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1 **Impact of comorbid affective disorders on longitudinal clinical outcomes in individuals at ultra-high**  
2 **risk for psychosis**

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82

83 **Abstract:**

84 **Introduction:** Diagnoses of anxiety and/or depression are common in subjects at Ultra-High Risk for  
85 Psychosis (UHR) and associated with extensive functional impairment. Less is known about the impact of  
86 affective comorbidities on the prospective course of attenuated psychotic symptoms (APS).

87 **Method:** Latent class mixed modelling identified APS trajectories in 331 UHR subjects assessed at  
88 baseline, 6, 12 and 24 months follow-up. The prognostic value of past, baseline and one-year DSM-IV  
89 depressive or anxiety disorders on trajectories was investigated using logistic regression, controlling for  
90 confounders. Cox proportional hazard analyses investigated associations with transition risk.

91 **Results:** 46.8% of participants fulfilled criteria for a past depressive disorder; 33.2% at baseline and  
92 15.1% at one-year follow-up. Any past, baseline or one-year anxiety disorder was diagnosed in 42.9%,  
93 37.2% and 27.0%, respectively. Participants were classified into one of three latent APS trajectory  
94 groups: (i) persistently low, (ii) increasing and (iii) decreasing. Past depression was associated with a  
95 higher risk of belonging to the increasing trajectory group, compared to the persistently low (OR=3.149,  
96 [95%CI:1.298-7.642]) or decreasing group (OR=3.137,[1.165-8.450]). In contrast, past (OR=.443,[.179-  
97 1.094]) or current (OR=.414,[.156-1.094]) anxiety disorders showed a trend-level association with a  
98 lower risk of belonging to the increasing group compared to the persistently low group. Past depression  
99 was significantly associated with a higher risk of transitioning to psychosis (HR=2.123,[1.178-3.828]).

100 **Conclusion:** A past depressive episode might be a particularly relevant risk factor for an unfavorable  
101 course of APS in UHR individuals. Early affective disturbances may be used to advance detection,  
102 prognostic, and clinical strategies.

103

104

105 **Keywords:** ultra-high risk, comorbid, anxiety, depression, psychosis, schizophrenia, prediction

## 106 **Introduction:**

107 Of all individuals meeting the criteria for the ultra-high risk state for psychosis (UHR), meta-analysis  
108 estimate that 23% (95%CI: 18%-29%) will develop a psychotic disorder within two-years after  
109 presentation to clinical services<sup>1, 2</sup>. The risk of psychosis is the highest in the subgroup with brief  
110 intermittent psychotic symptoms (BLIPS), lowest in the group with genetic risk in combination with a  
111 significant decline in functioning (Genetic Risk and Deterioration syndrome, GRD) and intermediate in  
112 the subgroup with subthreshold attenuated psychotic symptoms (APS)<sup>3</sup>. UHR individuals often display  
113 considerable impairment in functioning and a reduction in quality of life<sup>4</sup>. However, they represent a  
114 highly heterogeneous group in terms of clinical and functional outcome. With regard to comorbid<sup>a</sup>  
115 psychiatric diagnosis, apart from substance misuse<sup>5</sup> and personality disorders<sup>6</sup>, mood (41%) and/or  
116 anxiety disorders (15%) are reported as the most frequent co-occurring conditions<sup>7-10</sup>. These comorbid  
117 affective diagnoses tend to persist and are associated with increased experienced distress and a lower  
118 level of global and psychosocial functioning over time<sup>7, 11-13</sup>. Affective symptoms have furthermore been  
119 reported as the primary subjective reasons for UHR individuals to seek help at specialized early  
120 intervention services<sup>13</sup>.

121 Over the last two decades, the main focus of UHR-research has been the identification of predictors for  
122 transition to psychosis<sup>14</sup>. Regarding the prognostic validity of comorbid affective disorders, meta-  
123 analyses found no effect on risk of transition<sup>7, 8</sup>. There has been limited research investigating the  
124 association between affective comorbidity and long-term course of psychotic symptoms other than  
125 transition risk<sup>15,b</sup>.

126 One previous study found no association between the presence of a current comorbid depressive  
127 disorder and the likelihood of remission from UHR state<sup>5</sup>. However, the authors reported a significant  
128 association between the presence of a current anxiety disorder and persistence of symptoms. In  
129 contrast, another previous study reported that both depressive and anxiety disorder predicted  
130 persistence of paranoid symptoms<sup>16</sup>. Only one study reported a combination of current and/or lifetime  
131 comorbid depression and found that this was associated with a decreased likelihood of remission from  
132 the UHR state<sup>17</sup>. Another study assessed comorbidity repeatedly and found no association between  
133 persistence or recurrence of affective disorders and persistence of APS over a six-year period<sup>12</sup>. Overall,  
134 it seems that the evidence is inconsistent and mainly limited to associations between co-occurring  
135 affective disorders assessed at baseline and remission status at a specific time point. However,  
136 depressive symptoms often seem to appear prior to APS onset and may affect the clinical course<sup>18, 19</sup>. In  
137 accordance, a general population study found a decrease in positive and an increase in negative affect  
138 to be associated with persistence of psychotic experiences over time as compared to transient psychotic  
139 experiences<sup>20</sup>. To the best of our knowledge, no study has investigated the relative impact of past,  
140 baseline or prospectively assessed comorbid depressive and anxiety disorder on UHR trajectories.  
141 Hence, the added value of repeatedly assessed comorbid affective disorders on clinical trajectories of  
142 APS in UHR individuals remains unclear.

143 The current study aimed to address this question by examining trajectories of APS severity over a two-  
144 year period in UHR individuals with latent class mixed modelling (LCMM). We subsequently investigated  
145 the prognostic value of past, baseline or one-year comorbidity of anxiety or depression on these  
146 trajectories. As a secondary aim, we sought to investigate whether past, baseline or one-year affective  
147 comorbidity was associated with higher transition risk to psychosis.

<sup>a</sup> Introduction 1.1 elaborates on the term 'comorbid'

<sup>b</sup> Introduction 1.2 summarizes associations with other outcome variables

## 148 **Method:**

### 149 *Study design and participants*

150 The data analyzed in this study were collected within the multicenter European Gene-Environment  
151 Interactions (EU-GEI) study, from May, 2010 to April, 2015. The aim of the EU-GEI study is to identify the  
152 interactive genetic, clinical and environmental determinants, involved in the development, severity and  
153 outcome of psychotic disorders<sup>21</sup>. The design and inclusion criteria of the prodrome/high risk study of  
154 EU-GEI have previously been described in detail<sup>22</sup>. In short, the overall design of the study was  
155 naturalistic and prospective, consisting of a baseline and two or three follow-up time points depending  
156 on the outcome measure. Subjects were recruited from 11 mental healthcare institutions in: London,  
157 Amsterdam, The Hague, Vienna, Basel, Cologne, Melbourne, Kortenberg, Paris, Barcelona and Sao  
158 Paulo. The study protocol was approved by the Medical Ethics Committees of all participating sites. EU-  
159 GEI was conducted in accordance with the Declaration of Helsinki.

160 Subjects presenting at participating healthcare institutions aged 15-35 were eligible for the study if they  
161 met criteria of the Comprehensive Assessment of At-Risk Mental States (CAARMS)<sup>23</sup> for the UHR state  
162 classified into one or more of the following three groups: (1) GRD: schizotypal personality disorder or  
163 having a first degree relative with a psychotic disorder and experiencing a significant decline in or  
164 chronic low psychosocial functioning, (2) APS: having positive psychotic symptoms that do not reach the  
165 threshold levels for psychosis (3) BLIPS: an experience of a recent brief psychotic episode which  
166 remitted within a week without use of antipsychotic medications. Psychometric features of the UHR  
167 state have been described elsewhere<sup>24</sup>. Exclusion criteria were the prior experience of a psychotic  
168 episode of more than one week or symptoms relevant for inclusion explained by a medical disorder or  
169 drug or alcohol dependence as assessed by the CAARMS, or an intelligence quotient (IQ) below 60.

### 170 *Assessment*

171 Participants were invited for face-to-face follow-up meetings at 6 months (some sites only), 12 months  
172 and 24 months after baseline. In case face-to-face meetings were not possible, information regarding  
173 transition to psychosis were followed up for 2 years using available clinical records, and this follow-up  
174 was extended when additional clinical data was available.

175 The presence of a comorbid depressive or anxiety disorder was assessed with the SCID-I<sup>25</sup>. This included  
176 the diagnosis of a past or current depressive episode, as well as a past and current diagnosis of any  
177 anxiety disorder including social phobia, specific phobia, panic disorder, obsessive-compulsive disorder  
178 (OCD), agoraphobia, general anxiety disorder (GAD) or anxiety disorder not otherwise specified (NOS).

179  
180 Prodromal psychopathology was assessed with the CAARMS<sup>23</sup>, a semi-structured interview with a total  
181 of 27 items, clustered in seven subscales. In the current study APS trajectories were identified based on  
182 the CAARMS positive symptom subscale (unusual thought content, non-bizarre ideas, perceptual  
183 abnormalities, disorganized speech). Symptom severity was operationalized by summing  
184 intensity\*frequency scores of the corresponding items, as has previously been described<sup>11, 26</sup>. Transition  
185 to psychosis was defined as the development of psychotic disorder according to the CAARMS<sup>27</sup>.

## 186 *Covariates*

187 The following risk factors for the onset of psychosis were identified in recent meta-analyses and were  
188 included as a priori defined covariates in all analyses<sup>28, 29</sup>.

189 Gender, ethnicity, current employment, baseline global functioning assessed using the disability score of  
190 the General Assessment of Functioning (GAF) scale<sup>30</sup>, negative, cognitive, motor and general symptoms<sup>c</sup>  
191 assessed with the CAARMS and childhood trauma measured with the Childhood Trauma Questionnaire  
192 (CTQ)<sup>31</sup>.

## 194 *Statistical analysis*

195 For the design of the study, we followed state-of-the-art guidelines (GRoLTS checklist) for reporting  
196 latent trajectory studies<sup>32</sup>. Latent class mixed model analysis (LCMM) was used to identify and visualize  
197 clusters of participants with similar distinct APS outcome trajectories over time within one sample<sup>d</sup>.  
198 Missing values **on main outcome and covariates** at baseline were replaced applying multiple imputation  
199 procedure to be able to include participants with at least one assessment. With maximum likelihood  
200 (ML) estimation LCMM then makes use of all available data, regardless of intermittent missing data  
201 and/or later dropout. Subject and time were used to infer latent class trajectories of APS. The actual  
202 individual time of measurement (days since baseline) was used to account for possible deviation around  
203 the planned assessment date. The maximum observational period was set to <1000 days to avoid  
204 including large outlying values (>2SD). We chose to use unconditional LCMM to first describe the “raw”  
205 latent trajectories in the UHR population without imposing any conditions/predictors on the model. In a  
206 subsequent step, we explored the prognostic validity of past, baseline, and one-year comorbidity of  
207 anxiety and depression on these unconditional trajectories (accounting for the a priori defined  
208 confounders).

209 Starting with a one-class model, we fitted models with increasing numbers of classes until we reached  
210 the inflection point of the Akaike information criterion (AIC). The AIC can be used to identify the point at  
211 which the benefits of improved model fit are outweighed by the cost of the model in terms of its  
212 complexity and thus helps to prevent overfitting of the data. In addition, we examined the somewhat  
213 stricter Bayesian information criterion (BIC), and the log-likelihood (LL). The latter is a measure of  
214 goodness of model fit regardless of model complexity. Finally, posterior probabilities of class  
215 membership for each patient were computed using the Bayes theorem<sup>33</sup>. According to the GRoLTS  
216 checklist the final model was selected based on both statistical (log-likelihood, AIC, BIC) and clinical  
217 (class size, distinctness of class-specific trajectories, likelihood of class membership based on posterior  
218 probabilities) considerations. For more detailed information on LCMM see supplementary eMethod 2.3.  
219 According to the standard Three-Step Method<sup>32</sup>, unconditional trajectories were identified as described  
220 above (step 1) and class membership was saved and merged with the original data (step 2). Multinomial  
221 logistic regression analyses were subsequently used to examine predictors of APS trajectory class  
222 membership as the response variables and past, baseline or one-year comorbid diagnosis of  
223 anxiety/depression as candidate explanatory variables (step 3). A priori selected covariates were  
224 entered in a first block to the model, followed by comorbidity in a second block.

225 To assess the effect of past, baseline and one-year affective comorbidity on the development of  
226 psychotic disorders within the two-year follow-up interval Cox proportional hazard regression analyses  
227 were assessed after assessing the proportional hazards assumption.

<sup>c</sup> eMethod 2.1 elaborates on the adapted CAARMS general subscale

<sup>d</sup> eMethod 2.2 elaborates on possible distinct trajectories

228 The overall cumulative risk of psychosis onset for individuals with presence versus absence of a  
229 comorbid affective disorder was plotted with the Kaplan–Meier cumulative event function and 95%  
230 confidence intervals (CI). We reported the numbers of those at-risk and truncate the event function  
231 when less than 30 subjects were still at<sup>34</sup>.

232 LCMM was conducted using the lcmm R package<sup>35</sup>. Cox proportional hazard regression analyses were  
233 analyzed using survival R package<sup>36</sup> and survminer R package<sup>34</sup> to plot Kaplan-Meier functions **with R**  
234 **version 3.6.2**. All other analyses were performed using SPSS version 26.

## 235 **Results**

### 236 *Sample characteristics*

237 In total, 345 UHR subjects participated in the EU-GEI study. The sample of the current study consisted of  
238 331 individuals, as 14 participants had no valid SCID data and had to be excluded (see flow-chart figure 1  
239 for more information including follow-up data). Median follow-up periods were 202 days (min=41,  
240 max=283) for 6 months assessment, 397 days (min=277, max=554) for one-year, and 760 days (min=533  
241 and max=992) for two-years assessment.

242  
243  
244 **\*\*Figure 1 around here\*\*\***

245  
246 At baseline, 110 (33.2%) participants had current comorbid depression and 123 (37.2%) current anxiety  
247 disorder. Retrospectively, 155 (46.8%) individuals reported a past major depressive episode, whereas  
248 142 (42.9%) reported at least one past anxiety disorder. Regarding persistence across these two  
249 assessments, n=50 (15.1%) individuals reported persistence in depression, whereas n=104 (31.4%)  
250 fulfilled the criteria for an anxiety disorder both at baseline and in the past. At one-year follow-up 24  
251 (15.3%) and 43 (27.4%) participants fulfilled the criteria for depressive or anxiety disorder, respectively.  
252 Data regarding missingness at baseline, and comparisons between dropouts and completers at one-year  
253 are presented as supplementary eResults 3.1 & 3.2. Comparing completers and dropouts at one-year  
254 follow-up showed no significant differences on any of the sociodemographic or clinical variables at  
255 baseline, except for slightly lower years of education and a higher percentage of baseline depressive  
256 disorders in dropouts.

257 Sociodemographic characteristics and baseline clinical variables by trajectory are presented in table 1.

258  
259 **\*\*Table 1 around here \*\***

### 260 *Trajectories of attenuated psychotic symptom severity*

261 A 3-class model was selected for APS trajectories as the associated AIC was the lowest among the tested  
262 models. The BIC was similar to the 2-class solution and considerably lower than the 4-class solution  
263 (Table 2). For the 3-class model, mean class probabilities were moderate to high (0.70- 0.88), suggesting  
264 individuals had a 70-88% probability to be correctly assigned to one of the three latent classes. After  
265 visual inspection of the identified trajectories, the classes could be defined as: (i) persistently low  
266 symptom severity (n=238), (ii) increasing symptom severity (n=28) and (iii) decreasing symptom severity  
267 n=65), see figure 2. For observed individual courses of CAARMS positive scores by most likely trajectory  
268 membership see supplementary eFigures 2a-c.  
269



270

271 \*\* Figure 2 and table 2 around here \*\*

272

273 *Predictors of latent trajectory class membership*

274

275 Multinomial logistic models were conducted to investigate whether past, baseline or one-year comorbid  
276 diagnosis of depression or anxiety disorder were associated with a higher likelihood for the unfavorable,  
277 increasing trajectory of positive symptoms, accounting for a priori selected covariates.

278

279 Adding past comorbidity to a priori defined covariates improved the model's fit (-2LL: 470.631 to  
280 461.381) and increased Nagelkerke R<sup>2</sup> from .128 to .160. Past anxiety disorder showed a trend-level  
281 association with a lower likelihood (odds ratio (OR) = .443, p = .077) of belonging to the increasing  
282 trajectory group compared to the persistently low trajectory group. In contrast, a past diagnosis of  
283 depression was significantly associated with a higher likelihood to belong to the increasing group  
284 compared to the persistently low group (OR = 3.149, p = .011) or the decreasing group (OR = 3.137, p = .024)  
285 (table 3).

286 Adding baseline comorbidity to the model after accounting for covariates, slightly improved model fit  
287 (-2LL: 470.631 to 466.858) and increased Nagelkerke R<sup>2</sup> from .128 to .142 approximated explained  
288 variance. Baseline anxiety disorder was associated with a lower likelihood to show increase in APS  
289 severity over time again on a trend-level (OR = .414, p = .075) compared to the persistently low course. No  
290 significant associations were found for baseline depression (table 3).

291 Neither the presence of an anxiety disorder nor of a depressive diagnosis at one-year follow-up  
292 predicted any of the three trajectories in the smaller subsample of n = 152 (see table 3). Although the  
293 model fit slightly improved (-2LL: 220.573 to 218.010) and Nagelkerke R<sup>2</sup> increased from .154 to .172.  
294 When including both baseline and lifetime comorbidities in one model (Nagelkerke R<sup>2</sup> = .167), only past  
295 depression remained a significant predictor of the increasing trajectory group compared to the  
296 persistently low group (p = .011, OR = 3.201 (95%CI = 1.308-7.831)) and the decreasing group (p = .022,  
297 OR = 3.226 (95%CI = 1.187-8.772)), respectively.

298

299 \*\*Table 3 around here\*\*

300

301 *Comorbid affective disorders and risk for transition*

302

303 Transition to psychosis data were available on 99% (n = 328) of the current sample. Within the two-year  
304 period, 55 (16.7%) UHR individuals transitioned to psychosis, this included 19 individuals from the  
305 increasing group, 22 from the persistently low and 14 from the decreasing group. The average follow-up  
306 time was 423.78 days (SD = 325.05). The last transition was observed at 779 days when 29 individuals  
307 were still at-risk. The median time to transition was 219.0 days (25<sup>th</sup>-75<sup>th</sup> percentiles 121-398).

308 Cox proportional hazard regression analyses showed a 2-fold (HR = 2.132; 95%CI: 1.178-3.828, p = .012)  
309 higher cumulative risk to develop a psychotic disorder in the group with a past depressive episode (n = 36  
310 (23.4%)) compared to the group without past depression (n = 19 (10.9%)), while controlling for the same  
311 a priori defined covariates. The corresponding Kaplan-Meier cumulative risk of psychosis curve is  
312 depicted in figure 3. No significant differences for transition risk were found with regard to baseline or

313 one-year depression or past, baseline or one-year anxiety disorder (see table 4). For remaining Kaplan-  
314 Meier curves see supplementary eFigures 3a-e.

315

316 \*\*Table 4 around here\*\*

317 \*\* Figure 3 around here\*\*

318

## 319 Discussion

320 To our knowledge, this is the first study investigating repeatedly assessed diagnoses of comorbid anxiety  
321 or depression as potential predictors of distinct trajectories of APS severity. We found that the severity  
322 of APS can be clustered across three trajectories: persistently low, increasing and decreasing. Controlling  
323 for several known predictors<sup>e</sup> of risk to transition, we demonstrated that past depression had a negative  
324 impact on the course of APS in UHR. In contrast, past and baseline anxiety disorder showed a trend  
325 association for a persistently low course. No effects were found for affective disorders assessed at one-  
326 year follow-up.

327

328 Almost half (46.8%) of the UHR participants in the current study reported a past depression, and 33.2%  
329 fulfilled the criteria for a current depressive episode at baseline. Similarly, 42.9% reported any past, and  
330 37.2% any baseline anxiety disorder. The prevalence of baseline comorbid depression is slightly lower  
331 than meta-analytical estimations of 41%, whereas prevalence of baseline comorbid anxiety exceeds  
332 previously reported mean estimates of 15%<sup>37</sup>. Contrasting findings might be explained by a more  
333 narrow focus on depressive episodes on the one hand and inclusion of a broader range of anxiety  
334 disorders (e.g. including specific phobia, general anxiety disorder and OCD) on the other hand. Indeed,  
335 studies addressing similar wider diagnostic spectra for anxiety disorders have confirmed comparably  
336 high (38.5%)<sup>38</sup> or even higher (51%)<sup>39</sup> prevalence rates in UHR individuals. Remission of comorbid  
337 depressive disorders over the course of the study in more than half of subjects and persistence in  
338 anxiety disorders in the majority of participants is in line with previous observations<sup>40</sup>.

339 According to our first aim to examine trajectories of APS, we identified a 3-class model with the vast  
340 majority (91.5%) of UHR individuals belonging to the persistently low or decreasing trajectory group,  
341 whereas a small group (8.5%) showed an increase of APS severity over a 2-year period. Regarding the  
342 prognostic validity of repeatedly assessed affective comorbidities, a past diagnosis of depression was  
343 associated with 3-fold higher odds of increased APS severity over time compared to the persistently low  
344 (OR =3.149) and the decreasing group (OR=3.137), respectively. In contrast, a past (OR=.443) and  
345 baseline (OR=.414) comorbid diagnosis of anxiety, showed trend-level associations with a lower  
346 likelihood to belong to the increasing symptom severity trajectory group. However, the presence of  
347 considerably large confidence intervals need to be acknowledged. Non-significant associations between  
348 comorbidity at one-year and APS trajectories were limited by a smaller sample size. Few previous  
349 studies have investigated trajectories of APS or psychotic-like experiences with similar methodological  
350 approaches<sup>41-43</sup>. Only one study reported on positive associations between an unfavorable course of  
351 self-reported psychotic-like experiences and elevated scores in depression and anxiety in a sample of  
352 adolescent<sup>43</sup>. No study investigated the prognostic value of comorbid DSM-IV affective disorders on  
353 identified trajectories. Studies investigating remission from the UHR state also found no associations  
354 with the presence of a baseline comorbid diagnosis of depression<sup>5</sup>.

<sup>e</sup> eDiscussion 4.1 elaborates on baseline CAARMS differences between trajectory groups

355 However, a lower likelihood for remission was found in UHR individuals with a lifetime (past or present)  
356 diagnosis compared to those with no history of depression<sup>17</sup>. Regarding associations with baseline  
357 comorbid anxiety disorders, two previous studies found associations with a lower number of APS  
358 remission at follow-up<sup>5, 39</sup>. The authors argued that the co-occurrence of anxiety disorders and  
359 subclinical positive symptoms might constitute a specific subgroup of UHR individuals, where anxiety  
360 was specifically associated with more suspiciousness, but not with any other APS<sup>39</sup>. These psychotic  
361 experiences might be closely linked to anxiety content, persist over time, but not ultimately progress to  
362 diagnosable psychosis<sup>44, 45</sup>. In support of this hypothesis, one study reported a lower likelihood for  
363 transition to psychosis in UHR individuals with current anxiety disorders in the European Prediction of  
364 Psychosis (EPOS) Study<sup>44</sup>.

365 With regard to our secondary aim, Cox regression analyses showed a more than 2-fold higher risk to  
366 develop a psychotic disorder for individuals reporting a past depressive disorder (HR=2.132). However,  
367 no effect of baseline or one-year depression or past, baseline or one-year anxiety disorders was found.  
368 This is in line with previous meta-analytical findings reporting no association between current comorbid  
369 affective disorders and transition risk<sup>37</sup>. To the best of our knowledge, no previous study investigated  
370 associations with past diagnoses.

371 Current findings suggest that particularly the experience of a past depressive episode might negatively  
372 influence the course of APS in UHR individuals. Affective dysregulation and mood disorders possibly  
373 proceeding APS onset during adolescents and time of major neurobiological developmental have been  
374 suggested to lead to and reinforce psychotic experiences<sup>46</sup>. In this line, an affective pathway to  
375 psychosis has been suggested with affective dysregulation as the main connective component between  
376 early traumatic or stressful experiences and psychosis onset<sup>9, 47, 48</sup>. Furthermore, indirect effects of  
377 affective symptoms via decline in psychosocial and global functioning on longitudinal outcome in UHR  
378 populations have been suggested<sup>14, 49</sup>. One study showed that especially functional deterioration  
379 starting well before the 12 months prior to baseline assessment was associated with an increased risk of  
380 psychosis<sup>50</sup>. In contrast, psychotic symptoms co-occurring with anxiety disorders have not been  
381 associated with increased severity over time or the risk to convert to full-blown psychosis<sup>45, f</sup>.

382

### 383 *Clinical and research implications*

384 The current findings may have clinical implications for the detection, prognostic assessment and  
385 intervention in UHR individuals. From detection and prognostic perspectives, the assessment of early  
386 depressive episode, might be valuable in the prediction of an unfavorable course in a small subgroup of  
387 UHR individuals. Broadening the UHR state to enhance a transdiagnostic perspective<sup>51, 52-54</sup> may have  
388 potential benefits in this regard. A suggestion has been to expand it to other subsyndromal dimensions  
389 such as subthreshold bipolar states, mild-moderate depression, and borderline personality features<sup>52</sup>.  
390 However, the prognostic validity of this approach awaits empirical validation. Another promising  
391 approach would be to incorporate prediction models based on individual patients data to enhance  
392 stratification or personalization of predictions within UHR samples<sup>1, 55</sup>.

393 From an interventional perspective, currently, there is no meta-analytical evidence that preventive  
394 psychological treatments targeting APS are superior to needs based interventions<sup>56, 57</sup>. However, wide  
395 confidence intervals of effects suggest that interventions may be effective for some UHR subgroup.

<sup>f</sup> eDiscussion 4.2 elaborates on the co-occurrence of (subclinical) psychotic and affective symptoms

396 In this line, identified trajectories and potential differential effects of comorbid depressive and anxiety  
397 disorders suggest different needs for clinical interventions. It is important to acknowledge that the  
398 percentage of subjects with an increasing course or transition to psychosis is relatively small. However,  
399 accurate detection of this small subgroup of patients and their prioritization into preventive healthcare  
400 pathways that focus on affective dysregulation may optimize the efficiency of preventive approaches,  
401 saving on the vast majority which may not need such type of intervention. Another subgroup of UHR  
402 individuals might profit from a specific focus on reported anxiety disorders, which might help to reduce  
403 content related subclinical psychotic symptoms.

#### 404 405 *Limitations*

406 Results should be interpreted in light of several limitations. First, predictors included in the current  
407 analyses left considerable variance unexplained. These results suggest that critical factors that might  
408 more directly affect the course of APS over time were not included as potential determinants. For  
409 example, it was not possible to take the effect of pharmacological interventions into account as this  
410 information was only available in a subgroup of participants. Noteworthy, within this subgroup, no  
411 significant differences in antipsychotics or antidepressants use were found between the trajectories.  
412 Although a priori selected confounders were identified as risk factors for transition, other factors could  
413 also have been relevant in predicting APS trajectories. Third, the relatively small group of participants in  
414 the increasing and decreasing trajectory group, in combination with considerable dropout during the  
415 course of the study, limits the reliability of assessed associations between identified trajectories and  
416 comorbid diagnosis at one-year follow-up. The question of whether a repeated assessment of affective  
417 comorbidities may provide valuable information in the prediction of clinical outcome in UHR individuals  
418 thus needs further investigation. Fourth, due to too small sample sizes, we were unable to differentiate  
419 the group of UHR individuals who were diagnosed with both anxiety and depression from those  
420 diagnosed with only one of the two. Hence, more research is needed to investigate the effect of a  
421 combination of comorbid diagnoses. This is a relevant limitation, as previous studies have shown higher  
422 functional impairment and CAARMS symptom severity in the group with combined anxiety and  
423 depression compared to either alone<sup>37</sup>. Fifth, the percentage of baseline comorbid diagnosis of  
424 depression was larger in participants lost to follow-up. This might have led to an underestimation of the  
425 association between baseline depressive disorders and the prospective course of APS. Sixth, past  
426 affective comorbidities were assessed retrospectively, which might limit the reliability of these data. If  
427 possible, future studies should integrate information from clinical case records and /or family members.  
428 In addition, future prospective investigations in earlier stages of the at-risk mental stage (e.g. prior to  
429 help-seeking) would shed more light on the impact and possible mechanisms of early affective  
430 disturbances on clinical outcome.

#### 431 432 *Conclusion*

433 A large group of UHR individuals fulfill the criteria for co-occurring depressive or anxiety disorders.  
434 Results of the current study suggest that particularly the experience of a past depressive episode might  
435 be a relevant risk factor for an unfavorable course of APS in UHR individuals and increase the risk to  
436 transition to psychosis.

438 **Table & Figures**

439

440 Table 1 Baseline information on sociodemographic and clinical variables by trajectory class

441

	Class 1 (persistent low) N=238	Class 2 (decreasing) N=65	Class 3 (increasing) N=28	Group comparisons
Age	22.39 (4.95)	22.53 (4.70)	23.38 (6.57)	F=.471, p=.625
Gender (% male)	52.52	56.92	60.71	X=.941, p=.625
Ethnicity (% caucasian)	72.26	63.08	78.57	X=2.95, p=.229
Years of education	14.28 (2.98)	14.44 (3.37)	13.76 (3.36)	F=.354, p=.702
Cannabis use (% yes)*	27.31	26.15	28.57	X=.064, p=.969
Cannabis abuse (% yes)	11.22	16.31	22.21	X=2.360, p=.307
Currently employed (yes %)	75.98	83.87	74.07	X=1.915, p=.284
UHR intake group (%)				
APS	78.2	60.7	74.1	X=36.595, p<.001
GRD	10.7	0	7.4	
BLIPS	1.8	13.1	0	
combination	9.3	26.2	18.5	
Medication use ( %)#				
Antidepressants/ Mood stabilizers	29.9	32.7	22.7	X=.717, p=.699
Anxiolytics	10.0	10.2	0	X=2.426, p=.297
Antipsychotics	8.5	12.2	13.6	X=1.114, p=.573
CAARMS				
Positive	29.84 (13.35)	63.84 (14.44)	34.71 (12.88)	F=161.67, p<.001
Negative	29.45 (18.41)	28.78 (18.29)	29.18 (16.30)	F=0.04, p=.965
Cognitive	9.50 (5.92)	10.96 (6.07)	10.55 (6.72)	F=1.68, p=.186
Emotional	12.31 (11.04)	13.33 (11.36)	12.39 (11.68)	F=.210, p=.810
Social	31.08 (19.72)	33.08 (18.31)	32.99 (21.87)	F=.336, p=.715
Motor	6.15 (7.90)	10.08 (12.72)	8.69 (11.32)	F=4.95, p=.008
General	20.87 (15.52)	28.70 (18.81)	26.28 (17.66)	F=6.482, p=.002

442 \* assessed with the Cannabis Experiences Questionnaire (CEQ)

443 #Information available in a subsample of n=272.

444 *Abbreviations:* CAARMS: the Comprehensive Assessment of At-Risk Mental States, APS: attenuated

445 psychotic symptoms, BLIPS: brief intermittent psychotic symptoms, GRD: Genetic Risk and

446 Deterioration syndrome

447

448

449 Table 2: Model Fit Parameters for LCMM of attenuated psychotic symptoms with One to Five Classes

450

Number of classes	Number of Parameters	AIC	BIC	Max log-likelihood	Posterior probability	Sample size per class
1	6	6261.687	6284.500	-3124.844		
2	9	6216.362	6250.581	-3099.18	.86-.92	303 / 28
<b>3</b>	<b>12</b>	<b>6205.759</b>	<b>6251.384</b>	<b>-3090.879</b>	<b>.70-.88</b>	<b>28 / 238 / 65</b>
4	15	6209.673	6266.705	-3090.000	.51-.82	18 / 34 / 249 / 30
5	18	6215.673	6284.111	-3089.836	.53-.87	27 / 239 / 30 / 35 / 0

451 *Abbreviations:* AIC: Akaike information criterion, BIC: Bayesian information criterion, LCMM: Latent class

452 mixed modelling

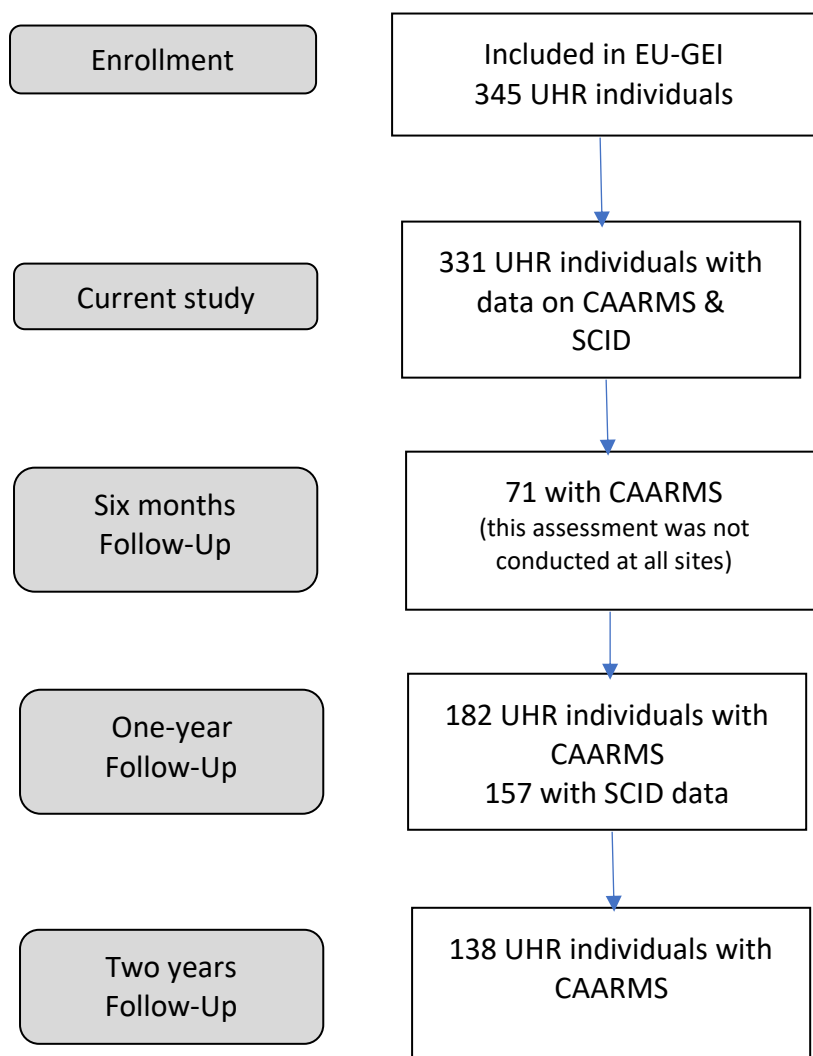
Table 3 Results of multinomial regression analysis of *past, baseline (n=331) and one-year (n=159)* comorbid anxiety and depression in predicting positive symptom trajectories

	Past comorbidity			Baseline comorbidity			One-year comorbidity		
	Exp(B)	95% CI	p	Exp(B)	95% CI	p	Exp(B)	95% CI	p
Increasing (n=28) vs. low (n=238) (n=18 vs. n=115)									
Ethnicity	.694	.251-1.921	.482	.679	.245-1.881	.457	1.032	.286-3.734	.961
Gender	.551	.230-1.322	.182	.644	.276-1.505	.310	.701	.229-2.145	.533
Currently employed	1.201	.485-2.976	.629	1.206	.485-2.996	.687	1.672	.517-5.403	.390
GAF	1.001	.961-1.042	.972	1.000	.960-1.042	.998	.998	.944-1.054	.933
Trauma	1.028	1.000-1.057	<b>.047</b>	1.024	.997-1.053	.087	1.017	.982-1.053	.345
Negative symptoms	.988	.961-1.015	.375	.990	.962-1.018	.466	.971	.953-1.009	.128
Cognitive symptoms	1.029	.956-1.107	.453	1.024	.951-1.102	.527	1.004	.910-1.108	.932
Motor symptoms	1.034	.990-1.080	.134	1.029	.986-1.073	.189	1.023	.966-1.084	.433
General symptoms	1.012	.985-1.041	.390	1.015	.988-1.043	.281	1.037	1.000-1.076	<b>.047</b>
Anxiety	.443	.179-1.094	<b>.077</b>	.414	.156-1.094	<b>.075</b>	1.187	.361-3.898	.778
Depression	3.149	1.298-7.642	<b>.011</b>	1.093	.429-2.783	.852	.508	.097-2.665	.423
Increasing (n=28) vs. decreasing (n=65) (n=18 vs. n=24)									
Ethnicity	.387	.128-1.176	.094	.383	.126-1.166	.091	.671	.145-3.113	.611
Gender	.793	.297-2.121	.645	.944	.362-2.459	.906	1.926	.455-8.149	.373
Currently employed	.849	.308-2.343	.752	.855	.309-2.366	.762	.751	.180-3.143	.695
GAF	.983	.939-1.028	.450	.983	.939-1.028	.454	.969	.908-1.034	.347
Trauma	1.019	.989-1.051	.218	1.015	.984-1.046	.353	1.018	.974-1.064	.432
Negative symptoms	1.009	.977-1.041	.596	1.010	.978-1.043	.546	.975	.931-1.020	.271
Cognitive symptoms	1.002	.923-1.087	.967	.996	.918-1.081	.928	1.032	.916-1.164	.602
Motor symptoms	.994	.949-1.040	.786	.989	.946-1.034	.629	1.009	.966-1.053	.383
General symptoms	.980	.950-1.010	.188	.982	.953-1.013	.250	.980	.950-1.010	.688
Anxiety	.511	.187-1.394	.190	.440	.151-1.282	.133	2.662	.500-14.169	.251
Depression	3.137	1.165-8.450	<b>.024</b>	1.266	.441-3.3640	.661	.489	.069-3457	.473

Table 4 Hazard ration(HR) for past, baseline and one-year affective comorbidities and transition risk adjusted for covariates

	HR	95% CI	p
<b>Depression</b>			
Past	2.132	1.178-3.828	<b>.012</b>
Baseline	1.020	.533-1.952	.953
One-year	1.568	.519-4.736	.425
<b>Anxiety</b>			
Past	1.203	.683-2.120	.522
Baseline	.872	.485-1.569	.649
One-year	.840	.296-2.384	.743

Figure 1. Flowchart of included participants



*Abbreviation:* CAARMS: the Comprehensive Assessment of At-Risk Mental States; SCID: Structured Clinical Interview for DSM-IV-TR Axis I Disorders, UHR: ultra-high risk

Figure 2. Model estimated class-specific mean predicted trajectories of attenuated psychotic symptoms with 95% confidence intervals. Trajectories were classified as ‘persistently low’ (n=238; 71.9%), ‘increasing’ (n=28; 8.5%) and ‘decreasing’ (n=65; 19.6%) symptom severity.

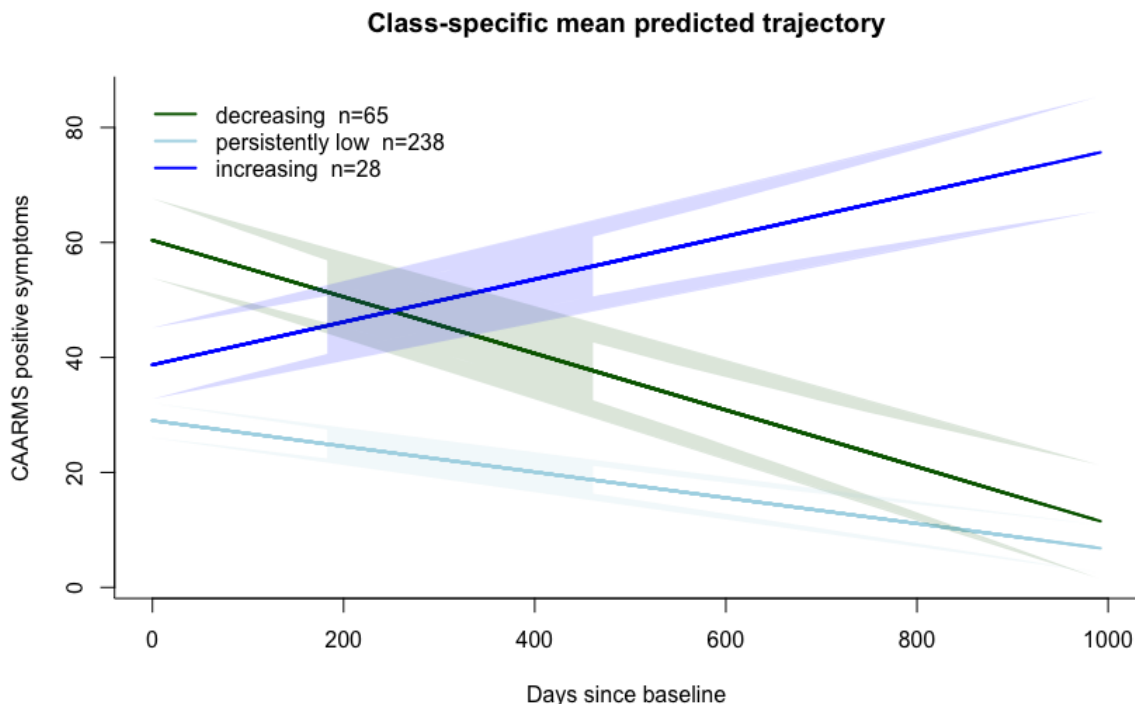
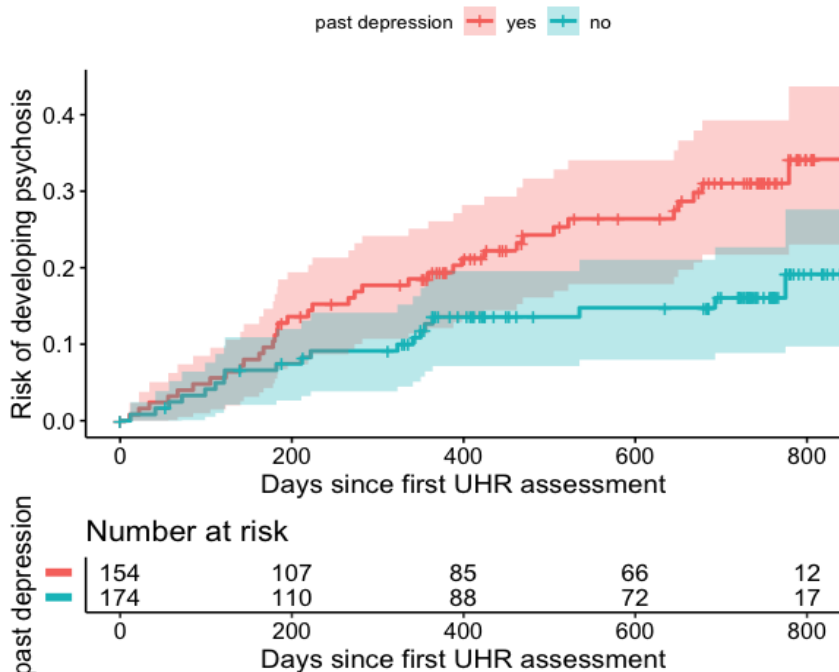


Figure 3. Cumulative event Kaplan–Meier function for risk of development of psychotic disorders with 95% Confidence Intervals in 328 ultra-high risk (UHR) individuals stratified for past depression.



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