population has shown that rates of ASD in black and minority ethnic groups
147 may be lower than in the rest of the population¹²; data from indigenous and Aboriginal cultures are very
148 limited.

149 Many individuals and groups presume that autism rates are increasing over time, but this supposition is
150 based on data from administrative records rather community-based studies. Indeed, after accounting for
151 methodological variations between studies, there was no clear evidence of a change in the prevalence of
152 ASD in the community between 1990 and 2010¹³. In addition, general population and systematic case-
153 finding community-based [Au:OK?] surveys (including testing of representative populations) have also
154 confirm the lack of significant change in prevalence rates in childhood¹⁴ and adulthood¹⁵ over time. No
155 significant evidence is available supporting that ASD is rarer in older people, which provides further
156 evidence against the suggestion that ASD is increasing in prevalence over time⁴. Even in high-income
157 countries with strong ASD public health policies, there is evidence that ASD in adults goes largely
158 unrecognized, whereas administratively recorded diagnoses in children increase year by year¹⁶. This
159 finding highlights the importance of obtaining information on ASD rates in settings where professionals
160 may be able to improve its recognition. The prevalence of ASD in mental health inpatient settings is
161 estimated to be far higher than in the general population, ranging from 4–9.9%¹⁷.

162

163 [H2] Environmental factors

164 One review of systematic reviews and meta-analyses of environmental risk factors for ASD included a
165 comprehensive coverage of the literature, a discussion of the limitations of research and the need for
166 long-term prospective cohort-based studies to begin to address these limitations¹⁸ (Fig. 2). This and other
167 studies identified environmental risk factors for ASD as advanced parental age¹⁹ and birth trauma,
168 particularly if due to proxies of hypoxia¹⁸. Moreover, maternal obesity, a short interval between
169 pregnancies, gestational diabetes mellitus and valproate use during pregnancy have all been associated
170 with increased risk of ASD [Au:OK?] (Fig. 2). However, it should be noted that these factors cannot be
171 considered causal, but could be reactive, independent or contributory for ASD. Studies evaluating risk
172 factors for ASD that have reported an absence of association are equally, if not more important, to note,
173 including clear evidence that ASD is not associated with vaccination²⁰. Other negative associations include
174 prolonged labour, delivery by caesarian section or assisted vaginal delivery, premature rupture of
175 membranes and the use of assisted reproductive technologies, among other factors (Fig. 2).
176 Environmental risk factors could underlie risk of ASD through several complex underlying mechanisms,
177 such as [Au: edits to improve narrative flow ok?] genetic and epigenetic related effects (see
178 Mechanisms/pathophysiology, below), inflammation and oxidative stress, hypoxic and ischemic
179 damage¹⁸.

180

181 [H1] Mechanisms/pathophysiology

182 Many cognitive theories have been suggested to underlie the behavioural and developmental
183 manifestations of ASD, although the prominence and the consensus on the potential explanatory value of
184 these theories have declined in the past decade. These theories range from 'social first' theories, such as
185 the theory of mind (or mentalizing) and social motivational deficit theories, to global processing deficit
186 theories including attentional control, executive dysfunction and weak central coherence or enhanced
187 perceptual processing theories^{21,22}. Although many of these theories had a useful descriptive role and
188 provide potential insights into differences in how autistic individuals might process and experience the
189 world around them, the theories pertain to neurodevelopmental disorders in general and lack specificity
190 for ASD), largely non-developmental, applying only to a single point in time, and lack evidence as
191 explanatory models. Nevertheless, they have been useful in clinical practice and underlie some recently
192 proposed interventions, such as CBT-oriented treatments for anxiety²³.

193 Following cohorts of infants from gestation or birth to 2 or 3 years of age (that is, when a diagnosis of ASD
194 can be established) enables the study of the brain and behavioural manifestations of ASD as they
195 emerge²⁴. Indeed, prospective studies of infants with a relative with ASD have yielded a number of insights
196 into the mechanisms of this disorder. For example, infants who develop ASD later in childhood have
197 substantially typical profiles of interest in faces²⁵ and eyes²⁶ at 6 months of age, which have cast doubt on
198 social orienting theories in which ASD originates from a primary deficit in innate patterns of subcortically-
199 mediated social orienting²⁷. In addition, subtle but diffuse differences in encephalography (EEG) and in
200 other measures of brain function have been demonstrated in autistic people (see 'Findings from
201 electrophysiological studies', below), which could represent alternative pathways to a common end-state
202 phenotype or to whole-brain alterations in synaptic signalling pathways that have effects on development
203 [Au: edits for brevity ok?]²⁸. Such considerations highlight the limitations of deterministic models of ASD,
204 in which a genetic change leads to a synaptic change that relates to a canonical symptom²⁹. Rather, there

205 is likely a complex set of developmental interactions, in which the child's emerging brain activity and
 206 behaviour have bidirectional relationships to synaptic signalling and gene expression³⁰.

207

208 [H2] Genetics

209 Twin and family studies consistently demonstrate that ASD has a particularly large genetic contribution,
 210 with estimated heritability ranging from ~40 to 90%^{31,32}. In addition, one analyses demonstrated that ASD
 211 is among the most heritable common medical conditions³³. More than 100 genes and genomic regions
 212 have now been confidently associated with ASD^{34,35}, mostly based on the study of heterozygous, germ-
 213 line, *de novo* mutations. These genetic changes range in size from a single base (or nucleotide)³⁶⁻³⁸ to
 214 submicroscopic segments of DNA of thousands to millions of bases (also known as copy number variations
 215 (CNVs))^{39,40}. Whether these genetic changes lead to alterations in the sequence of DNA or the structure
 216 of the chromosome, changes that have a functional effect on protein-coding regions of the genome have
 217 the strongest and most reliable association with ASD risk. Collectively, these *de novo* heterozygous
 218 mutations are rare and confer relatively large risks of ASD⁴¹. With genetic studies now including cohorts
 219 of up to tens of thousands of individuals and the associated increase in statistical power, common,
 220 transmitted alleles of modest effect size, mostly corresponding to the non-coding regions of the genome,
 221 have begun to be identified⁴².

222 Studies of the genetics of ASD contrast broadly with studies of adult-onset psychiatric disorders, in which
 223 most successful gene discovery has emerged from genome-wide association studies (GWAS), which assess
 224 common alleles of small effect size. Indeed, the earliest successes in ASD presaged a more general finding
 225 that the contribution of rare, *de novo* mutations in coding regions of the genome is relatively greater
 226 among a range of early-onset disorders⁴³⁻⁴⁵ than for typically later-onset common conditions such as
 227 schizophrenia and bipolar disorder, although there is also a surprising degree of overlap in genetic risk for
 228 overtly disparate neuropsychiatric phenotypes that remains to be further elucidated³¹.

229 The extent to which rare, high effect size mutations account for ASD risk raises some important
 230 definitional issues. Considering the overall population, the contribution of *de novo* mutations to ASD risk
 231 is quite small (~3%)³². Indeed, the vast majority of individuals who harbour genetic risk for a common
 232 condition, particularly those with variants of small effect size, will never develop symptoms or need clinical
 233 attention. By contrast, there is a marked enrichment of individuals with rare and *de novo* mutations in
 234 the clinical ASD population. Conservative estimates are that 10-20% of people with ASD harbour a *de*
 235 *novo* rare point mutation or CNV contributing to their presentation (34, 49,50). If the clinical population
 236 is constrained to those with ASD who are female or who have intellectual disability, multiple unaffected
 237 siblings or seizures, ~20-30% have a rare *de novo* mutation **[Au: edits for clarity based on Matt's**
 238 **comment, ok?]**³⁴. For example, the yield of *de novo* structural and sequence variations contributing to
 239 ASD is nearly double in girls than in boys (34).

240 However, irrespective of the precise proportion of risk conveyed by these mutations, their most
 241 substantial contribution to the understanding of ASD is likely to be in elaborating the mechanisms of this
 242 disorder^{48,49}. In ASD, a single *de novo* germ-line heterozygous loss-of-function point mutation can convey
 243 more risk than the cumulative effect of the top decile of polygenic risk for schizophrenia^{47,50}.
 244 Unfortunately, although manifestly more tractable than modelling hundreds of alleles simultaneously,

245 addressing a single ASD mutation at a time is not synonymous with an easy avenue to clinical care of most
246 people with ASD.

247 **[H3] Molecular pathophysiology.** Over the past decade there have been many studies using model
248 systems to recapitulate so-called single gene (or monogenic) versions of ASD, such as fragile X syndrome
249 and tuberous sclerosis complex – which cumulatively are estimated to account for <10% of clinical cases
250 of ASD⁵¹. In addition, more recent studies have modelled the effects of rare and *de novo* mutations
251 identified in idiopathic ASD. This literature is far too vast to review comprehensively here^{52,53}. Although
252 the study of ASD risk genes in model systems has revealed a great deal about general biology, how these
253 findings relate to the pathophysiology of ASD is less clear^{48,49}. In general, ASD risk genes tend to have a
254 role in multiple functions in many brain regions that unfold in a spatiotemporally defined manner across
255 development. Consequently, although manipulation of a single risk gene in a model system may lead to
256 interesting phenotypes—including social-behavioural phenotypes in evolutionarily distant organisms—it
257 does not necessarily illuminate its contribution to human social disability. Moreover, although a single
258 mutation can confer a several fold increase in the risk of ASD, these variants do not demonstrate the type
259 of causal clarity that is associated with classic monogenic neurodevelopmental disorders, such as fragile
260 X syndrome, Angelman syndrome, Rett syndrome or tuberous sclerosis complex. In addition, the well-
261 established sexual dimorphism of social disability adds yet another dimension to the expansive search
262 space that exists between risk gene and human behaviour⁴⁸. The challenges of disentangling the
263 spatiotemporal dynamics of risk gene expression and protein function are made even more difficult by
264 the reality that these may play out differently in males versus females.

265 Owing to these challenges, multiple approaches have emerged focusing on convergence^{38,40,54–57}, that is,
266 searching for points of commonality across different ASD risk genes, with the reasoning that this approach
267 could identify shared pathological mechanisms. In fact, the earliest successes in gene discovery quickly
268 revealed important general properties that have held up well over time, including that, *prima facie*, most
269 proteins encoded by ASD risk genes are involved either in synaptic structure and function or chromatin
270 modification and regulation of gene expression^{38,46,47,58} (Fig. 3). More recently, there has been an
271 additional focus on spatiotemporal convergence and several studies have supported a nexus in mid-fetal,
272 glutamatergic neurons during cortical development, with modestly divergent findings regarding deep⁵⁶
273 versus superficial⁵⁴ cortical layers. With improvements in technology, additional regions, including
274 striatum, have also begun to emerge as points of potential risk convergence for ASD⁵⁹.

275 The ability to constrain future experiments to examine mutations in specific risk-associated regional,
276 cellular and developmental contexts should allow the narrowing in on relevant mechanisms. Of note, one
277 study used single cell technologies to examine specific cell types and developmental stages using brain
278 tissue from people with ASD⁶⁰, and demonstrated changes in transcription in multiple cell types including
279 upper-layer cortical neurons. These types of post-mortem studies ask important but somewhat broader
280 questions from the approaches described above, such as underlying pathology and how the brain changes
281 and responds to pathology over time. In these studies, similar to any cross-sectional study, it can be
282 challenging to differentiate cause from effect. Consequently, the pursuit and intersection of studies that
283 seek to define convergence early in development and those that examine subsequent molecular, cellular
284 and circuit level changes will be critical to illuminating pathological mechanisms.

285

286 [H2] Neurobiology

287 **[H3] Findings from MRI Studies.** This section does not summarize the structural or functional
 288 literature and focuses predominantly on prospective study designs. Broader coverage of the
 289 neurobiology of ASD is needed] [Au: I suggest adding a sentence or two at the end of this paragraph
 290 summarizing Rebecca's comment here, so it's clear why this section of the paper focuses on prospective
 291 studies and those in infants] MRI can facilitate understanding how the brain structurally and functionally
 292 develops differently in people with ASD, although to date, MRI results in ASD are not definitive. Although
 293 neuroimaging is typically more expensive than EEG and studies are limited by issues of replication,
 294 sometimes that is related to head motion that occurred during the scan which can erode signal⁶¹,
 295 structural studies including those using diffusion tensor imaging (DTI)⁶² and functional MRI (fMRI)⁶³ have
 296 accelerated our understanding of how altered neural circuits relate to clinical symptoms of ASD^{64,65}.
 297 Studying circuitry in childhood that is specifically associated with the social brain (a network of brain areas
 298 involved with processing social information), including visual areas, areas of the prefrontal cortex,
 299 subcortex and areas integrating information (such as temporal parietal function and superior temporal
 300 sulcus), could also offer insight into the neural mechanisms of ASD⁶⁶. In addition, MRI may facilitate
 301 understanding the heterogeneity of ASD demonstrating subgroups of individuals with specific
 302 neurobiological alterations that could account for their symptomology.

303 The first MRI studies of ASD focused on cerebral and cerebellar grey matter and white matter volumes in
 304 young children^{67,68}, although these studies were limited by studying toddlers and children ≥ 18 months,
 305 missing the opportunity to detect biomarkers of ASD in the first year of life. More recently, longitudinal
 306 studies have obtained multiple brain MRIs of infants at high risk of developing ASD (that is, those with a
 307 sibling with ASD; known as baby sibling studies) during their first 2 years of life, and assessed these
 308 children for ASD at this age. In these studies, detectable differences in brain structure were observed at 6
 309 months of age in the fractional anisotropy trajectories for 12 of 15 neural fibre tracts in the brain in
 310 children diagnosed with ASD at 2 years of age compared to children not diagnosed⁶⁹. Furthermore,
 311 abnormal growth in the cortical surface between 6 and 12 months of age and greater brain volume
 312 between 12 and 24 months of age was seen in children who were later diagnosed with ASD, compared
 313 with those not diagnosed with ASD⁷⁰ (Fig. 4). In addition, white matter integrity in the genu pathway at 6
 314 months of age predicted the presence of restricted and repetitive behaviours at 2 years of age⁷¹ and
 315 computational work demonstrated that whole brain functional connectivity at 6 months of age predicted
 316 a diagnosis of ASD at 2 years of age⁷². Collectively, these studies suggest the presence of disrupted neural
 317 pathways before the emergence of behavioural symptoms in children with ASD, and might provide clues
 318 about the underlying neural mechanisms of ASD. Although data from MRI studies has revealed differences
 319 in neurobiology between young children diagnosed with ASD and those without⁷³, given that replication
 320 has been particularly difficult in these studies, more work is required before MRI can be used as a reliable
 321 biomarker of ASD⁷⁴.

322 Task-based fMRI studies investigate circuits that are responsible for core challenges in ASD (such as
 323 language production and comprehension⁷⁵), and have demonstrated hyper-activation of the superior
 324 temporal gyrus and inferior frontal gyrus and hypoactivation of the bilateral middle temporal gyrus⁷². In
 325 addition, these studies have demonstrated challenges in processing emotions in faces and the "social
 326 brain"⁷⁴, and deficits in attention⁷⁵. Studies have also shown greater sensitivity to sensory information,
 327 showing increased connectivity between the anterior insula and sensorimotor areas, and the anterior

328 insula and amygdala, together was associated with greater sensitivity to slightly aversive sounds and
 329 tactile information⁷⁶. Although this area of research has revealed similarities or differences in people with
 330 ASD compared with comparison groups, it has been limited by averaging data across many individuals,
 331 which can mask heterogeneity and differences across age groups. In addition, the work has been limited
 332 by small sample sizes and problems with replication that is likely caused by the many challenges with MRI
 333 data collection in people with ASD, such as differences in data processing, inter-subject variability and
 334 data quality⁷⁶. Longitudinal imaging⁷⁷ as well as associating neuroimaging data with longitudinal
 335 behavioural outcomes⁷⁸ can address some of these limitations characterizing differences within
 336 participants.

337 Resting state functional connectivity MRI studies that require participants to look at a blank screen with
 338 no task demands have been used to study intrinsic connections in the human brain. Large datasets, such
 339 as the Autism Brain Imaging Data Exchange (ABIDE⁷⁹), have enabled researchers to pool data to allow
 340 more highly powered studies to address known limitations of small sample sizes and many dataset have
 341 relied on resting state studies to study neural connectivity in ASD. In these studies, evidence has emerged
 342 of both hyper-connectivity and hypo-connectivity in short-range and long-range connections throughout
 343 the brain^{80,81}. Differences in results between studies could be due to the age of the participants⁸², sex
 344 differences, heterogeneity, methodological concerns⁸³ or that both connectivity states exist in ASD.

345 In future, MRI could be well suited to categorize subgroups of ASD⁸⁴, as well as parsing out commonalities
 346 and distinctions among other developmental disorders⁸⁵. Using MRI to better understand differences
 347 between boys and girls on the spectrum⁸⁶, such as differences in whole brain connectivity⁸⁶ or the social
 348 brain⁸⁷, a field in its infancy, or as a marker of biological change due to treatment has growing interest⁸⁸.

349 **[H3] Findings from electrophysiological studies.** EEG has been historically used for the
 350 diagnosis of comorbid epilepsy in people with ASD⁸⁹ although it can also be used to study the mechanisms
 351 of ASD. Compared with MRI, EEG is more economical, easier to use and less invasive—which is particularly
 352 important for paediatric populations—whilst granting access to brain dynamics at millisecond timescales.
 353 Magnetoencephalography (MEG), although more expensive, provides higher spatial resolution than EEG.

354 Since the early recordings, the first focus of quantitative EEG was to study people with ASD in task-free
 355 conditions. Pioneering studies have revealed alterations in oscillatory activity during the resting state in
 356 people with ASD, with more slow waves and less alpha waves, as well as less intra-hemispheric and inter-
 357 hemispheric asymmetry compared to people without ASD⁹⁰. More recent work has demonstrated the
 358 presence of developmental trajectories as revealed through increasingly sophisticated spatio-spectral
 359 analyses, and has revealed how differences in the trajectories of EEG power in high-risk infants may
 360 represent an endophenotypes of ASD^{91,92}.

361 In terms of mechanisms, other studies have started to focus on task-based modulation of cognitive
 362 function, such as low-level perceptual anomalies and action observation that relate to the ASD phenotype.
 363 One theory proposing a specific failure in ASD of the ability of the brain to ‘mirror’ observed actions of
 364 another person (thereby named the ‘broken mirror’ theory) was based on altered μ -wave suppression in
 365 ASD⁹³ but was later questioned both theoretically^{94,95} and empirically^{96,97}, pointing toward a more complex
 366 picture of dysfunctional executive functions and visual attention⁹⁸. Other studies, particularly those
 367 assessing event-related potentials (ERP), have demonstrated the modulation of sensory processing in
 368 people with ASD, with observed changes in sensitivities and latency⁹⁹. Differences in auditory and visual

369 processing could have a role in the development of core features of ASD, such as language delay and
370 difficulty in emotion recognition although this hypothesis requires further study. Although perceptual
371 processes appear different in people with ASD, the electrophysiological underpinning is still far from clear
372 regarding the main ERPs like the MisMatch Negativity (MMN)¹⁰⁰ or the N170¹⁰¹. Although data from
373 meta-analyses have suggested smaller MMN amplitudes and delayed N170 latencies on average in people
374 with ASD compared to typically developing controls, additional studies are required that account for the
375 large heterogeneity of this disorder, by moving away from averaging the data to focus either on specific
376 subgroups¹⁰² or refined modelling strategies that can capture individual differences in developmental
377 trajectories⁹¹. Although this avenue of research has not yet been fully explored, interactive tasks that
378 encompass real-time social interaction could allow the study of brain activity in experimental contexts
379 that are more relevant for core ASD symptoms, rather than the more passive tasks that are used in most
380 functional imaging studies¹⁰³. Experiments focusing on human-human interaction¹⁰⁴ and human-machine
381 interaction¹⁰⁵ have been undertaken but, so far, no study has ever made explicit use of such methods to
382 study the electrophysiology of ASD.

383 In a further search for mechanisms of ASD, prospective baby siblings studies have suggested that the
384 gradual emergence of behavioural symptoms of ASD is preceded by earlier subtle alterations in the activity
385 of regions and networks of the social brain²⁴. For example, early work on a small group of 5–6-month-old
386 infants who later developed ASD observed faster but less prolonged neural activation and delayed
387 sensitization responses to faces compared with infants who did not develop ASD¹⁰⁶, and one report
388 demonstrated that newborns with an increased familial likelihood of ASD showed higher signal
389 homogeneity within core social brain networks (right fusiform and left parietal cortex¹⁰⁷). By comparison,
390 reduced frontal power, particularly in the high-alpha band, during quiet play at 3 months of age¹⁰⁸ and
391 cortical hyperexcitability in the right tempo-parietal region during auditory repetition of pure tones at 9–
392 10 months of age have been found in babies at familial risk for ASD¹⁰⁹, suggesting that atypical patterns
393 occur in brain regions other than those involved in social processing. Such alterations could have a
394 cascading effect on social learning and contribute to the later emergence of behavioural symptoms of
395 ASD, although a causal link remains to be demonstrated. Replications across different research centres
396 are needed because many of these studies had small sample sizes, different definitions of groups and
397 varied measures and time points.

398 Interestingly, results from MEG and EEG studies jointly point toward two physiological mechanisms of
399 ASD: excitation/inhibition (E/I) imbalance and alteration of large-scale functional interactions of brain
400 systems as quantified through connectivity analysis¹¹⁰. An E/I imbalance is supported by results from
401 computational modelling of how reductions in the amount of inhibition can account for the previously
402 observed perceptual consequences of ASD¹¹¹ and transcranial magnetic stimulation (TMS) studies
403 demonstrating a neurophysiological deficit in γ -aminobutyric acid (GABA) receptor-mediated function in
404 people with ASD¹¹². In parallel, decreased long-range functional connectivity has also crystallized as a
405 consistent mechanism¹¹³. MEG studies have especially suggested a complex functional connectivity
406 pattern in the somatosensory cortex with reductions in the feedback (top-down) direction, but increased
407 in the feed-forward (bottom-up) direction¹¹⁴. Clarifying the extent to which this pattern is a
408 methodological artifact that could result from the predominant average-brain approach, as suggested by
409 fMRI studies, is critical¹¹⁵.

410 Beyond use to understand the pathophysiology of ASD, the scalability and accessibility of EEG suggest that
411 this technique could be an ideal candidate for use as a brain-based biomarker. Measures from information

412 theory have already provided promising case-control classification¹¹⁶, but developing generalizable
 413 biomarkers may require a combination of multiple EEG measures supported by robust machine learning
 414 methods¹¹⁷. Against the background of the current reproducibility crisis that characterizes many
 415 studies¹¹⁸, as well as the defining heterogeneity of ASD, the next breakthrough will certainly demand large-
 416 scale collaboration between researchers and clinicians.

417

418 **[H1] Diagnosis, screening and prevention**

419 Diagnosis of ASD is made on the basis of behavioural presentation. Although substantial heterogeneity
 420 exists between and within individuals across development, a set of core diagnostic features of ASD
 421 (covering social interaction, communication and flexible or sensory behaviour) can be reliably identified
 422 by trained clinicians^{119,120}.

423 **[H2] Diagnostic criteria**

424 The re-formulation of the diagnostic criteria for ASD in the DSM-5 (**Table 1**)¹²¹, which is similar to the
 425 criteria in ICD-11¹²², contains several changes from previous editions that were based on good empirical
 426 and clinical evidence¹²³. First, the sub-classification of ‘Asperger’s disorder’ was subsumed under the
 427 unitary term ASD as the diagnosis was inconsistently applied even by expert groups¹²⁴. This change is
 428 controversial, but the evidence supporting the inclusion of Asperger’s disorder as a separate condition is
 429 very weak¹²⁵. The important questions are how better to consider the factors that characterize
 430 differences among autistic individuals and ensuring that these differences are measured and addressed
 431 using neurobiological and clinical research, rather than contained within very poorly defined categories
 432 of Asperger’s and PDD Not Otherwise Specified (NOS) as defined in DSM-IV. In addition, some individuals
 433 with social communication problems but not restricted and repetitive behaviours who would previously
 434 have fallen into the now-removed subcategory of PDD-NOS now receive a different diagnosis of Social
 435 communication disorder, which is not yet well-validated. Although these changes have led to concerns
 436 that the DSM-5 ASD criteria are more restrictive than those in DSM-IV, many clinicians feel that the
 437 changes better reflect clinical consensus and practice. Second, the social and communication domains of
 438 the diagnostic criteria were unified to reflect the factor structure of symptomatology. Third, sensory
 439 anomalies (hypersensory and hyposensory responsiveness and sensation-seeking) in DSM-5 were
 440 included under the ‘restricted, repetitive behaviours and interests’ domain to reflect their
 441 pervasiveness¹²⁶. Fourth, the DSM-IV criteria required symptoms to be present in the first 3 years of life,
 442 but criteria in DSM-5 recognise symptom onset occurring in the early developmental period with the
 443 caveat that symptoms might not fully manifest until social demands exceed limited capacities. This change
 444 recognizes the developmental nature of ASD, wherein for some individuals, clear manifestation of ASD
 445 might not be apparent until mid-childhood, adolescence or even adulthood. In addition, late diagnosis
 446 (that is, diagnosis beyond early childhood) can occur even in those who received intensive early
 447 monitoring¹²⁷. In addition, the DSM-5 criteria supports the use of specifiers that can denote those with a
 448 dual diagnoses, such as individuals with ASD and ADHD or other psychiatric disorders, as well genetic
 449 conditions such as fragile X syndrome or down syndrome. Beyond the clinic, these changes have

450 implications for large-scale data pooling efforts; for considering domains of behaviour to be modelled;
451 and for identifying shared and distinct developmental pathways to conditions like ASD and ADHD.

452

453 [H2] Diagnosis and screening in children

454 The two core elements of the diagnostic process of ASD in children are a detailed developmental history
455 that is usually obtained from parents, covering first concerns and early history to the present day, and an
456 observation of the child's interactions with their parents and with unfamiliar adults during a combination
457 of structured and unstructured assessments. Ideally, observations of the young person in peer-group
458 settings such as school or nursery would also form part of the diagnostic process. Of note, in one
459 population-based study in the UK, girls with similar levels of symptom expression to boys were less likely
460 to receive a diagnosis of ASD from clinical services¹²⁸. This finding might reflect socio-cultural factors in
461 the application of the diagnostic criteria, greater resilience or protective factors in girls that reduce the
462 need for clinical services at a given symptom level, or the need for the revision of instruments used to
463 identify symptoms to more fully cover female autistic traits¹²³

464 A number of structured diagnostic interviews and observational assessments for ASD exist, but only a
465 limited number have been rigorously tested for diagnostic accuracy relative to the gold-standard of expert
466 clinician judgement. Although these interviews and assessments have reasonably robust sensitivity,
467 specificity and reliability (see¹²⁹ for a review) and are widely used in some services in communities¹³⁰,
468 there are also challenges to the widespread adoption of the best validated instruments: the Autism
469 Diagnostic Interview–Revised (ADI-R¹³¹) and the Autism Diagnostic Observation Schedule–2nd Edition
470 (ADOS-2¹¹⁹). These challenges include the cost of the instruments and training, the time required to
471 complete them and the need for substantial training to use them reliably¹³². Although expert clinical
472 judgement was previously believed to be more reliable than reliance on instrument scores alone for the
473 diagnosis of ASD¹³³, more recent evidence suggests this may not be true at least in toddlers and preschool
474 children¹³⁴. The need to take a global perspective on ASD is driving attempts to develop more scalable
475 tools, but this work is currently in its infancy (Box 1)¹³⁵.

476 The stability of a diagnosis of ASD from the preschool years to mid-childhood is relatively high¹. However,
477 although diagnostic systems currently presuppose that ASD is a lifelong condition, there is a growing
478 recognition that ASD has a heterogeneous developmental time course¹³⁶. Indeed, sub-groups of
479 individuals with ASD and improving or worsening symptoms over time can be identified^{137,138}. Such
480 developmental trajectories might be a more meaningful phenotype on which to map aetiological
481 mechanisms than a static case-control dichotomy^{70,139,140}. Some individuals diagnosed as children have no
482 clinically meaningful (or even detectable) impairment later in life (so-called 'optimal outcome'^{141,142}); one
483 critical question in identifying mechanisms is whether this profile is associated with successful effects of
484 early intervention or is an aetiologically distinct subtype of ASD.

485 **[Au: please see the comment in the Epidemiology section (line 121) regarding the use of the term**
486 **'screening' - do we need to introduce some edits here? I'd be grateful if you could take a look through**
487 **this and amend as needed.] [H3] Screening and early identification.** The potential for early testing to
488 prospectively identify children with ASD at a young age has considerable interest, and several studies have
489 evaluated the performance of parent-report instruments between 14 and 24 months of age, such as the
490 Modified Checklist for Autism in Toddlers (M-CHAT) and the Early Screening of Autistic Traits (ESAT) **[Au:**

491 **examples added based on Tony's comment YES fine]** ^{129,143,144}. However, there are contrasting views on
 492 the strength of the evidence for universal **population-wide testing**^{145,146}. Of note, research is lacking on
 493 the effectiveness of therapeutic interventions in those identified with ASD through universal **screening**. In
 494 addition, although it is possible to identify some children with ASD before parents or professionals have
 495 identified concerns, diagnosis is missed in many children ¹⁴⁷, and most tested cohorts have not been
 496 systematically followed up to identify later-onset ASD in children who initially tested negatively ¹⁴⁸.
 497 **Screening** also often identifies children with broader developmental difficulties as well as those with
 498 ASD¹⁴⁹. In general, such instruments could be more useful for identifying possible signs and symptoms of
 499 ASD in high-risk populations, for example in young children with older siblings with ASD¹⁵⁰, or in those
 500 referred for speech or other developmental concerns to community paediatric services¹⁵¹. In addition,
 501 population-wide testing may also play a part in improving awareness and recognition of the early signs
 502 and symptoms of ASD in both professionals and the general public, which alongside ongoing
 503 developmental surveillance pathways in community services, could help to bring down the age of
 504 recognition and diagnosis. These principles also apply in low-income and middle-income countries in
 505 which testing for ASD and other neurodevelopmental disabilities has only just begun to be developed¹⁴⁹.
 506 Very little research has been devoted to cultural and ethnic differences in either child early presentation
 507 and parents' understanding or the experience of autism, which may in fact affect how **screening**
 508 **instruments** work and thus impact on parents and families as much as autistic individuals.

509

510 **[H3] Early developmental profiles.** Understanding of onset patterns of ASD has dramatically expanded
 511 over the past 10 years, through work on infants with a first degree relative with ASD, who due to the high
 512 heritability of the condition have a 20% chance of developing ASD themselves²⁵. Symptoms of ASD have
 513 a gradual developmental onset. Indeed, although the average age of ASD diagnosis remains ~4–5 years of
 514 age¹⁵², parents typically report first concerns to health professionals at ~2 years of age ¹⁵³. In many
 515 individuals, symptoms emerge during the second and third year of life (although, as per the DSM-5 onset
 516 criteria above, in others, onset might not be noticed until the child reaches school-age or later) whereas
 517 in others, symptoms become apparent after a seeming period of typical development, including a period
 518 of regression or stasis. To this end, conceptualization of what has been called 'regression' prior to 2 years
 519 of age has been reconsidered ^{154,155}. Over the first two years of life, a substantial proportion of infants who
 520 later receive ASD diagnoses show gradually accumulating delays across social, communication and
 521 language domains, suggesting that 'regression' represents a spectrum ranging from frank loss of acquired
 522 skills, to a gradual erosion (or 'plateauing') of developmental potential to individuals in whom these skills
 523 never emerge ¹⁵⁶.

524

525 **[H2] Diagnosis and screening in adults**

526 Information on diagnostic methods to identify ASD in adulthood is in its infancy, with little
 527 methodologically acceptable evaluation of interview methods or **screening** questionnaires (including self-
 528 completion questionnaires). Clinical approaches rely heavily on extending methods developed for use in
 529 childhood to adulthood. These methods tend to rely on childhood developmental data, although
 530 validation research in adult general population-wide testing suggests good specificity and sensitivity for
 531 the observationally based ADOS Module 4¹⁵⁷. However, typically, much research has depended on the

532 judgment of expert clinicians and of standardized data collection on early child development that is
 533 unlikely to be obtainable for many older adults. Given that (undiagnosed) autistic adults presenting for an
 534 ASD assessment are also more likely to have co-occurring adult mental health disorders, any method of
 535 assessment must be capable of differentiating such abnormalities in symptoms and behaviour from
 536 abnormalities due to ASD. This point has led to the suggestion that clinical examination methods to
 537 identify adult psychopathology could be extended to include ASD in addition to depression, anxiety and
 538 psychosis, among other disorders¹⁵⁸. Semi-structured adult psychopathology interviewing has been
 539 fruitful in the assessment of closely related neurodevelopmental disorders in adults, most notably
 540 ADHD¹⁵⁹. Given that most people in the world who are autistic are adults, and as many of these individuals
 541 have not received a diagnosis of autism^{4,15} **[Au: OK to add this text in based on Traolac's comment? I've**
 542 **also added callouts to the relevant references here]**, the development and evaluation of such adult
 543 assessment approaches is an urgent research priority.

544

545 [H2] Co-occurring disorders

546 In addition to the core features of ASD, co-occurring difficulties or disorders (Fig. 5) are much more widely
 547 recognized in research^{160,161}, although they are not necessarily adequately addressed in clinical practice¹⁶².
 548 For preschool children with ASD, language delays, motor problems, epilepsy, difficulties with sleep and
 549 eating, and high levels of activity are most commonly observed^{163,164}. By comparison, ADHD, anxiety,
 550 obsessive-compulsive disorder (OCD), intellectual disability, academic challenges, irritability and
 551 disruptive behaviours become more apparent in school-aged children¹⁶⁵. The proportion of individuals
 552 with depressive symptoms becomes higher in adolescents and adults¹⁶⁶, whereas other issues often
 553 remain. Moreover, growing evidence (although it is reliant on administrative case-finding data) suggests
 554 that people with ASD have premature mortality^{167,168} and increased risk of self-harm and possibly suicide,
 555 although the mechanisms involved have yet to be elucidated. Studies using electronic health records have
 556 demonstrated that adults with ASD are more likely to be diagnosed with many physical health conditions
 557 such as immune conditions, sleep disorders and obesity, compared with adults in the general population
 558 ¹⁶².

559 Collectively, these difficulties and disorders contribute to ASD severity¹⁶⁹ and independence and well-
 560 being at each age¹⁷⁰. However, it is important to note, in the context of heterogeneity, that the prevalence
 561 of each of these co-occurring conditions varies considerably with the context of the sample (such as from
 562 psychiatry referrals, neurological referrals, or schools) and the methodology used (administrative, self-
 563 report or assessed), as well as with age, level of cognitive function and perhaps region¹⁶¹). As many of
 564 these conditions are treatable, they are very important as clinical considerations but are also more
 565 complex than sometimes conveyed. **[Au: please ensure OCD is mentioned in this section as it is**
 566 **mentioned in management, below. Does it manifest in adults with ASD or during adolescents?] [Au: I**
 567 **can't see this addition! Where was it added exactly? I have added above now...]**

568

569 [H1] Management

570 [H2] Early intervention

571 Early intervention is seen as a priority because many young children with ASD struggle to communicate
572 and interact with others, restricting their opportunities to learn and affecting their parents who can find
573 their child's behaviour perplexing and challenging to manage. Thus, outcomes of such interventions
574 include changes in the individual's availability for learning and increased parent understanding.
575 Intervention delivered in the preschool years at an age when there is increased brain plasticity might lead
576 to additional benefit, although this theory has not yet been empirically supported.

577 The primary models of psychological intervention for preschool children with ASD are developmental and
578 behavioural. Although some consensus has been reached on the interventions that have more supporting
579 evidence (termed 'naturalistic developmental behavioural interventions'¹⁷¹), there is some uncertainty
580 and disagreement about the strength of evidence for different approaches, with almost no direct
581 comparisons of treatments or studies to assess which child should receive what treatment or treatment
582 intensity. Indeed, clinical trials in ASD are limited by cost, time, placebo effects and limited outcome
583 measures, and are far behind much of the other research. This gap leaves parents and practitioners at the
584 mercy of what is available and sometimes marketed in their region. Indeed, access to early intervention
585 services is variable in most communities, including in high-income countries, and is mostly carried out by
586 non-specialists supervised by specially trained professionals. In low-income and middle-income countries,
587 most children and young people with ASD — similar to those with intellectual and developmental
588 disabilities — will not receive specialized services¹⁷², although a number of groups have begun to test
589 community delivery of early intervention in such settings¹⁷³.

590 Many current interventions build on the original 'Applied Behaviour Therapy'¹⁷⁴(ABA) and have shifted to
591 more natural, child-initiated developmentally appropriate strategies and tasks instead of dependence on
592 repeated 'discrete trials' (known as discrete trial training, or DTT). In addition, considerable variation exists
593 between different intervention models in terms of mode of delivery (for example, parent-mediated versus
594 therapist-implemented), length (12-week versus 2-year programs), intensity (from a few hours a week to
595 ~15 hours per week) and the balance between the developmental or dyadic versus behavioural
596 components.

597 Lower-intensity approaches include parent-mediated interventions whereby parents are coached to
598 become more attuned to their child's communication signals and style (which are considered an
599 intermediate child outcome) and to facilitate more joint engagement in play and everyday activities,
600 designed to increase social and communication skills in the child¹⁷⁵. Some studies have demonstrated
601 enhanced joint engagement and joint attention (which are considered important intermediate child
602 outcomes), with these lower-intensity approaches in preschool children compared to a control group,
603 such as the 12-week Joint Attention Symbolic Play Engagement and Regulation (JASPER) program, both
604 when delivered by parents in the home¹⁷⁶ and by teaching assistants in school¹⁷⁷. However, other lower-
605 intensity, time-limited parent-mediated interventions such as Focus Playtime Intervention (FPI)¹⁷⁸ have
606 not improved child outcomes (such as social orienting and joint attention), although some interventions
607 have increased parental responsiveness¹⁷⁹. A longer program (Preschool Autism Communication Trial
608 (PACT)), which consists of fortnightly parent-therapist sessions for 6 months, then monthly sessions for
609 another 6 months, demonstrated improvements in parent and child dyadic behaviours such as parental
610 synchrony and child initiations when interacting with each other (those close to the intervention target)
611 but not symptom reduction at immediate follow-up¹⁸⁰. A subsequent 6-year follow-up to mid-childhood
612 at age 7 to 11 years identified modest reductions in overall ASD symptoms using the ADOS over the whole
613 course of the study that were not detectable at the immediate endpoint, suggesting that a longer-term
614 perspective is critical in considering outcomes¹⁸¹.

615 A higher intensity, more comprehensive approach is the Early Start Denver Model (ESDM), which
 616 combines behavioural and developmental or dyadic approaches. The ESDM is delivered by therapists for
 617 ~15 hours per week, and as part of this programme, parents are trained to improve social communication
 618 and interaction with their child. A small-scale trial demonstrated improvements in child developmental
 619 and adaptive outcomes, primarily in the language and communication domains, following 2 years of ESDM
 620 compared with treatment as usual¹⁸². One larger multi-site trial found attenuated benefits with
 621 improvement in language outcomes at two of the three trial sites, but no differences between the
 622 treatment as usual and ESDM groups in overall developmental ability, adaptive behaviour or ASD
 623 severity^{179,183}.

624 Many of these early intervention approaches are based on models of typical development. Increasingly,
 625 studies are using a combination of methods to define treatment outcomes and to better understand the
 626 mechanisms and models of change of interventions. These methods include analysis of the degree to
 627 which changes in the direct target of the intervention (for example, parent behaviour) mediate later
 628 changes in child behaviour¹⁸¹, and the use of experimental methods such as EEG to examine whether there
 629 are accompanying changes in relevant brain networks¹⁸⁴. Many parents seek complementary medical
 630 approaches, which to date have not been supported and sometimes are dangerous¹⁸⁵. A note of general
 631 caution is that even in the context of significant treatment differences between groups, individual
 632 outcomes are very variable, and some children do not improve, although reliable predictors of response
 633 to treatment have not been demonstrated in rigorous, randomized controlled trials. As ASD is a
 634 heterogeneous developmental condition, different interventions may be required at different stages
 635 throughout life and different individuals might benefit from different interventions [Au: edits for brevity
 636 ok? Yes]. One area which many consider to hold much promise, that of neurobiologically or biomarker
 637 'informed' psychological intervention, is on the horizon but such targeted therapies have not yet been
 638 developed.

639 [H2] School age children and adolescents

640 Many children and young people with ASD can also benefit from interventions at later ages. A number of
 641 programs and approaches are available that focus on the core social communication difficulties of ASD;
 642 for example, social skills training programs for which moderate evidence of benefit exists^{186,187}. In
 643 addition, non-verbal young people with ASD can benefit from use of augmentative communication
 644 systems, such as the Picture Exchange Communication System (PECS) that use picture symbols and
 645 behavioural training methods to allow children to request and make choices¹⁸⁸ or other technology-based
 646 augmentative communication systems. Increasingly, more generic interventions that target co-occurring
 647 emotional and behavioural problems are being adapted for youths with ASD, and initial studies suggest
 648 moderate benefits¹⁸⁹. These interventions include modified cognitive behavioural therapy (CBT) for
 649 anxiety (modified, for example, to include parents, increase the duration of sessions, use more visual
 650 materials and specific work on understanding one's own emotion states)¹⁹⁰ and parent-mediated
 651 interventions for disruptive behaviour and ADHD¹⁹¹. More recently, there have been efforts to develop
 652 and test interventions that target aspects of parental wellbeing, such as parental stress and self-efficacy
 653¹⁹². Increasingly, interventions for school-age children and young people with ASD are being delivered
 654 within the school environment, rather than the clinic, which has natural advantages for programmes that
 655 consist of groups or peer-to-peer interactions and an emphasis on social skills. Indeed, it is hoped that this
 656 approach may facilitate generalization of the skills learned^{193,194}.

657

658 [H2] Adult services

659 As individuals with ASD progress into and through adulthood, the focus of management shifts from
 660 treating the core symptoms of ASD to addressing associated symptoms or behaviours and promoting
 661 independence. However, there are few intervention studies to guide treatment options in adulthood.
 662 Indeed, a 2012 systematic review identified only 32 studies published between 1980 and 2010 that
 663 evaluated treatment studies for adolescents and young adults with ASD¹⁹⁵. A more recent review
 664 identified 41 studies of interventions targeting social functioning in adults over a 37-year period¹⁹⁶.

665 Despite the low number of treatment studies, there is some evidence supporting treatment efficacy for a
 666 limited number of symptoms, behaviors, and functional outcomes such as employment, social skills, and
 667 anxiety; however, in general, the evidence-base is weak^{195,196}. For example, only three randomized
 668 controlled trials (all of which included small cohort sizes) that tested job interviewing skills curricula have
 669 been published. Social skills interventions have a somewhat more robust literature base (see ¹⁹⁶ for
 670 review), but most of these studies had very small sample sizes and were not well controlled. In addition,
 671 it is unclear whether social skills interventions can be generalized to other social settings and situations,
 672 that is, whether skills learned in the treatment context are used by the participants in other settings, such
 673 as with peers or at work. There is some evidence for the use of cognitive-behavioural therapy (CBT) for
 674 effectively treating anxiety in people with ASD **who do not have cognitive delays or language problems**
 675 **[Au:OK to add in this highlighted text? This was mentioned in the now-deleted 'Treatments for anxiety'**
 676 **section]**¹⁹⁷. However, nearly all of the existing research has been conducted with children and adolescents
 677 rather than in adults¹⁹⁶, and individuals with substantial communication challenges are excluded from CBT
 678 studies. Furthermore, in contrast to the general population, CBT has not yet been shown to be effective
 679 for the treatment of depression in individuals with ASD. Given this weak evidence base, it may be fruitful
 680 to explore therapies and treatments tested in other groups that may benefit those with ASD.

681 Formal service systems and social care can help fill in the treatment gaps. Indeed, although many adults
 682 with ASD do not receive adequate services and support¹⁹⁸, their receipt can improve outcomes across a
 683 number of domains¹⁵⁸. For example, transportation services can allow adults with ASD to engage in
 684 employment and access therapies and programs in the community. In addition, comprehensive job
 685 support services can promote finding and maintaining employment, particularly for adults with more
 686 severe impairments¹⁹⁹. Public health insurance can increase access to psychiatric care for those with co-
 687 occurring mental health problems, and income supports can reduce dependence on families.

688

689 [H2] Medications

690 All medications that have evidence of benefit for ASD treat the associated symptoms or co-occurring
 691 diagnoses, rather than the symptoms of ASD directly (including social communication or repetitive
 692 behaviours). As mentioned earlier, ASD is an extremely heterogeneous disorder, and individuals with ASD
 693 can have a number of common co-occurring disorders that can also vary in severity.

694 Risperidone and aripiprazole (both of which are atypical antipsychotics) are approved in the USA to treat
 695 irritability and agitation — including aggression, self-injury and tantrums — in children and adolescents
 696 with ASD^{200–202}. However, both treatments are associated with adverse events, including sedation, risk of
 697 movement disorders and weight gain, which limit their use to people with severe irritability with
 698 agitation²⁰². The anti-diabetes drug metformin has been shown to limit weight gain from these
 699 medications, possibly broadening their safe use²⁰³.

700 As mentioned previously (see Co-occurring disorders, above), co-occurring mental health conditions are
 701 common in people with ASD. Methylphenidate, atomoxetine and guanfacine are beneficial for ADHD
 702 symptoms in ASD (Table 2^{204–206}) [Au: given that these medications target different symptoms of ADHD
 703 are they ever prescribed in combination? Or will a child be given one medication only?] . Although
 704 serotonin reuptake inhibitors (SRIs), such as fluoxetine and citalopram, are used for the treatment of
 705 depression, anxiety and OCD in the general population, they have differing efficacy in people with ASD
 706 [Au:OK?] . Indeed, although fluoxetine improves symptoms [Au: all symptoms?] of OCD in adults with
 707 ASD²⁰⁷, citalopram has demonstrated poor tolerability and no benefit for repetitive behaviour in children
 708 with ASD²⁰⁸. Medications for depression or anxiety have not systematically been tested in people with
 709 ASD.

710 [Au: as this text is very future-looking, would it be best placed in the Outlook section?] Some excitement
 711 has accompanied the recent studies of medications targeting the neurohormonal oxytocin or vasopressin
 712 systems, both of which modulates social behaviour across species. Underpowered studies of intranasal
 713 oxytocin have demonstrated mixed results that are overall not supportive of a large effect size^{209,210}, with
 714 results pending from adequately powered studies [Au: please provide the NCT number of this ongoing
 715 study here so readers can follow up in due course] . In addition, a pilot study of intranasal vasopressin
 716 suggested possible benefit in people with ASD, although this study was underpowered²¹¹. A large trial of
 717 balovaptan, a vasopressin AVPR1A antagonist in adults with ASD showed negative results on its primary
 718 outcome (a general rating of ASD symptoms), with suggestive results on a key secondary parent report
 719 measure of adaptive behaviour, including social and communication behaviour²¹². A few studies have also
 720 focused on the hypothesis that, at the level of neural circuits, ASD may result from excessive excitation or
 721 insufficient inhibition²¹³, with some promising but inconclusive results for medicines that target the
 722 GABAergic system²¹⁴. Medications targeting genetic syndromes that can cause [Au:OK?] ASD have not
 723 yet yielded consistent improvement^{215,216}, but there is much hope for a precision medicine approach that
 724 links genetic subgroups with neurobiology-based treatments.

725

726 [H1] Quality of life

727 [H2] Objective and subjective measures

728 Several aspects of intervention research speak straight to the heart of current debates within the clinical
 729 field and broader ASD community, including how a good outcome is classified for an individual with ASD,
 730 as well as who should decide what outcomes are used [Au: edits for style ok?] in intervention studies²¹⁷.
 731 This point is aligned both with the debates about medical versus social models of disability but also with
 732 a more general shift in medicine away from focusing on symptom reduction to improving the wellbeing
 733 and quality of life (QOL) of patients. QOL research in adults with ASD has focused on two aspects: objective
 734 and subjective QOL. Objective QOL encompasses social achievements such as employment, adequate
 735 living conditions, supportive relationships, and good physical and mental health²¹⁸, whereas subjective
 736 QOL focuses on individuals' perceptions and subjective assessments of their own lives²¹⁹. Both subjective
 737 and objective QOL are often related, but not synonymous, and both are important to take into account
 738 when considering outcomes for individuals with ASD (Table 3).

739 *[H3] Objective QOL.* Adults with ASD tend to have poor objective QOL. Unemployment is high in this
 740 population, and even among those employed, individuals are often working below their skills and
 741 abilities^{220,221}. Moreover, independent living can be **[Au:OK? As presumably some individuals may be able
 742 to live independently with relative ease?]** a challenge, and adults often lack meaningful relationships
 743 with peers²²². When aggregating across these domains of life, many adults with ASD have ‘poor’ or ‘very
 744 poor’ outcomes^{223,224}.

745 ASD is a highly heterogeneous condition and several factors have been associated with higher versus
 746 lower objective QOL. Most of the studied factors associated with higher objective QOL have been
 747 characteristics of the individuals (versus families, service system or communities), and consistent
 748 predictors of higher objective QOL include better early language development **[Au:OK?]**, higher IQ and
 749 adaptive behaviour scores, less severe ASD symptoms, and fewer challenging behaviours²²⁵. In addition,
 750 more recent research suggests that women with ASD may have a more difficult time maintaining
 751 employment positions²²⁶, and are more likely to ‘camouflage’ their ASD symptoms than men, which can
 752 lead to mental health challenges²²⁷.

753 *[H3] Subjective QOL.* Meta-analysis have suggested that across the lifespan, subjective QOL tends to be
 754 lower among individuals with ASD compared to typically-developing peers²²⁸, but is often more positive
 755 than indicators of objective QOL^{223,229}. Predictors of subjective QOL tend to be inconsistent across studies,
 756 except for perceived stress and supports, the latter of which encompasses services, family and social
 757 support^{230–232}.

758

759 **[H2] Self-advocate perspective**

760 **[Peer reviewer comment: The self-advocate perspective is important. It was quite noticeable to use
 761 identity-first language (“autistic people”) only in this section and some explicit reference to this choice
 762 might be made, to help readers understand the politics and differing viewpoints.]** It is clear that ASD has
 763 heterogeneous outcomes and biological underpinnings; what is less clear-cut are the differing and
 764 nuanced views of autistic people regarding how ASD should be approached and researched (**Box 2,**
 765 *Autistica*²³³, see also Ontario Brain Institute²³⁴). Indeed, some people with a diagnosis see ASD as being a
 766 fundamental part of their identity whereas other people do not. In addition, many people feel that social
 767 change is required²³⁵, whereas other individuals want therapies to meet a range of their needs²³⁶. The key
 768 is respect for a variety of views and ultimately respect for autistic people. Researchers can demonstrate
 769 respect by considering how ASD as a topic is distinct from, for example, cancer. To this end, terms like
 770 ‘disease’ are inappropriate and are scientifically inaccurate when referring to ASD. Ultimately, active
 771 participation in the design, implementation and interpretation of research studies **[Au:OK?]**, clear
 772 consideration of research ethics and the consequences of research involvement, and broad consultation
 773 of autistic people in research is key to authentically addressing the substantial inequalities autistic people
 774 face as a group and ensuring they live long, healthy, happy lives.

775

776 **[H2] Family perspectives**

777 Families of people with ASD are also heterogeneous, yet, as a group, they experience lower QOL than
 778 families with a member with other neurodevelopmental conditions, even before receiving the formal
 779 diagnosis²³⁷. For this reason, it is essential that parents, other family members, clinicians, educators, and

780 the entire external support system coalesce around common goals for outcomes whilst accessing and
 781 maximizing resources for the betterment of the child and family. Parents are typically at the centre of this
 782 support network and carry much of the responsibility of direct care, coordination and advocacy, over and
 783 above typical parental responsibilities^{238,239}. The exact parental roles are dependent on the child's
 784 strengths and challenges, and frequently shift over time (Fig. 6). During this process, it is important that
 785 parents maintain motivation by setting realistic goals and tracking progress to experience the many
 786 achievements that their loved one with ASD can attain.

787 Effective parents often work closely with experienced providers who can track development of the child
 788 with ASD and can provide guidance on next actions²⁴⁰. Early in childhood [Au:OK?] , this role includes
 789 identifying and engaging with early and school-based interventions. It is never too early for parents to
 790 begin planning for the adult transition process, including (dependent on the person with ASD's capacity)
 791 promoting self-advocacy, preparation for life after secondary education, vocational training and
 792 employment supports, living needs, community participation, and long-term financial considerations.
 793 During adulthood, for cognitively-able adults, parental roles might shift to more traditional
 794 relationships²⁴¹, whereas for those with cognitive disability, parental caregiving often continues and
 795 culminates in planning for late life needs²⁴². Although the journey can be challenging, for many parents, it
 796 can be incredibly rewarding and a source of life meaning.

797 Many parents recognize the need to give back to the community [Au:OK?] through research. Accordingly,
 798 it is crucial that researchers foster this desire carefully, communicating with parents to ensure that any
 799 potential immediate or future risks or benefits are clear. Even if the study period is brief, in many cases,
 800 the goal should be to develop a positive longer-term relationship, as this can lead to parents and people
 801 with ASD continually re-engaging in and developing positive feelings about the research process.

802 [H1] Outlook

803 ASD research has substantially expanded in the past 50 years, particularly the past 20 years, as reflected
 804 in the websites listed in Box 3. Although it seems unlikely that the incidence of ASD is truly rising at the
 805 rate suggested in prevalence studies, these data have increased awareness and the numbers of diagnosed
 806 children in schools and clinics, although adult services and recognition run far behind. The lives of people
 807 with ASD diagnoses have improved at least in some high-income countries, with a greater proportion of
 808 children using some language²⁴³, more adults with educational qualifications and less
 809 institutionalization²⁴³, although the changing nature of diagnoses has to be considered when interpreting
 810 historical trends. Some risk factors for ASD have been identified (such as increased parental age, birth
 811 trauma and a positive family history) which has implications at least for more careful follow-up. In
 812 addition, the genetics of ASD has yielded surprising discoveries with substantial implications for heritable
 813 neurodevelopmental disorders, such as ADHD, language delay and named syndromes associated with
 814 profound intellectual disability. The perceived value of routine genetic screening for ASD diagnosis is
 815 disputed, [Au: edits ok?] , with American medical academies strongly in favor whereas those in other
 816 countries much more selective. Studies of brain structure and function have added similarly intriguing
 817 findings that are just beginning to be integrated into both developmental and more mechanistic models
 818 of behaviour with possible targets or markers for change. Despite the intellectual contribution of these
 819 studies to research, at this point, neither EEG nor imaging are recommended as part of standard practice
 820 for diagnosis of [Au:OK?] ASD but can be used for other neurological indicators (such as if there are
 821 concerns beyond ASD symptoms that merit an EEG or imaging). In this field, replication of findings across

822 sites and even within individuals, as well as larger samples through collaboration are the promise of the
823 future.

824 One way of bringing the three themes of mechanisms, heterogeneity and outcomes of ASD together is to
825 consider the trajectories of this disorder over time (Fig. 7), and how knowledge of these trajectories can
826 contribute to investigations of the biological and cognitive underpinnings of ASD, and how treatments and
827 supports could make the lives of children and adults with ASD more positive.

828 In terms of mechanisms, despite earlier hopes for simple genetic explanations of ASD, instead, we have
829 identified many single gene germline loss of function point mutations yielding some initial models of
830 disruption in very basic molecular patterns, as well common genes with small effects that are just
831 beginning to emerge²⁹. Attempts to study genes-first have shown heterogeneity even within highly
832 specific CNVs, with a few exceptions. In addition, hope exists that genetically based interventions for ASD
833 may be possible, although this will likely involve much further research . **[Au: please advise how to
834 proceed here - would you like to move this text back?]** Indeed, given the success and approval of gene
835 therapy for early onset neurological disorders, particularly spinal muscular atrophy (SMA) type 1^{244,245} ,
836 targeting single genes of large effect in both idiopathic and
837 monogenic ASD is being viewed as increasingly plausible. As rare syndromes such as fragile X syndrome,
838 angelman syndrome and rett syndrome have offered some of the earliest insights into ASD biology, these
839 disorders are also likely to lead the way in illuminating the practical and important ethical challenges that
840 will attend such efforts for idiopathic ASD. Efforts aimed at the highest confidence risk genes identified in
841 idiopathic ASD, such as *SCN2A* and *CHD8*^{34,35} , are almost certain to soon follow on attempts at gene
842 therapy for monogenic neurodevelopmental disorders, in light of the growing list of well-defined large-
843 effect targets, the increasing options for addressing haploinsufficiency²⁴⁶ , the ability to manipulate gene
844 products without leaving a DNA “scar”^{246,247} and the increasing ability to readily detect mutations—and
845 intervene—in utero and very early in post-natal development.

846 Data from genetic approaches that might yield targeted genetic interventions may be most relevant to
847 rare, severe neurodevelopmental difficulties in general rather than ASD as a specific entity. With more
848 information about the differing developmental trajectories of ASD, more continuous measures of
849 symptoms and measures of language and intellectual function, behavioural phenotypes and changes over
850 time can be quantified across different neurobiologically defined subgroups. This approach could
851 potentially identify different ‘routes’ to different outcomes, whether ASD or not, and could have a
852 practical benefit in terms of selecting and monitoring appropriate treatments. In addition, with the
853 heterogeneity of ASD, our growing understanding of mechanisms, be they causal or mechanisms for
854 change, needs to be linked to trajectories in development and not be considered as static²⁴⁸ . Researchers
855 modelling ASD in other species might find the incorporation of early developmental manifestations, such
856 as regressions or motor delays, more tractable than the current focus on ASD- related social
857 communication symptoms seen in humans. With collaborations and studies of sufficient sample sizes,
858 investigators have begun to focus on findings within different developmental periods that could provide
859 insight into trajectories and targets for intervention. Thus, more study of the development of ASD both in
860 studies of human behaviours and in animal models might have an effect on the identification and
861 treatment of ASD as a neurodevelopmental disorder. Prospective studies, including epidemiological and
862 direct behavioural work across developmental periods, moving beyond very young children to later
863 childhood, adolescence, and adulthood are needed.

864 Similarly, limited findings about adult development and patterns that lead into ASD (Figs 5 and 7), call for
865 measurement of different outcomes that respect individual differences in autistic people and in families
866 (Box 2). By young adulthood, available supports for places to live, employment and mental health services
867 are needed for individuals who have a range of skill levels, with supports not always well matched to the
868 needs of individuals; however, comparisons of treatments or treatment intensities have not historically
869 been made, even though they are continually called for. The types and specific goals of treatments differ
870 greatly for autistic people who are verbally fluent versus those who have difficulty speaking for
871 themselves, such that alternative systems need to be in place that take into account co-occurring
872 conditions, strengths, preferences and challenges. More studies of well-defined, more homogeneous
873 subgroups of autistic children and adults over time would provide different and more useful information
874 about real-life issues, as in Table 3 than large-scale surveys of very heterogeneous samples²⁴⁹.

875 Progress in the biology of more generally defined neurodevelopmental disorders may have the greatest
876 yield for children with ASD in their early years [Au: compared with what? In their later years?]. Clinical
877 trials that compare known treatments (both psychosocial and biological), with new ones and treatment
878 as usual would allow us to build on previous findings in a more meaningful way and begin to address the
879 priorities listed in Box 2, which strikingly, are seldom priorities in autism research. To move from science
880 to practice including evaluation and treatment, ASD researchers need to find a way to select and fund
881 studies of more mundane, but critical evidence gaps in understanding heterogeneity, mechanisms of
882 change and outcome that affect practice in any circumstance, not just internationally, within academic
883 systems that reward creativity and novelty. Unique methodologies, including the baby sibling studies,
884 accumulation of large data sets (such as ABIDE, and the Simons Simplex Collection (SSC)), prospective
885 epidemiological studies and mechanistic studies of intermediate biomarkers may begin to bring together
886 information from molecular to pathophysiological to cognitive and behavioural levels. However, for now,
887 as for other neurodevelopmental and psychiatric disorders including schizophrenia²⁵⁰, the distance
888 between science and practice remains great, and the amount of research that attempts to address
889 solvable problems for autistic people alive today and their families remains modest.

890

891

892 Box 1. Global challenges in autism research

893 Recently, there have been calls for more attention to global issues in autism research²⁴⁹ (Global
894 Research on Developmental Disabilities Collaboration – Lancet Global Health, 2016), including a number
895 of related issues with somewhat different potential solutions. For example [Au: edits to improve the
896 narrative flow ok?] , broader populations should be included in autism research, including individuals
897 from Lower Resource and Middle Income countries (LMICs), but also inclusive representation of the
898 ethnic, linguistic and socio-economic diversity of many High Resource countries and people whose
899 autism is unrecognised. Moreover, there should be the creation of opportunities to carry out research in
900 LMICs ²⁵¹(Patel et al, 2015). Open source and shared databanks, including autism-specific resources such
901 as the Simons Simplex Collection and Autism Brain Imaging Data Exchange [Au:OK?] (ABIDE), as well as
902 broader collaborations such as PsychENCODE [Au:OK?] could assist in promoting international research
903 [Au: edits for brevity ok?] . In addition, the science of autism should be disseminated in ways that are
904 useful for practice in all countries, [Au: edits ok?] but with particular attention to the needs of
905 communities and families with fewer resources ^{252 253}. [Au: sentence deleted as suggested] More
906 immediately, searches for scalable methods of identification and perhaps intervention with children and
907 adults [Au:OK? Yes] with autism^{135,254} have begun [Au: globally or in LMICs?] . However, the need to
908 develop scalable global practices highlights how little is known about when we need population-wide
909 testing for autism versus broader neurodevelopmental disorders, the minimal intensity and duration of
910 effective interventions, behavioural mechanisms behind changes in behaviour and which treatments
911 work with which children and adults and families, all of which have a bearing on interventions locally
912 and globally. In addition, global issues of stigma, governance and paucity of resources also have to be
913 taken into account ²⁵¹.

914

915

916 **Box 2. Top ten questions for ASD research proposed by autistic people, family members and**
917 **professionals. (Reference: Autistica²³³)**

- 918 1. Which interventions improve mental health or reduce mental health problems in people with
919 autism spectrum disorder (ASD)? How should mental health interventions be adapted for the
920 needs of people with ASD?
- 921 2. Which interventions are effective in the development of communication and language skills in
922 ASD?
- 923 3. What are the most effective ways to support or **[Au:OK? Our style is to avoid solidus as the**
924 **meaning can be ambiguous]** provide social care for autistic adults?
- 925 4. Which interventions reduce anxiety in autistic people?
- 926 5. Which environment supports are most appropriate in terms of achieving the best education, life
927 and **[Au:OK?]** social skills outcomes in autistic people?
- 928 6. How can parents and family members be supported and/or educated to care for and better
929 understand an autistic relative?
- 930 7. How can ASD diagnostic criteria be made more relevant for the adult population? And how do we
931 ensure that autistic adults are appropriately diagnosed?
- 932 8. How can we encourage employers to apply person centred interventions and support to help
933 autistic people maximize their potential and performance in the workplace?
- 934 9. How can sensory processing in ASD be better understood?
- 935 10. How should service delivery for autistic people be improved and adapted in order to meet their
936 needs?

937

938

939 **Box 3. Examples of ASD websites [Au: based on Tony's comment I've introduced some broader headings**
 940 **here rather than adding a description under each individual website - what do you think? Please check**
 941 **carefully and let me know if you prefer the original version (we can revert the changes, no problem!)]**

942 **Sites for health care professionals or research scientists:**

943 **[H1] American Academy of Pediatrics**

944 <https://www.aap.org/en-us/advocacy-and-policy/aap-health-initiatives/Pages/autism-initiatives.aspx>

945

946 **[H1] International Society for Autism Research**

947 **ASD:**

948 <https://www.autism-insar.org>

949

950 **[H1] National Autistic Society**

951 <https://www.autism.org.uk>

952

953 **[H1] Royal College of General Practitioners**

954 <https://www.rcgp.org.uk/clinical-and-research/resources/toolkits/asd-toolkit.aspx>

955

956 **Information about treatment, research and advocacy for people with ASD and their families:**

957 **[H1] Autism Canada**

958 <https://autismcanada.org>

959

960 **[H1] Research Autism**

961 <http://www.researchautism.net/>

962

963 **[H1] Autism Europe**

964 <https://www.autismeurope.org/>

965

966 **[H1] Autism India**

967 <http://www.autism-india.org>

968

969

970 **[H1] WHO**

971 <https://www.who.int/news-room/fact-sheets/detail/autism-spectrum-disorders>

972 **[H1] Autism Spain**

973 <http://www.autismo.org.es>

974

975 **[H1] Autism Speaks**

976 www.autismspeaks.org

977

978 **[H1] Autismus Deutschland**

979 <https://www.autismus.de>

980

981 **[H1] Autistica**

982 <https://www.autistica.org.uk>

983

984

985
 986 **Information about research funding, and up-to-date information for people with ASD and families:**
 987

988 **[H1] Simons Foundation**

989 <https://www.sfari.org>

990

991 **[H1] US NIH**

992 <https://www.nimh.nih.gov/health/topics/autism-spectrum-disorders-asd/index.shtml>

993 **[H1] Autism Science Foundation**

994 <https://autismsciencefoundation.org>

995

996

997 **Epidemiological information [Au:OK?]**

998 **[H1] US CDC**

999 <https://www.cdc.gov/ncbddd/autism/index.html>

1000

1001

1002 **Figure 1.** Theories and findings regarding ASD mechanisms, outcomes and heterogeneity.

1003 Original descriptions of the cardinal features of autism spectrum disorder (ASD) were attributed to a
 1004 range of causes including being raised by wolves (the Wild Boy of Aveyron), inborn limitations in affective
 1005 contact and unfeeling parenting (such as ‘refrigerator mothers’) and holy people (such as fools for Christ))
 1006 ²⁵⁵. Conceptualizations of ASD as a common highly heritable neurodevelopmental disorder with
 1007 underlying cognitive features began with the recognition of differences in brain function and cognition in
 1008 the 1960s^{256–259} and the first twin study in the 1970s²⁶⁰. Other proposed mechanisms include maturational
 1009 lags in neurophysiology⁹⁰ and cognitive mechanisms such as joint engagement ^{171,261}. With the search for
 1010 pathways to and sometimes out of ASD ²⁶² on many levels, conceptualization of positive outcomes has
 1011 been more recent, but has also varied markedly. In the 1970s, autism societies and collaborative clinical
 1012 programs focused on community integration and de-institutionalization (such as National Autistic Society
 1013 (NAS) and National Society for Autistic Children (NSAC))²⁶³. Priorities shifted in the 1980s and 1990s, with
 1014 still unreplicated claims of ‘recovery’ in children who participated in intensive behavioural
 1015 interventions¹⁷⁴, new advocacy groups focusing on biomedical discoveries to yield potential biological
 1016 treatments and even ‘cures’ (such as National Alliance for Autism Research (NAAR) and Cure Autism
 1017 Now²⁵⁵) and the neurodiversity movement²⁶⁴ which rejected ‘cures’ and called for adaptation of
 1018 environments to support autistic people, using terminology preferred by self-advocates and community
 1019 participation. Recognition of the marked heterogeneity within ASD began in the 1970’s with the triad of
 1020 impairments in language, play and social interaction characterizing many children with intellectual
 1021 disabilities (ID) or those with classical autism²⁶⁵. The first twin study demonstrated that monozygotic twin
 1022 pairs, though concordant for difficulties associated with ASD, differed in specific characteristics and co-
 1023 occurring conditions including ID ²⁶⁰. More recently, phenotypic heterogeneity has been the rule in most,
 1024 though not all, gene-first phenotypic studies²⁶⁶. Thus, developmental aspects of differences in strengths,
 1025 difficulties and trajectories, as well as biological factors, require highly personalized conceptualizations of
 1026 the needs of autistic individuals and their families. ABA, applied behaviour analysis; AGRE, Autism Genetic
 1027 Resource Exchange; CDC, US Centers for Disease Control and Prevention; DSM, Diagnostic and Statistical
 1028 Manual of Mental Disorders; EEG, electroencephalography; GRASP, Global and Regional Asperger

1029 Syndrome Partnership ;IDEA, Individuals with Disabilities Education Act; MCEP, the gene associated with
 1030 Rett Syndrome; PACT, Preschool Autism Communication Trial; PDD, pervasive developmental disorder;
 1031 SNAP, Special Needs and Autism Project; SPARK, Simons Foundation Powering Autism Research for
 1032 Knowledge, TEACCH, Treatment and Education of Autistic and Communication related handicapped
 1033 Children.

1034

1035 **Figure 2.** Environmental risk factors for ASD.

1036 Data from studies aiming to identify risk factors for autism spectrum disorder (ASD) can be broadly split
 1037 into three categories, those with evidence supporting an association (panel a), those with inconclusive
 1038 evidence (panel b) and importantly, those with no supporting evidence (panel c). Bars represent ranges.
 1039 *Represents recurrence risk. Figure adapted from ¹⁸ with added findings from select reviews and empirical
 1040 papers: neonatal hypoxia estimate²⁶⁷, childhood vaccines²⁰, valporate use during pregnancy²⁶⁸, parent age
 1041 estimates²⁶⁹, preterm birth estimate^{270,271}, maternal obesity estimate²⁷², folic acid intake estimate²⁷³,
 1042 siblings estimate^{274,275}, interpregnancy interval estimate²⁷⁶, assisted reproductive technologies
 1043 estimate^{277,278}, pesticide and air pollution estimate²⁷⁹, caesarian section estimate²⁸⁰.

1044

1045 **Figure 3.** Encoded proteins associated with ASD risk. **[Au: please ensure this figure is added to the third
 1046 party rights table and we will obtain permission to reuse it on your behalf. Note that I've added the
 1047 protein names in after the ASD syndromic genes, below]**

1048 Simplified schematic of the major cellular components of a neural circuit in the cerebral cortex, with a
 1049 focus on pyramid-shaped glutamatergic excitatory projection neurons. Proteins encoded by selected high-
 1050 confidence (FDR < 0.1) autism spectrum disorder (ASD) risk genes³⁴ and proteins encoded by selected
 1051 syndromic ASD genes have a role in these neurons during development. These proteins have a diverse
 1052 intracellular distribution; those at the synapse, have roles in cell adhesion, scaffolding and signalling. In
 1053 addition, some of these proteins are localized to the nucleus and have been shown, broadly, to mediate
 1054 chromatin modification and transcriptional control. Syndromic ASD genes include *FMR1* (encoding fragile
 1055 X mental retardation protein; fragile X syndrome), *UBE3A* (encoding Ubiquitin-protein ligase E3A;
 1056 Angelman syndrome), *TSC1* and *TSC2* (encoding hamartin and tuberlin; tuberous sclerosis complex), *PTEN*
 1057 (encoding Phosphatase and tensin homolog) and *MECP2* (encoding methyl-CpG-binding protein 2; Rett
 1058 syndrome). Adapted from ⁴⁸.

1059

1060 **Figure 4.** Longitudinal trajectories of total brain volume, surface area and cortical thickness in ASD.

1061 **[Au: please ensure this figure is added to the third party rights table and we will obtain permission to
 1062 reuse it on your behalf]** Brain trajectories from 6–24 months of age for total brain volume (TBV, panel
 1063 a), surface area (SA, panel b) and cortical thickness (CT, panel c). **[Au: These data are quite complex and
 1064 may be difficult for non-experts to understand; accordingly, please briefly describe the key trends in
 1065 this figure in 2-3 sentences, what are the take-home messages from the figure?]** Corrected age **[Au:
 1066 edited Length-age to 'corrected age' as per the original figure, ok?]** refers to the age corrected by
 1067 length (body size).. From Hazlett et al. 2017.

1068

1069 **Figure 5.** Co-occurring disorders [Au: this title was too long to adhere to our production guidelines so
 1070 I've edited it down, ok? I've tried to incorporate the original title into the first line of the legend, is this
 1071 ok?] .

1072 Primary and secondary disorders and disadvantage can accumulate through development in people with
 1073 autism spectrum disorder (ASD). These disorders can form additional targets for treatment and policy.
 1074 Prevalence [Au:OK?] estimates from QUEST²⁸¹ SNAP^{133,282} and EDX¹⁴² cohorts [Au: please advise on how
 1075 to proceed with Terry's comment here] .

1076

1077 **Figure 6.** Major parental milestones in advocating and supporting their child with ASD.

1078 Families of children and adults with autism spectrum disorder (ASD) have many decisions and
 1079 expectations across the lifespan of their children, from seeking initial diagnostic evaluation and
 1080 intervention to preparing for aging-related services. These decisions [Au:OK?] vary across different
 1081 cultures, regions and countries and depend on many factors, including the resources and services
 1082 available. However, several decisions are common across all regions [Au:OK?] , including LMR [Au: please
 1083 define LMR - do you mean LMICs?], such as choices about who will care for their child if the parents are
 1084 temporarily unable [Au: edits for brevity ok?] , the amount of time parents and other family members
 1085 can spend with the child with ASD versus meeting other needs, ways to modify their home environment
 1086 to ensure the safety and independence of the individual with ASD and the kinds of behavioural
 1087 expectations that are most helpful for their child or adult. Of note, for many families, these choices and
 1088 responsibilities are lifelong and are relevant, for children, adolescents [Au:OK?] , adults and elders
 1089 [Au:OK?] with ASD.

1090

1091 **Figure 7.** Changes in daily living scores as predicted by IQ scores and autistic symptoms.

1092 Changes in independent daily living skills can be observed in people with ASD over time. This sample
 1093 consists of ~100 young adults with a mean age of 26 years with autism spectrum disorder (ASD), who were
 1094 evaluated at 2, 3 and 9 years of age and followed up to 26 years of age. [Au: I've incorporated the
 1095 discussion of how these scores were obtained and what they mean below (highlighted in yellow) for
 1096 flow, ok? Text discussing divergence and heterogeneity moved to later on in the legend for flow] Daily
 1097 living scores [Au: instead of 'outcomes', ok?] are very diverse, ranging from age-appropriate levels of
 1098 independence at adulthood (represented by a daily living score of 100 , assessed using the Vineland II²⁸³
 1099 [Au:OK?]) to very limited skills (represented by a score of <30). [Au: green text moved to here from
 1100 earlier on for flow] Increasing divergence shows where measurement after 2 years of age is additionally
 1101 predictive, with the line thickness indicating the proportion of early referred children that followed each
 1102 trajectory. Heterogeneity in intellectual functioning and severity of ASD symptoms (social communication
 1103 and restricted, repetitive, sensory behaviors) can be observed. In addition, improvements and worsening
 1104 of autistic symptoms and intellectual functioning can occur over time. A, B| Referred children had verbal
 1105 IQs predominantly <50 (over 3 standard deviations below average) but could show improvement in daily
 1106 living standard scores [Au:OK?] from 2 to 3 years of age that were indicative of eventual greater
 1107 independence in adulthood. Relatively less early change in non-verbal IQ is seen but, like verbal IQ, by

1108 adulthood the association with eventual adult daily living skills is strong. C, D | Variation in ASD symptom
1109 severity in social-communication (CSS refers to The Autism Diagnostic Observation Schedule, Second
1110 Edition (ADOS-2) Comparison Scores) showed a stronger association with adult independence than
1111 restricted-repetitive behaviours and continued to change over the lifespan following more divergent
1112 pathways than intellectual functioning. Data from the EDX cohort compiled from ^{1,142,284}.

1113

1114 **Table 1:** ASD as defined in DSM-5.

1115 [Au: I do think this version is improved, however, we can no longer include bullet points within tables
1116 as this leads to problems at the layout stage - what about converting this to a text box (see example
1117 below)]

1118

Domains		Other criteria
A. Social communication and social interaction	B. Restricted, repetitive behaviours and interests	
Must have evidence across multiple contexts of all 3 subdomains currently or by history	Must have evidence of 2 of 4 subdomains currently or by history	C. Symptoms must be present in early development but may not be fully manifest until later or may be masked later in life by learned strategies
Subdomains	Subdomains	D. Symptoms must cause clinically significant impairment in current functioning
<ul style="list-style-type: none"> • Social reciprocity • Nonverbal communication Developing, maintaining and understanding relationships	<ul style="list-style-type: none"> • Stereotyped, repetitive behaviours • Insistence on sameness • Highly restricted, fixed interests Hyper- or hyposensitivity or interest in sensory inputs	
Note: Previously established DSM-IV diagnoses of any pervasive developmental disorder, including Asperger's disorder should be assumed to be equivalent to DSM-5 ASD	Note: ASD may co-occur with many other disorders including ADHD, intellectual disability, language delay and genetic syndromes	E. Not better explained by intellectual disability or global developmental delay

1119 ADHD, attention-deficit/hyperactivity disorder; ASD, autism spectrum disorder; DSM-5, Diagnostic and
1120 Statistical Manual of Mental disorders, Fifth Edition.

1121

1122

1123 **Box xx. ASD as defined in DSM-5.**

1124 The Diagnostic and Statistical Manual of Mental disorders, Fifth Edition (DSM-5) criteria for autism
1125 spectrum disorder (ASD) comprise 5 symptom clusters (A-E)

1126 A. Social communication and social interaction.

- 1127
 - Must have evidence across multiple contexts of all of the following 3 subdomains currently or by
1128 history
- Social reciprocity
 - Nonverbal communication
- 1129
- 1130

1131 ○ Developing, maintaining and understanding relationships
1132

1133 B. Restricted, repetitive behaviours and interests.

- 1134 • Must have evidence of 2 of 4 of the following subdomains currently or by history
1135 ○ Stereotyped, repetitive behaviours
1136 ○ Insistence on sameness
1137 ○ Highly restricted, fixed interests
1138 ○ Hyper- or hyposensitivity or interest in sensory inputs
1139

1140 C. Symptoms must be present in early development but may not be fully manifest until later or may be
1141 masked later in life by learned strategies

1142

1143 D. Symptoms must cause clinically significant impairment in current functioning

1144

1145 E. Not better explained by intellectual disability or global developmental delay

1146

1147 Note: Previously established DSM-IV diagnoses of any pervasive developmental disorder, including
1148 Asperger's disorder should be assumed to be equivalent to DSM-5 ASD. ASD may co-occur with many
1149 other disorders including ADHD, intellectual disability, language delay and genetic syndromes.

1150

1151 **Table 2:** Evidence-based medication in ASD (adapted from Lord et al²⁸⁵) [Au: please ensure this is added
1152 to the third party rights table and we will obtain permission to reuse it on your behalf]

<i>Medication</i>	FDA or EMA Indication and age	Effect Size (d)	Common Adverse Effects
Typically used for ADHD symptoms			
<i>Methylphenidate</i>	FDA and EMA approval for ADHD (not specific for ASD) in those \geq 6 years of age [Au: edits ok?]	d=0.78 (teacher rated)	Sleep disruption and decreased appetite
<i>Atomoxetine</i>	FDA and individual country approval for ADHD (not specific for ASD) in those \geq 6 years of age [Au: edits ok?]	d=-0.68--0.084	Decreased appetite, nausea and irritability
<i>Guanfacine</i>	FDA and EMA approval for ADHD (not specific for ASD) in those 6–17 years of age [Au: edits ok?]	d=1.67	Fatigue, sedation and decreased pulse and blood pressure [Au:OK?]
Typically used to treat agitation and irritability			
<i>Risperidone</i>	FDA approval for irritability associated with ASD and EMA approval only for other indications [Au: what indications?] in those 5–17 years of age [Au: edits ok?]	d=0.94	Increased appetite, sedation and weight gain
<i>Aripiprazole</i>	FDA approval for irritability associated with ASD and EMA	d=0.87	Nausea and weight gain

	approval only for other indications [Au: what indications?] in those 6–17 years of age		
--	--	--	--

1153 ADHD, attention-deficit/hyperactivity disorder; ASD, autism spectrum disorder; EMA, European
1154 Medicines Agency.
1155

1156 **Table 3:** Factors that affect QOL. Cited within table: (Duncan & Bishop²⁸⁶) [Au: please ensure this is
 1157 added to the third party rights table and we will obtain permission to reuse it on your behalf]

Type of QOL	Factor	Description
Objective QOL	Early language	Follow-up studies of adults with ASD who were diagnosed as children have examined the amount of spoken language during early childhood. Individuals with ASD who had fluent speech are more likely to have higher levels of objective QOL life in adulthood than those with phrased speech or those with no speech or who spoke in single words.
	Indicators of intelligence	Studies examining IQ scores using standardized IQ tests administered both in early childhood and adulthood find that individuals with ASD and higher IQ scores have higher levels of objective QOL than those with lower IQ scores [Au: comparator ok?]. Other, less-standardized measures of intelligence (such as those used in large cohort studies [Au: what measures? Please provide another example here]) have similar findings.
	Adaptive behaviour	Higher levels of adaptive behaviour – and particularly more activities of daily living – are associated with better objective QOL in people with ASD. Adaptive behaviour is a challenge for many individuals with ASD, who have scores below what would be expected based on IQ ²⁸⁶ . Adaptive behaviour is changeable, making it a promising avenue for interventions to improve objective QOL.
	Autism symptom severity	Individuals with more severe autism symptoms tend to have lower objective QOL in adulthood.
	Challenging behaviours	Higher levels of challenging behaviours in people with ASD, which can include both internalizing problems and externalizing problems, are related to lower objective QOL.
	Sex or gender	Sex or gender associations with objective QOL have been demonstrated in terms of employment or post-secondary education. Indeed, women with ASD obtain employment and post-secondary educational positions at the same rate as men with ASD but have a more difficult time maintaining those positions over time.
Subjective QOL	Perceived stress	Many adults with ASD perceive high levels of stress in their own lives. These perceptions are related to lower subjective QOL.
	Supports	Several different types of supports have been related to subjective QOL, including formal services, support from family members (most often parents) and more general social support from others.

1158 ASD, autism spectrum disorder; QOL, quality of life.

1159

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1161

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