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3 **DIVERGENT FIRE REGIMES IN TWO CONTRASTING MEDITERRANEAN**
4 **CHESTNUT FOREST LANDSCAPES**
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29 **Abstract**

30 Humans have historically played a critical ecological role in the management of
31 Mediterranean type ecosystems (MTEs) through traditional fire use. Although chestnut
32 forests are widespread across the Mediterranean Basin, little is known about their
33 historical fire regimes. Our goal here was to generate testable hypotheses about the
34 drivers of fire regime dynamics in chestnut dominated ecosystems. To examine the role
35 played by anthropogenic fire management in them we selected two sites in Spain that
36 have similar biophysical characteristics but divergent levels of economic development
37 and fire management policies. Fire regime-landscape feedbacks were characterized
38 through a pilot dendroecological study, official fire statistics, aerial photography and
39 forest inventory data. Our results suggest that fire incidence in both sites has increased
40 since the pre-industrial era but fire season, size and forest structure have changed to a
41 greater extent in the more developed site. These changes are probably driven by the
42 decline in annual anthropogenic burning of litterfall by local communities at the more
43 developed site during the non-vegetative season.

44

45 **KEYWORDS:** Fire ecology, chestnut forest ecosystems, traditional ecological
46 knowledge, coupled human and natural systems theory, dendroecology, historical range
47 of variability

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55 1. INTRODUCTION

56 “Fire is the thunderbolt that steers all things”, Heraclitus

57

58 Anthropogenic fires have played a key role in shaping the structure and
59 processes of Mediterranean-type ecosystems (MTEs) in long-inhabited areas of the
60 world such as the Mediterranean Basin (Grove and Rackham, 2000; Pausas, 2004). In
61 the Iberian Peninsula, MTEs dominated by chestnut (*Castanea sativa* Mill.), in
62 particular, have been actively managed by humans for centuries (Conedera et al., 2004).
63 The sweet chestnut (*Castanea sativa* Mill.) is a deciduous, hardwood tree species
64 belonging to the Fagaceae family. The species seems to be native to the Iberian
65 Peninsula with glacial refugia having been identified in Spain and Portugal (Postigo-
66 Mijarra et al., 2010). Chestnuts have been widely cultivated throughout the temperate
67 world, particularly across the Mediterranean Basin (see **Figure 1**) and their
68 geographical range is closely associated with the activities of pre-industrial era societies
69 (Conedera et al., 2004). Currently, sweet chestnut forests are mainly concentrated in
70 southern Europe (France, Italy, Spain, Portugal and Switzerland) where there is a long
71 tradition of their cultivation as groves for nuts and timber production (Conedera et al.,
72 2004).

73 Consistent with other authors, we therefore assume that humans should be
74 considered an integral part of chestnut ecosystems due to, among other things, the role
75 played by anthropogenic burning in influencing historical fire regimes (e.g. Keane *et al.*
76 2009). However, socio-economic induced changes such as the abandonment of
77 traditional ecosystem management practices and uses, along with climate change and
78 other factors, mean that modifications of MTE fire regimes can lead to an increased
79 probability of large fire occurrence (Fernandes et al., 2013). To provide insights into the

80 little-researched fire ecology of chestnut MTEs – and the possible implications of
81 contemporary fire management changes in them – we explore the histories and
82 divergent dynamics of anthropogenic fire regimes in two unevenly developed chestnut-
83 dominated landscapes in central Spain.

84 **INSERT HERE FIGURE 1**

85 Managers and scholars are increasingly concerned about the resilience of
86 existing chestnut landscapes to new emerging disturbance regimes, particularly in light
87 of widespread rural abandonment and the demise of traditional pre-industrial era
88 management practices (Grund et al., 2005; Krebs et al., 2012; Pezzatti et al., 2013; San
89 Roman, et al., 2013; Zlatanov et al., 2013). Previous efforts to identify historical fire
90 regime “change points” in chestnut forest ecosystems have focused on the analysis of
91 fire frequency and spread or burnt area, concluding that only strict anthropogenic fire
92 bans can lead to the adequate management of both changing attributes (e.g., Pezzatti et
93 al., 2013). We hypothesize, in addition, that a combination of human and biophysical
94 system factors – forest structure fuel changes, transformed ignition patterns (particularly
95 in seasonality) and climate change – which in the literature have been defined as
96 conforming the “megafire triangle”, may also be playing an important role in driving
97 these fire regime changes (see **Figure 2** and Stephens et al., 2014). Given this emerging
98 scenario, and to mitigate the risk of “larger fires” (official statistical definition in Spain:
99 >500 hectares) taking place in these ecosystems, landscape managers seem to now face
100 a choice between increased fire suppression and preventive prescribed burning
101 (Fernandes et al., 2013; Khabarov et al., 2014).

102 **INSERT FIGURE 2 HERE**

103 To inform this management choice, we selected study areas located in similar
104 biophysical environments – and thus assumed ecological factors to be as constant as

105 possible in a non-laboratory setting – but with divergent human system drivers. The
106 main difference between the two study landscapes we selected lies in their uneven
107 levels of economic development and the “looseness” of feedbacks resulting from the
108 dissimilar fire management strategies of public administrations in them (Hull et al.,
109 2015). The importance of different types of feedback between human activity and
110 environmental processes has been underlined by the recent development of conceptual
111 frameworks such as Coupled Human and Natural Systems (CHANS) (Alberti et al.,
112 2011; Hull et al., 2015). In the CHANS context “loose feedbacks” refer to “legacy
113 effects” and, specifically in this case, to traditional pre-industrial era landscape
114 management practices that may influence vegetation succession patterns over decades
115 or centuries (Perry et al., 2008).

116 Here, we examine the role played by Traditional Ecological Knowledge (TEK;
117 Berkes, 2000), and its derivative Traditional Fire Knowledge (TFK; Seijo et al. 2015)
118 based management practices, in conditioning these feedbacks. TFK can be defined as
119 “fire-related knowledge, beliefs, and practices that have been developed and applied on
120 specific landscapes for specific purposes by long time inhabitants” (Huffman, 2013: 1)
121 and is a variant of TEK. In turn, TEK is “the cumulative body of knowledge, practice,
122 and belief, evolving by adaptive processes and handed down in generations by cultural
123 transmission, about relationships of living beings [including humans] with one another
124 and with their environment” (Berkes et al., 2000: 1). This work opens up a discussion
125 about whether current fire-regime dynamics are within the historical range of variability
126 (HRV) for chestnut forest MTEs in our study sites. We discuss how the hypotheses we
127 generate based on this initial case study evidence, if corroborated by further research,
128 may be relevant to the management of chestnut landscapes throughout the
129 Mediterranean basin, particularly with respect to TEK and TFK.

130 2. MATERIALS AND METHODS

131 To address the issues raised above we reconstructed historical fire regimes by
132 using complementary tools such as official statistics on fire incidence in both
133 municipalities and a pilot dendroecology study (Fulé et al., 2008; Christopoulou et al.
134 2013). To characterize feedbacks with forest structure and composition we analyzed
135 forest inventory data and historical aerial photographic records. In particular, we
136 analyzed the divergent dynamics of fire regimes throughout the 20th century in our two
137 central Spain study landscapes, focusing on the decades immediately preceding and
138 following the industrialization process that was initiated by the Spanish state in the
139 forestry sector in the 1960s (Seijo, 2005; Seijo & Gray, 2012). To do so we deployed an
140 interdisciplinary approach combining a dendroecological-based pilot study of old
141 growth chestnut tree groves and analyses of historical forest structure based on aerial
142 photography records and forest inventory data.

143 2.1 Study species and site selection

144 The chestnut forest landscapes of our study area are located in the foothills of
145 the mountains of Gredos (central Spain). Palynological studies have verified the
146 presence of the species in the area since 500 BC (López-Sáez et al., 2009). Research for
147 this study was conducted in the municipalities of Casillas, autonomous community of
148 Castilla y León, and Rozas de Puerto Real, autonomous community of Madrid, in
149 central Spain (**Figure 1**). Through this careful landscape selection we considered that
150 the biophysical variables driving fire regime changes could be held constant, as far as
151 possible in a non-laboratory setting, so as to better highlight the connections between
152 ecological feedbacks in both sites with contrasting fire management and socio-economic
153 drivers. This methodological approach has yielded interesting findings on the dynamics
154 of changing fire regimes in other MTEs (e.g., Minnich, 1983).

155 Study sites were selected so as to represent different anthropogenic fire regimes
156 in MTEs. Seijo and Gray (2012) hypothesize that uneven processes of state-led political
157 and economic development have driven, to varying degrees, the fire regime changes
158 taking place at present in many MTEs. These changes seem to be mediated by the
159 differing degrees of implementation of accompanying state fire exclusion policies and
160 the divergent impact of state-led economic development policies in the forestry sector
161 on the economic development of pre-industrial local community economies (Seijo &
162 Gray, 2012). We selected Casillas and Rozas as study sites because they are separated
163 by an intra-state political boundary between Spanish autonomous communities (i.e.
164 regional governments) and are therefore subject to different administrative fire
165 management policies.

166 The two municipalities, in addition, also exhibit markedly different levels of
167 economic development as suggested by various basic economic indicators. There are
168 significant differences between the two municipalities in both per capita income levels
169 (GDP per capita Casillas €16,290 vs. Rozas €23,929; AIS, 2014) and occupational
170 structure (percentage of population employed in the service sector is 77.3% in Rozas
171 compared to 28.6% in Casillas). These indicators suggest that Rozas may have already
172 made the transition into a post-industrial, service sector based economy (Touraine,
173 1971) while Casillas remains in the industrializing phase as evidenced by the larger
174 proportion of its population employed in the primary (6.2% vs Rozas' 2.1%) and
175 secondary (65.2% vs. Rozas' 19.6%) sectors (Caja España, 2011). Furthermore, costs of
176 fire exclusion per hectare between the autonomous community governments of Castilla
177 y Leon (Casillas) and Madrid (Rozas) differ significantly – €14 per hectare and €75 per
178 hectare respectively (ASEMFO, 2006). We use these human system data as proxy

179 indicators for the contrasting human system factors that may be driving to different
180 degrees fire regime changes since industrialization in both sites (see section 3.2).

181 However, while differing significantly in economic development and
182 investments in fire exclusion policy implementation, the municipalities share
183 similarities in their biophysical conditions. Being geographically adjacent, both sites are
184 characterized by dry-summer Mediterranean climate, with precipitation concentrated in
185 the autumn, spring and winter months. Mean annual temperature for Rozas is 12.1 °C
186 and 13.4 °C for Casillas, based on data from meteorological stations located in each
187 village (Rozas, 40° 29' 31'' N, 3° 52' 28'' W, 712 m a.s.l., period of data 1983-2013;
188 Casillas, 40° 19' 23'' N, 4° 34' 20'' W, 1012 m a.s.l., period of data 1995-2013). Mean
189 annual precipitation is 831 mm in Rozas and 978 mm in Casillas. To study historical
190 trends in annual mean temperature and total precipitation in the study area we obtained
191 data from the European E-OBS gridded dataset considering the period 1950-2013 and
192 the 0.25°-grid including both study sites (Haylock et al. 2008).

193 **2.2 Dendroecological pilot tree-ring study and fire history**

194 We used a pilot dendroecological study coupled with official fire records from the two
195 sites to generate hypotheses about the fire history of chestnut forests in both
196 municipalities to be tested by future research (Fritts, 2001). The reconstruction of fire
197 regimes from available remaining wood is a useful tool to establish past reference
198 conditions by documenting fire occurrence dates over as long a time period as possible
199 (Swetnam et al., 1999). Sampled areas were about 1 ha per site and included
200 several chestnut forest patches (1 tree per patch was sampled) where we located all fire-
201 scarred adult chestnuts (n=12 in Rozas, n=5 in Casillas see table 1). The within-
202 site replication corresponds to the multiple sampled trees which often form small
203 groves, as part of hedges or grow at the front of terraces built near formerly

204 cultivated fields or pastures, particularly in the Casillas site. The sampled size of trees
205 not affected by fire was determined in order to achieve a good within-site replication to
206 obtain a representative chronology or mean series of growth data. Usually, a minimum
207 of 10-15 trees is considered in dendrochronological studies depending on the cross-
208 dating among trees which was excellent for both sites (Grissino-Mayer, 2001). The
209 growth data for the sampled trees that were not affected by fire are not presented in this
210 paper since they are not relevant to this article's content and will form part of a future
211 study quantifying above ground chestnut biomass and carbon sequestration in both sites.

212 Cross-sections or partial wedges were removed from visible fire scars – known
213 as “catfaces” and usually an indicator of past surface fire activity – because these enable
214 the examination and dating of fire scars (Arno and Sneek, 1977; Van Horne and Fulé,
215 2006). Samples were taken at heights ranging from 0.5 to 1.0 m using a chain saw. To
216 obtain the maximum number of scars from each sample tree, several cross-sections or
217 wedges were taken per individual (perpendicular to the fire scar). This technique did not
218 increase the mortality rate of sampled trees one decade after sampling in similar studies
219 (Heyerdahl and Mckay 2008). Fire-scars were identified by the disruption of growth and
220 subsequent healing patterns of secondary growth as well as charring at the point of
221 injury (McBride, 1983). Fire calendar years were determined by cross-dating tree rings
222 in the collected sections (Swetnam and Baisan, 1996). To build a site chronology by
223 averaging tree-ring width series from trees apparently not affected by fire we took cores
224 from additional dominant trees ($n = 11$ in Rozas, $n = 18$ in Casillas; see **Table 1**)
225 randomly selected in each site and not presenting visible fire scars. Cores were taken at
226 approximately 1.3 m from the ground using an increment borer. All wood samples were
227 air dried, sanded using several papers of successively finer grains until tree-rings were
228 clearly visible and then visually cross-dated. Individual tree-ring width series were

229 measured to the nearest 0.001 mm using a LINTAB semi-automatic measuring device
230 (Rinntech, Heidelberg, Germany). Cross-dating quality was checked using COFECHA
231 (Holmes, 1983; Grissino-Mayer, 2001).

232 Lastly, we checked for the presence of anatomical fire-related features (delayed
233 cambial death in the scarred wood region, increase in vessel density, reduction in lumen
234 area of earlywood vessels, formation of tyloses in these vessels) reported by Bigio *et al.*
235 (2010). We analyzed the individual trees showing annual fire scars and also an annual
236 frequency of trees forming scars for each of the two study areas; these can be regarded
237 as a proxy for fire occurrence and extent. Since the number of sampled and cross-dated
238 trees changed through time we applied a correction procedure proposed by Osborn *et al.*
239 (1997) to eliminate variance changes resulting from changing sample replication and to
240 obtain a corrected frequency of trees presenting fire scars.

241 To validate our fire scar based reconstruction, we compiled data on past fire
242 occurrences from official fire statistics collected from the regional governments of the
243 Castilla y León and Madrid autonomous communities where Casillas and Rozas are
244 located. We obtained individual fire reports for the two municipalities for the period
245 1984-2009. From these we quantified the fire regime attributes that could be inferred
246 from official fire statistics for the selected sites. These included information on fire
247 regime incidence, size, season and causality. Though the number of sampled trees in our
248 study is low due to the difficulties found in obtaining permits for sampling chestnut
249 trees from multiple small landholders and stakeholders (both sites have “minifundio”
250 land tenure structures), we argue that the data obtained through our pilot
251 dendroecological study is nevertheless useful for the purpose of generating hypotheses
252 on fire regime dynamics to be tested by future more comprehensive dendroecological
253 studies in multiple chestnut MTE landscapes. This is consistent with adaptive

254 management research methods which recognize uncertainty and limited information as a
255 persistent feature in ecosystem assessment and management (Rist et al., 2013).

256 **2.3 Forest structure aerial photo analyses**

257 We interpreted historical aerial photographs to trace the evolution of forest structure in
258 the two selected study sites (see **Figure 3**). Aerial photographs were obtained from the
259 Spanish army's geographical services and included black and white and color
260 photograph series for the following years: 1956, 1972, 1985, 2006 and 2011. This
261 allowed for a quantification of the evolution of forest structure in both sites for
262 approximately 60 years.

263 **FIGURE 3 INSERT HERE**

264 To analyze the aerial photographs we placed a grid over the existing cartography
265 for the two municipalities and numbered each grid cell. Using a random number
266 generator, 74 grid cells (plots) were selected for analysis (Rozas $n = 27$, Casillas $n =$
267 47). Only complete grid cells were analyzed and plots crossing municipal boundaries
268 were discarded. Grid cells including buildings, roads, orchards and other human-made
269 infrastructures were also discarded. Grid cells for the municipality of Casillas covered
270 5.2 ha while grid cells for Rozas covered 7.3 ha. To visually estimate foliage cover in
271 both sites we used a standardized comparison chart so as to determine the canopy cover
272 for each grid cell. To describe the forest structure of each grid cell we developed a
273 structure code with the following characterizations: no canopy (a), open, mixed-canopy
274 forest (b), closed mixed-canopy forest (c), closed and small-canopy forest (d), open and
275 small-canopy forest (e), open and large-canopy forest (f) and closed and large-canopy
276 forest (g) (see **Figure 3**). We then assigned a letter to each cell based on a visual
277 estimation of the prevailing forest type. To analyze variations in forest structure from

278 1956 to 2011 we computed the coefficient of variation for all forest structure types
279 (standard deviation/mean) for each forest type across all intervals in the time sequence.

280 We used “open canopy” and “no canopy” forest structure types as proxies for
281 evaluating the landscape-level impacts of pre-industrial era traditional landscape
282 management practices which were, and still are, oriented to certain specific forms of
283 land use such as agricultural, pastoral and chestnut production activities (Seijo et al.,
284 2015). “No canopy” structure types, however, can also be a product of deforestation or
285 an interruption in natural forest regeneration processes resulting from increasingly
286 severe forest fires, fires for expanding pastures, grazing by domestic cattle, and/or
287 shifting agricultural cultivation. Therefore, greater caution must be taken in the use of
288 “no canopy” as a proxy for traditional land use than “open canopy”. However, many of
289 the human system drivers conditioning the relative abundance of “no canopy” can also
290 be linked to forest management practices typical of the pre-industrial era.

291 Finally, from the “Inventario Forestal Nacional” (IFN, Spanish National Forest
292 Inventory) data we were able to describe relative forest species abundance in the two
293 sites and at a regional scale. Data were compiled from two consecutive cycles of the
294 IFN performed within a time interval of 10 years (IFN2, 1990; IFN3, 2000). For our
295 analysis we summarized volume ($\text{m}^3 \text{ha}^{-1}$) for the main tree species to describe their
296 relative abundance in the study sites (see **Figure 4**). The comparison of the information
297 derived from the plots present in the two sequential IFNs in the study region ($n = 1029$
298 plots) allowed for an assessment of the growing stock rates of chestnut ($n = 413$ plots).

299 **INSERT HERE FIGURE 4**

300

301 **3. RESULTS**

302 **3.1 Dendroecological tree-ring analysis pilot study**

303 A greater number of fire-scars were recorded in Rozas than in Casillas suggesting a
304 higher incidence of fire events in the former than in the latter site, particularly since the
305 1960s (see **Table 1 and Figure 5**). In both landscapes fire event incidence seems to
306 have increased considerably since the beginning of industrialization in the 1960s. We
307 dated the oldest fire scars to 1934 and 1923 in the Casillas and Rozas study areas
308 respectively (see **Table 1 and Figure 5**). The mean tree-ring width series (standard
309 chronologies) of both study areas for the best replicated period (1910-2013) were
310 significantly correlated ($r = 0.65$, $P < 0.001$) indicating a common response to climate.
311 Analogously, some years of high fire incidence in both study areas (1965, 1966, 1985,
312 1986, 1994), according to the fire-scar dates, corresponded to very dry and hot summers
313 (see **Figure 6**). Some of those scars corresponded to the 1985, 1986 fires documented in
314 Rozas, but no scar was observed in response to a known 2005 fire. The links between
315 summer climatic conditions and the frequency of trees presenting fire scars was most
316 evident in Rozas, where dry and hot summers such as those occurring in the mid-1980s
317 and early 1990s coincided with a high frequency of fire scars. In Casillas, the dry
318 summer conditions of the early 2000s were also related to the production of successive
319 fire scars but we were able to date them only in two trees (see **Figure 6**).

320 We found that some sampled trees had fire scars for as many as four or even five
321 consecutive years. We believe that these repeated annual fire scars were probably
322 caused by annual controlled litterfall burning next to or inside the hollow chestnut tree
323 trunk or catface. This is a common practice in TFK-based burning in both sites and is
324 believed by practitioners to prevent root rot (*phytophthora cinnamomi*) infestation in old
325 growth trees (Seijo et al., 2015).

326 **INSERT HERE FIGURES 5, 6 AND TABLE 1**

327 **3.2 Official fire statistics**

328 Fire event incidence in official records was greater in Casillas than in Rozas from 1984-
329 2009 with the former municipality experiencing 52 fire incidents in contrast to 31 in the
330 latter. Median annual burned areas were similar for both municipalities (Rozas 0.41 ha,
331 Casillas 0.42 ha) but once differences in landscape area are accounted for, burnt surface
332 per year was larger in Rozas than in Casillas by a factor of 10 ($2.12 \text{ ha km}^2 \text{ yr}^{-1}$
333 ¹compared to $0.22 \text{ ha km}^2 \text{ yr}^{-1}$). Rozas experienced a 1,257 hectare “large fire” (i.e.
334 official statistical definition: >500 hectares) in 1985 whereas none have taken place in
335 Casillas during that period. In Casillas fires in early spring and autumn months account
336 for a greater proportion (53%) than summer fires (47%). This contrasts with Rozas
337 where summer months accounted for the vast majority of fire events (71%) with early
338 spring and autumn months contributing far less (29%). Climatically, some years
339 presenting fire scars were characterized by significantly ($P < 0.05$) warmer temperatures
340 than the 1950-2013 mean in spring (March 1994, April 1986), summer (2005 June) or
341 early fall (1985 September). Regarding precipitation, several of these fire years
342 recorded no rainfall during winter-spring (1965 April, 1994 March, 2005 January),
343 summer (1986 and 1994 June, 1966 July-August, 1985 and 1994 August) and fall
344 (1985 September-October; see **Tables 2 and 3 and Figures 7 and 8**).

345 **INSERT HERE TABLES 2, 3 AND FIGURES 7, 8**

346 **3.3 Forest structure aerial photography analysis**

347 In both sites there has been a gradual reduction in the number of forest structure types
348 when compared to the pre-industrial baseline year of 1960 (**Figure 9**). This occurs to a
349 greater extent in Casillas than in Rozas. By 2006 two forest structure types – “closed,
350 large canopy” and “open, small canopy” – disappear from the record in Casillas while in
351 Rozas the former structure type never seems to have been present and the latter ceases
352 to appear by 1985 (**Figure 9**). The “closed, mixed canopy” structure seems to have

353 expanded considerably in both sites, practically doubling in area since 1956. The
354 coefficient of variation is larger for Casillas than in Rozas for this forest structure type,
355 suggesting that this process has been taking place to a larger extent in the former than in
356 the latter site. The “closed, small canopy” structure type has shrunk slightly in Rozas
357 and expanded significantly in Casillas (**Table 4**).

358 **INSERT HERE FIGURE 9**

359 “Open, large canopy” forest structure has declined in both landscapes overall,
360 although again the coefficient of variation suggests that this process has taken place to a
361 greater extent in Casillas than in Rozas. Finally, “no canopy” structure– which can be
362 interpreted as a proxy for agricultural and pastoral land uses in both sites (see Section
363 4.2) – declined considerably in Rozas from 1956 to 1985, though it seems to have been
364 slightly expanding ever since. The area of ‘no canopy’ has remained more or less
365 constant over time, declining slightly between 1956 and 1972 and expanding or
366 remaining stable ever since in both sites (see **Table 4**).

367 **INSERT TABLE 4 HERE**

368 As “open canopy” forest structure is more likely to be a proxy of traditional pre-
369 industrial chestnut management for nut production, we diachronically contrasted change
370 in “open” versus “closed” canopy forest structure since the beginning of the
371 industrialization process in the 1960s with the 1960 pre-industrial era baseline year (see
372 **Figure 10**). Conversely, ‘closed canopy’ forest structure seems to be linked with “rural
373 abandonment”, the establishment of recreational hunting estates, industrial era chestnut
374 timber plantations and a decline in both traditional agricultural and silvo-pastoral
375 activities.

376 **INSERT FIGURE 10 HERE**

377 As is apparent from **Figure 10** “open canopy” forest structure dominated the
378 landscape in 1956 in both municipalities. Since then “open canopy” structure types have
379 declined while, conversely, “closed canopy” structure has expanded, although this
380 process seems to have taken place to a greater extent in Rozas than in Casillas. Finally,
381 to evaluate the possible impact on forest structure of the large fire (>500 hectares) that
382 took place in Rozas in 1985, we used Casillas as a control site (no large fires have taken
383 place in Casillas since official fire statistics exist for the area). We find that “no
384 canopy”, “closed, mixed canopy” and “open, large canopy” structures have expanded
385 since the date of the large fire in Rozas, while “closed, small canopy” types seem to
386 have contracted. In Casillas, the opposite trends can be observed after 1985 with the
387 exception of “closed, mixed canopy” structure which, like in Rozas after 1985, seems to
388 have slightly expanded. All forest structures measured through aerial photography
389 correspond to the tree species described in the IFN data which indicates an increase in
390 pine tree volume and a slight expansion of some oak species (*Quercus pyrenaica*) as
391 well as chestnut (see **Figure 4**).

392

393 **4. DISCUSSION**

394 **4.1 Fire regime dynamics since industrialization**

395 Our findings suggest that fire regime attributes may have changed substantially in both
396 Casillas and Rozas since the beginning of industrialization in the 1960s. According to
397 our dendroecological pilot study data, fire incidence in particular seems to have
398 increased in both sites since the 1960 pre-industrial era baseline. This matches the
399 results of other studies on fire frequency conducted elsewhere in Spain. Pausas &
400 Fernandez Muñoz (2012) hypothesize, based on this data, that before the industrial era
401 (i.e. before the 1960s) fire regimes in the Western Mediterranean were fuel-limited

402 because of intensive land use by rural local communities. In the past, extensive animal
403 husbandry, shifting agricultural cultivation and firewood logging kept landscape fuels to
404 a minimum and fire spread was inhibited. Consistent with this hypothesis, landscape
405 fuels in both our study sites seem to have expanded considerably in recent times.
406 According to the forest inventory data, pine species have incremented considerably
407 since the 1990s as well as mixed oak-chestnut stands (though to a much lesser extent,
408 see **Figure 4**). This development could be the consequence of the pine species selected
409 by state foresters for afforestation and industrial use (e.g. resin tapping) since the 1960s
410 in Spain, and to the expansion of pioneer pine species in formerly cultivated areas and
411 fire-disturbed sites (Stephens et al., 2014). In addition, mixed oak-chestnut stands
412 characterized by closed canopies seem to be also becoming more abundant due to the
413 abandonment of coppicing and firewood logging in many of these mixed stands and
414 because chestnut is shade-tolerant (Camisón et al., 2015).

415 Pausas & Fernandez Muñoz (2012) hypothesize, in addition, that fire regimes in
416 Iberian Peninsula landscapes tend to be increasingly “climate driven” in contrast to the
417 pre-industrial past when fire regimes were “fuel limited”. Our pilot study
418 dendroecological data seems to support this hypothesis, though there are some subtle
419 differences and important nuances suggested by our data that merit further discussion.
420 In particular, the degree to which the fire regime seems to be increasingly coupled with
421 climate in the more economically-developed Rozas site than in the less economically-
422 developed Casillas site. Increased fire regime-climate coupling in Rozas may well be
423 provoked by the larger extent to which closed canopy forest structure, and therefore
424 increased fuels, have expanded in its landscape. More interestingly, fire regime-climate
425 decoupling in Casillas, we hypothesize, may be driven in part by the greater incidence
426 of fire events in the non-vegetative season (October-March). If this were the case (i.e.,

427 that fire seasonality linked to TFK-based fire use practices results in increased fire
428 incidence but decreased burnt area), it could be an important finding for fire
429 management in chestnut forest landscapes. This finding would imply that absolute fire
430 bans – including non-vegetative season TFK-based controlled burns by local
431 communities – could lead, unintentionally, to more vegetative season fire events with a
432 greater burnt area due perhaps to greater litterfall understory fuel buildup (Pezzatti et al,
433 2013; Seijo et al., 2015). Annual litterfall burning, as well as controlled low intensity
434 charring with straw or chestnut leaf burns of the inside of the catface of chestnut trees
435 may help prevent, according to local community TFK, *phytophthora cinnamomi* fungal
436 infestation of trees while simultaneously curbing understory fuel accumulation (Seijo et
437 al., 2015).

438 **4.2 Impact of evolving fire regime dynamics on current forest structure**

439 In both sites the number of forest structure types has declined since the 1960 pre-
440 industrial era baseline year. Generally speaking, “open canopy” area has diminished and
441 “closed canopy” area has expanded. At present this general trend manifests itself
442 differently in both municipalities’ landscapes. Today “closed canopy” structure
443 dominates the Rozas landscape while in Casillas “open stand” types still occupy most of
444 Casillas’ forested area (see **Figure 10**).

445 Particularly, if we consider that “no canopy” area has decreased in Rozas since
446 1956, according to the aerial photography record, and, more specifically, since the 1985
447 large fire, it would seem that landscape fires are not having for the most part an adverse
448 effect on overall forest cover in the more economically developed site. “Open canopy”
449 area in the past (and also in the present, although to different degrees in both site) seems
450 to be driven by various anthropogenic land use practices typical of the pre-industrial era.
451 Three main practices that were or are still common in these municipalities, particularly

452 in Casillas, are related to the cultivation of cereals, extensive animal husbandry (i.e.,
453 free-ranging rather than penned), and, especially, chestnut production. Indeed, TEK
454 studies in the sites have identified at least 14 possible different uses of fire as a TFK-
455 based ecosystem management tool in chestnut forest ecosystems dating back to the pre-
456 industrial era (Seijo et al., 2015). In the three traditional forms of land use in the study
457 areas, fire was a crucial cost-effective TEK-based landscape management tool. Indeed,
458 the toponym “Rozas” refers to a type of “slash and burn” shifting agricultural practice
459 common throughout Europe in the pre-industrial era that consisted in manually
460 eradicating all shrubs and small diameter trees growing in fallow fields, burning them in
461 piles, and ploughing the ashes into the soil so as to fertilize it (Sigaut, 1975). The use of
462 fire for pasture regeneration is also considered a traditional land use practice originating
463 in the pre-industrial era, the rationale and techniques of which have been described in
464 the specialized literature (Metailié, 1981; Fernandez-Gimenez et al., 2012; Coughlan,
465 2014, 2015). More recently, the annual burning of litterfall in old growth chestnut tree
466 groves and the use of the smoke generated as a pesticide to control “root rot” has also
467 been identified as a pre-industrial TEK-based era practice (Seijo et al., 2015).

468 Our analysis of forest structure change suggests that fire use based on pre-
469 industrial era TFK has been steadily declining in both sites, though this decline seems to
470 have been sharper in Rozas than in Casillas (to the extent that “open canopy” forest
471 structures still dominate the latter site’s landscape). This hypothesis is further reinforced
472 by the official fire statistics and our pilot dendroecological study as well as the IFN data
473 (see **Figures 4 and 5**). Official fire statistics seem, in particular, to indicate that fire
474 incidence is greater in Casillas than in Rozas which, in turn, would suggest that wildfire
475 incidents in Casillas are more closely linked to accidental escapes taking place in the

476 traditional annual seasonal pile burning of chestnut leaves and litterfall (Seijo et al.
477 2015).

478 In sum, our preliminary findings suggests that pre-industrial era type fire use
479 seems to be more common today in Casillas than in Rozas and could possibly be
480 positively feeding back to limit fire spread and burnt area with current forest structure in
481 Casillas where “open canopy” forest structures still dominate the landscape and
482 therefore the fire regime is more “fuel limited” (Pausas & Fernandez-Muñoz, 2012).
483 This process could also be related to the looser implementation of fire exclusion policies
484 on the part of the regional government of Castilla y León in Casillas possibly due to
485 lower budgets (**see Section 2.1**). However, additional research in different chestnut
486 forest landscapes with uneven levels of economic development and fire exclusion policy
487 implementation throughout the Mediterranean basin would be needed to further test this
488 hypothesis and confirm these findings.

489 **4.3 Historical range of variability**

490 The implications for future fire management of transformed fire regimes in MTEs can
491 be evaluated with the aid of the Historical Range of Variability (HRV) concept (Morgan
492 et al., 1994). HRV can be defined as “the estimated range of some ecological condition
493 that occurred in the past” (Duncan et al., 2010: 5). Suggesting ways in which the HRV
494 for chestnut forests can be defined and measured has important implications for the
495 management of chestnut MTEs throughout the Mediterranean Basin where these
496 landscapes face an uncertain future (Conedera, 2004).

497 Are the fire regime transformations we have described in Casillas and Rozas
498 within the HRV for chestnut forest ecosystems? Unfortunately, our dendroecological
499 pilot study only includes two landscapes and is not extensive enough to fully
500 characterize the historical fire regime in chestnut forest ecosystems by determining the

501 extent to which current fire events are “uncharacteristic” based on a “condition class”
502 classification (Hardy et al., 2001). More chestnut forest fire histories with a larger
503 number of samples and in different landscapes and locations would be needed to
504 complete this work. However, based on our pilot study findings, some preliminary
505 hypotheses for future research can be identified.

506 A simple criterion for determining “uncharacteristic” fires that depart from the
507 HRV has been proposed by Huffman (2013) who argues that the decoupling of fire
508 events from their traditional agro-ecological community type can be used as an indicator
509 of shifts in the HRV. This is in agreement with previous criteria outlined in review
510 articles of HRV and “edge effects” by other fire ecologists (Keane et al. 2009; Gill et
511 al., 2014). If Huffman’s criteria are used to determine the HRV in chestnut MTEs both
512 Casillas and Rozas would seem to be now experiencing fire incidents that do not fit with
513 the historical pre-industrial fire regime. If we take into account, for instance, seasonality
514 as a key fire regime attribute, many recent fire events in both municipalities seem to no
515 longer correspond with traditional agro-ecological type fires or their season, though this
516 seems to be taking place to a greater extent, again, in the more economically developed
517 Rozas site. In addition, if we transpose the “megafire” triangle drivers (**Figure 2**) to
518 specific documented developments in the CHANS of Rozas and Casillas, we can see
519 that, in all likelihood, many of the biophysical trends identified in both sites are likely to
520 continue and intensify in the near future. This specifically may translate into an
521 increased probability that larger and more severe fires will be taking place in these
522 landscapes similar to the “large fire” that already took place in Rozas in 1985. In
523 particular, present climate forcing of the local fire regimes – as exemplified in higher
524 summer temperatures and lower precipitations (**Figures 6, 7, 8**) – will likely continue as
525 a result of climate change trends. According to our dendroecological pilot study, and the

526 existing literature, this again may be linked to increased fire incidence (Pausas &
527 Fernandez Muñoz, 2004; IPCC, 2014). This is a possible hypothesis to be quantitatively
528 tested by future research into similar unevenly developed and divergently fire managed
529 chestnut MTE sites throughout the Mediterranean basin.

530 Finally, and when considering the two other elements of the “megafire” triangle
531 – fuel loads and human ignitions – we have seen that traditional pre-industrial era TFK
532 based burning seems to be diminishing in both landscapes. This is a result not only of
533 active state fire-exclusion policies but also as a side-effect of rural abandonment, an
534 increase in forest cover (particularly conifers) due to industrial era state afforestation
535 policies, changes from an “open” to a “closed canopy” forest structure, and a relative
536 decline and aging in the populations of both municipalities (**Figure 11**).

537 **INSERT FIGURE 11 HERE**

538 Indeed, older generational cohorts generally have a greater familiarity with
539 TEK-TFK based practices (Seijo et al., 2015). As this generation passes on it would be
540 reasonable to assume that traditional fire practices will also gradually disappear with
541 them (unless there is an active programme of communication of TEK-TFK practices to
542 younger people). Not only, then, will there be fewer people present overall in these rural
543 landscapes to manage chestnut forest ecosystems as a result of present depopulation
544 trends but also there will be fewer people that know how to manage them with TEK-
545 TFK practices. Therefore chestnut forests in Casillas and Rozas may already be well on
546 their way to reaching two parallel “tipping points”; one affecting the human system (a
547 declining, older, TEK-TFK-savvy rural population) and another one affecting the
548 natural system (a more “closed canopy” type forest structure). Forest species
549 composition is, in addition, evolving towards more fuel abundant fire-prone pine forests
550 and denser, mixed closed-canopy forest structures formed by oak species (*Quercus*

551 *pyrenaica*) and chestnut which are directly in the former and indirectly in the latter a
552 consequence of state-led forest industrialization strategies at a statewide level. Again,
553 further studies in other chestnut MTEs would be needed to confirm whether this is a
554 common trend throughout the Mediterranean basin.

555 **4.4 Implications for climate change adaptation strategies in chestnut forest** 556 **ecosystems**

557 Based on this study's preliminary findings, summed up in the transposition of the
558 "megafire" triangle to local conditions in our study sites, it would seem that fire-
559 exclusion policies have failed to curtail the trend towards larger fires in Rozas in spite
560 of a generously funded implementation. This is particularly clear when compared with
561 the policy of relative tolerance for traditional TFK-based fire management practices in
562 Casillas. Greater fire incidence in the non-vegetative season in Casillas may be resulting
563 in less severe and smaller wildfires for chestnut tree forest ecosystems in the summer,
564 perhaps because of the preservation of more "open canopy" forest structure due to
565 annual traditional burning of litterfall. On the other hand, fire exclusion policies – which
566 have been more strictly implemented in Rozas – seem to have had only a minor
567 influence on fire incidence - as reflected in official fire statistics - but not in fire spread
568 and burnt area which is much larger for this municipality. In contrast, climate drivers
569 appear to be relatively similar for both sites (**Figures 6, 7 and 8**).

570 Again, further research into other unevenly developed and divergently fire
571 managed chestnut forest sites throughout the Mediterranean Basin would be needed in
572 order to further test this hypothesis. In light of this limited evidence might the best
573 adaptation strategy for a changing climate in chestnut landscapes be based on controlled
574 or prescribed burning by either local communities or trained professional fire managers?
575 This conclusion seems to be supported by the data from our pilot dendroecological

576 study and has been suggested recently by other authors (e.g. Khabarov et al. 2016;
577 Moreira & Fernandes, 2016). If this were the case, perhaps the TFK-based techniques
578 used by local community fire practitioners in Casillas and Rozas could prove useful for
579 the development of prescribed burning plans (as Fernandes et al. 2013 have suggested
580 for other locations).

581 Not only is there much to be learned from the burning techniques, ecological
582 goals, and seasonal timing with which TFK based traditional burns continue to be
583 performed in both sites, but governmental authorities could also possibly find it useful
584 to allow local communities to continue these practices as the most cost-effective fire
585 management policy in chestnut MTE landscapes. Local communities in Casillas and
586 Rozas already have the knowledge and the economic incentives – including chestnut
587 production and extensive animal husbandry – to carry out TFK based burning without
588 the need for passing over these costs to the state administration. This strategy may not
589 be always possible in the immediate future, however, as rural populations decline and,
590 particularly, as the older generational cohorts that are more familiar with these
591 traditional practices pass away.

592 Easier access to TFK based fire use for local communities could also contribute
593 to chestnut production profitability and, thus, an increased viability for the local
594 economies in these municipalities. All technological alternatives to fire use for the main
595 rural productive activities existing at present (chemical fertilizers for pasture
596 regeneration, externally produced industrial feed for livestock, prescribed burning for
597 fuel control) are generally more costly than timely controlled burns implemented by
598 local community stakeholders. Furthermore, these alternatives are at least as politically
599 and ecologically controversial. Stronger local economies could in turn also help
600 forestall rural abandonment, one of the leading feedbacks identified in the literature

601 driving current fire regime changes in MTEs (Millington et al., 2007; Pausas &
602 Fernandez Muñoz, 2012; Fernandes et al., 2013).

603

604 **CONCLUSIONS**

605 Fire regimes in chestnut forests located in central Spain seem to have changed
606 considerably since the beginning of industrialization in the 1960s. As we have
607 hypothesized in this pilot study, the transformations in these MTEs may be driven by
608 the triangle of drivers formed by a decline in TFK based burning practices, the stricter
609 implementation of state fire exclusion policies, and climate change. In this study, we
610 suggest that non-vegetative season, annual litterfall burning may help prevent the
611 increases in fuel loads and changes in forest structure that may be contributing to larger
612 fires. On the basis of this study's findings, we hypothesize that a management policy
613 based on prescribed burning informed by the techniques and ecological goals of TFK
614 based burning by local communities may be a more adequate adaptation strategy to
615 climate change than the strict fire exclusion policies carried out at present. These
616 hypotheses need to be tested, however, by further research into unevenly developed and
617 divergently fire managed chestnut forest landscapes throughout the Mediterranean
618 basin.

619

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633

634 **COMPLIANCE WITH ETHICAL STANDARDS:**

635

636 The authors declare that they have no conflict of interest.

637

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834 **FIGURE CAPTIONS**

835

836 **Figure 1.** Distribution area of sweet chestnut (*Castanea sativa*) forests throughout
837 Europe. The star indicates the location of the Casillas and Rozas study sites in central
838 Spain (the map was modified from <http://www.euforgen.org/distribution-maps/>).

839 Location of the two study sites and chestnut forests within them.

840 **Figure 2.** The “megafire triangle” and its transposition to the local conditions present in
841 the two unevenly developed chestnut forest CHANS sites (figure adapted from Stephens
842 et al., 2014).

843 **Figure 3.** Illustrative examples of the evaluated forest structural types : (a) open, large
844 canopy (b) open mixed canopy (c) closed small-canopy (d) closed mixed canopy.

845 **Figure 4.** Tree species abundance in the Casillas and Rozas region according to the
846 IFN.

847 **Figure 5.** Trends in estimated fire frequency based on the temporal variability in the
848 presence of fire scars for individual chestnut trees (a) and sites (b) in the two study areas
849 (Casillas –grey areas, Rozas –black areas; dark-grey area show overlapping frequencies
850 for both study areas). The number of trees assessed to detect fire scars is shown in the
851 lowermost graph (c). Documented fires in Rozas are indicated by vertical continuous
852 lines in the upper figure (a). The lowermost right inset shows a fire scar observed in a
853 chestnut cross section.

854 **Figure 6.** Trends in summer (June to August) mean temperature and total precipitation
855 in the study area. The linear trends were positive and significant in the case of
856 temperature (slope = +0.04 °C yr⁻¹, $r = 0.62$, $P < 0.001$) and negative in the case of
857 precipitation (slope = -0.33 mm yr⁻¹, $r = -0.23$, $P = 0.07$).

858 **Figure 7.** Climatic drivers of changing fire regimes in Casillas and Rozas: Temperature

859 **Figure 8.** Climatic drivers of changing fire regimes in Casillas and Rozas: Precipitation

860 **Figure 9.** Changes in the abundance of forest structure types in Rozas (a) and Casillas
861 (b) study areas from 1956 to 2011.

862 **Figure 10.** Changes over time in open vs. closed canopy structure as a % of measured
863 forest structure types.

864 **Figure 11.** Demographic evolution Casillas and Rozas since the beginning of
865 industrialization 1950-2011 (Caja España, 2012). Solid line indicates the average for the
866 provinces of Avila and Madrid, where the municipalities are located respectively, as a
867 whole.

868

869 **TABLE CAPTIONS**

870

871 **Table 1.** Dendrochronological data and statistics related to fire-scar detection in the two
872 chestnut study areas (Casillas, Rozas) located in central Spain. Means are given with
873 standard deviation.

874 **Table 2.** Temperature in fire years in both sites

875 **Table 3.** Precipitation in fire years for both sites

876 **Table 4.** Changes over time in forest structure during the 1956-2011 period. The
877 numbers correspond to coefficient of variations (in %) of all structure types analyzed in
878 the two study areas, Casillas and Rozas (see also Figures 8 and 9).

879