Narrative constructs in modern clinical case reporting

Brian Hurwitz

King’s College London, London, WC2B 6LE, United Kingdom

1. Introduction: not if p but which p?

Modern clinical case reports are problem-solution accounts of how an individual’s felt experiences of illness have come to be understood in terms of disease categories. Authored almost invariably by those who have played some part in the medical assessment of the patient, published case reports are crafted in terms of disease categories. Authored almost always by those who have played a part in the medical assessment of the patient, reports historise the salient details of an individual’s illness as a complex effect of identifiable antecedent causes. Candidate hypotheses linking illness to pathological mechanisms are suggested by the patient’s experience, and by data that emerge from clinical examination and investigation. Observational and interpretive statements from these considerations are fitted into a temporally inflected account of the patient’s medical condition, configured from the vantage point of hindsight. Drawing on established forms of deferred telling, readers are invited to follow a story that drip-feeds a mixture of contingent and non-incidental information into the account, which engenders and frustrates curiosity, creates expectations, and challenges powers of reasoning and pattern recognition. Whereas case reporting once favoured memoir, the sentimental tale and eccentric biography as the means by which its historical narrative was cast, the preferred genres of contemporary case reporting include detective fiction, and puzzle and riddle narratives, formats that conceptualise the medical consultation in narrow problem-solution terms.

Modern clinical case reporting takes the form of problem-solution narratives that redescribe symptoms in terms of disease categories. Authored almost always by those who have played some part in the medical assessment of the patient, reports historise the salient details of an individual’s illness as a complex effect of identifiable antecedent causes. Candidate hypotheses linking illness to pathological mechanisms are suggested by the patient’s experience, and by data that emerge from clinical examination and investigation. Observational and interpretive statements from these considerations are fitted into a temporally inflected account of the patient’s medical condition, configured from the vantage point of hindsight. Drawing on established forms of deferred telling, readers are invited to follow a story that drip-feeds a mixture of contingent and non-incidental information into the account, which engenders and frustrates curiosity, creates expectations, and challenges powers of reasoning and pattern recognition. Whereas case reporting once favoured memoir, the sentimental tale and eccentric biography as the means by which its historical narrative was cast, the preferred genres of contemporary case reporting include detective fiction, and puzzle and riddle narratives, formats that conceptualise the medical consultation in narrow problem-solution terms.

Available online 7 April 2017

Keywords:
- Narrative
- Case
- Case history
- Case report
- Disease
- Illness

Abstract

Modern clinical case reporting takes the form of problem-solution narratives that redescribe symptoms in terms of disease categories. Authored almost always by those who have played some part in the medical assessment of the patient, reports historise the salient details of an individual’s illness as a complex effect of identifiable antecedent causes. Candidate hypotheses linking illness to pathological mechanisms are suggested by the patient’s experience, and by data that emerge from clinical examination and investigation. Observational and interpretive statements from these considerations are fitted into a temporally inflected account of the patient’s medical condition, configured from the vantage point of hindsight. Drawing on established forms of deferred telling, readers are invited to follow a story that drip-feeds a mixture of contingent and non-incidental information into the account, which engenders and frustrates curiosity, creates expectations, and challenges powers of reasoning and pattern recognition. Whereas case reporting once favoured memoir, the sentimental tale and eccentric biography as the means by which its historical narrative was cast, the preferred genres of contemporary case reporting include detective fiction, and puzzle and riddle narratives, formats that conceptualise the medical consultation in narrow problem-solution terms.

Crown Copyright © 2017 Published by Elsevier Ltd. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).
Twenty years earlier the journal had established a new section on case reporting to enable clinicians to ‘relay the sort of clinical anecdote they might tell colleagues during a morning coffee break’ in dispatches from the clinical frontline conveying ‘a striking message: a description of a new treatment, adverse effect of medication, evidence that might suggest a new mechanism for a disease process, or a new intervention.’ That initiative marked the point when the post WWII decline in medical publication of single cases was coming to an end. Despite their uncontrolled, non-experimental nature, likelihood of observer bias and inherent inability to estimate the prevalence or incidence of clinical features of interest, by the end of the twentieth century case reports were increasingly recognized as playing important if not unique evidential roles in medical practice. These roles included bringing to light very rare clinical phenomena, delineating initial descriptions of previously unrecognised diseases such as HIV, Ebola and Lyme disease, demonstrating the concurrence of clinical symptoms and signs constellation as syndromes, and in identifying and defining adverse drug reactions. The importance of these roles re-instilled interest in clinical cases and led to what has been called a ‘renaissance of the case report literature’.

This paper will draw out the narrative scaffolding of contemporary medical case reports, their interplay with other storied genres, and how those genres influence and their explanations become enmeshed in the literary machinery of reporting.

Forrester acknowledged a pedagogic aspect to cases that ‘duplicates or repeats an essential element of medical practice’, in a form of writing ‘epistemically … nailed down to the level of the individual’. But although the case report ‘brings back’ elements of the clinical encounter arising from and pertaining to a particular individual, its ostensive focus is on the medical condition, syndrome, or treatment and its effects, not the person who is ill. However, tension between these potential foci of case reporting practices will become apparent.

Consider a clinical vignette that appeared in the British Medical Journal in 2007 in a paper entitled “When are randomised trials unnecessary?” to exhibit the authors’ claim that ‘the relation between a treatment and its effect is sometimes so dramatic that bias can be ruled out as an explanation’:

‘A child presented to a clinic with a plastic bead lodged high in one nostril. The general practitioner asked the nurse for forceps, but she asked him whether he had thought of trying the mother’s kiss technique. This entailed occluding the unblocked nostril while the mother blew into the child’s mouth. The bead was easily dislodged and retrieved in this way, and mother and child were both delighted.’

In sketching a manoeuvre to relieve this relatively common childhood condition the authors convey something of the atmosphere of the clinic, its voices, emotions and sounds — dialogue and ‘delight’ — which serves to convince readers that the account is grounded in the realities of daily clinical work. The vignette signals clinical verisimilitude and an immediate therapeutic effect, an instance of a generalization applicable beyond the singularities of this particular child.

Elements of the scenario are plainly incidental to the causal claim of interest, such as the conversation between doctor and nurse (a nurse who appears to be better informed than the doctor), which steers treatment away from a more traumatic extraction with forceps, to a focus on mother and child and on a cooperative procedure. Such details can be read as valourising clinical teamwork and the doctor’s willingness to try a treatment he or she has not previously thought of. But these aspects of the account also point to a degree of contingency in the situation — what would have happened had a different nurse been on duty or no nurse at all? — and raise the possibility that a procedure that could have been instituted was not, a counterfactual which endows the scene with social and human significance, whilst dramatising the cause and effect sequence on display (see Beatty this issue).

Viewed in isolation, the vignette recounts an observation with a persuasive power untempered by considerations such as: snugness of fit between the bead and nasal lining; how long it has been in the child’s nose; whether prior attempts at retrieval have pushed the bead upwards; whether there is a purulent nasal discharge (a sign of mucosal ulceration and secondary infection); and the sort of blowing required to dislodge the bead, be it sharp bursts or the creation of a continuously rising pressure wave. In paying no heed to these factors - to which particular p this child’s situation belongs - which has implications for the relevant treatment — the vignette outlines an almost paradigmatic instance of the manoeuvre’s

5 Bigalow, J. Horton R, ‘Learning from stories—The Lancet’s Case Reports,’ Lancet 1995; 346: 1246. Although the language of case reports tends to efface their origins in human and healthcare relationships, the authors of a study of evidence in practice to point to the importance of informal relations and communications in the development of clinical understanding: ‘Coffee-room chat may impact on evidence-based practice at least as much as all those guidelines that deluge GPs.


6 By syndrome is meant a repeatedly observed configuration of clinical features believed to reflect a pathological mechanism or class of phenomena not fully explained, such as autism, anorexia-bulimia, or post-traumatic stress disorder. See: Aronowitz, Robert A, ‘When Do Symptoms Become a Disease?’ Ann Intern Med 2001;113:803—808. See Morgan’s discussion of colligation in this issue.


11 But although the case report brings back elements of the clinical encounter arising from and pertaining to a particular individual, its ostensive focus is on the medical condition, syndrome, or treatment and its effects, not the person who is ill. However, tension between these potential foci of case reporting practices will become apparent.

Consider a clinical vignette that appeared in the British Medical Journal in 2007 in a paper entitled “When are randomised trials unnecessary?” to exhibit the authors’ claim that ‘the relation between a treatment and its effect is sometimes so dramatic that bias can be ruled out as an explanation’:

‘A child presented to a clinic with a plastic bead lodged high in one nostril. The general practitioner asked the nurse for forceps, but she asked him whether he had thought of trying the mother’s kiss technique. This entailed occluding the unblocked nostril while the mother blew into the child’s mouth. The bead was easily dislodged and retrieved in this way, and mother and child were both delighted.’

In sketching a manoeuvre to relieve this relatively common childhood condition the authors convey something of the atmosphere of the clinic, its voices, emotions and sounds — dialogue and ‘delight’ — which serves to convince readers that the account is grounded in the realities of daily clinical work. The vignette signals clinical verisimilitude and an immediate therapeutic effect, an instance of a generalization applicable beyond the singularities of this particular child.

Elements of the scenario are plainly incidental to the causal claim of interest, such as the conversation between doctor and nurse (a nurse who appears to be better informed than the doctor), which steers treatment away from a more traumatic extraction with forceps, to a focus on mother and child and on a cooperative procedure. Such details can be read as valourising clinical teamwork and the doctor’s willingness to try a treatment he or she has not previously thought of. But these aspects of the account also point to a degree of contingency in the situation — what would have happened had a different nurse been on duty or no nurse at all? — and raise the possibility that a procedure that could have been instituted was not, a counterfactual which endows the scene with social and human significance, whilst dramatising the cause and effect sequence on display (see Beatty this issue).

Viewed in isolation, the vignette recounts an observation with a persuasive power untempered by considerations such as: snugness of fit between the bead and nasal lining; how long it has been in the child’s nose; whether prior attempts at retrieval have pushed the bead upwards; whether there is a purulent nasal discharge (a sign of mucosal ulceration and secondary infection); and the sort of blowing required to dislodge the bead, be it sharp bursts or the creation of a continuously rising pressure wave. In paying no heed to these factors - to which particular p this child’s situation belongs - which has implications for the relevant treatment — the vignette outlines an almost paradigmatic instance of the manoeuvre’s
efficacy, its persuasiveness bolstered by the ebulient mood and celebratory tone of the narrative's affecting denouement.

However, some of the details of the employment here may not be as incidental to the efficacy of the procedure as they may appear, such as the plastic nature of the misplaced bead - if this implies it was round and smooth that may account for the 'easy' removal - and the fact that success transpired on the first attempt. The significance of such details are suggested by other case reports which consider factors on which success may hinge such as the nature, size, shape and regularity of the object in the nasal cavity and how many 'kisses' are worth attempting. Success may also depend on the way a young child is approached to win their cooperation, as not all blowers are likely to gain the cooperative involvement that is required. Which descriptive details are incidental and which are material to the efficacy of the manoeuvre reflects the heterogeneity of misplaced objects in the nasal cavity, the age and cooperative-ness of children with this problem: the diversity in p.

Although the actors in this situation are anonymous and characterized in only the sketchiest of terms, the vignette invokes 'particular individuals living particular lives and having particular experiences' as well as a determination to understand their situation 'from the perspective of the general'. Steve Sturdy argues that the emphasis on knowledge of cases the content or meaning of any scientific generalization is no more than the finite set of cases that are taken to be instances or exemplifications of that generalization. The sparsity of the vignette's details appears to exemplify a generalization of wide scope unqualified by the differing circumstances of children with a misplaced foreign body in their nostrils.

Despite its brevity the vignette ties together four individuals in a pairwise cooperative venture that shifts attention away from the ostensive medical focus on a cause and effect relationship of clear therapeutic benefit to the human relationships involved in the clinical scenario. It thereby illustrates George Rousseau's claim that 'every time a patient enters a practitioner's office a literary experience is about to occur: replete with characters, setting, time, place, language and a scenario that can end in a number of predictable ways.'

2. The narrative: detective novels and the gothic case

In setting out how illness or injury can be translated into a diagnosis, case reporting attempts to match selected elements of illness experience and clinical findings with plausible medical causes and mechanisms. Confronted with 'the patient's messy humanity', Jason Tougaw argues that the narrator picks out and orders elements abstracted from the history and examination of the patient (see Morgan this issue), in an 'attempt to make signs and things match', contemporary reporting practices favoring certain recognisable genres. One narrative template has gained particular prominence notes Kathryn Hunter:

'Like a Sherlock Holmes story, the case presentation is ... concerned with the way knowledge is acquired as well as with the nature of the “facts”... Like the criminal... the disease in the case presentation is discovered in a double sense: it is determined by the investigating physician and revealed by the same person, how become the narrator... discovery proceeds from the careful arrangement of data which the physician... has gathered from the words and the body presented for scrutiny.'

Detective and clinical case work share imaginative, interrogative and inferential moves inflected in appeals to puzzle out and fit together diverse pieces of information in 'a single connected narrative'. In both fields of activity accounts of cases defer telling by withholding information and concealing elements as in 'a game of suspense and mystery'. The emergence of pattern is orchestrated through a process that stages access to findings by drip-feeding descriptive information in which reasoning and the formation of hypotheses are the intermediary links of narrative beginnings and endings (see Rosales’s discussion in this issue). Lucy Sussex finds the puzzle-making and unriddling activities of nineteenth-century detective fiction to have been informed by a gothic aesthetic derived from suspenseful and sensational plots. David Punter picks up on this in his study of Gothic Pathologies:

'The case is the compound of rule and singularity. When we talk of 'case law' we talk of the importance of the individual case... the exception which proves the rule, or... the rule which brooks no exception.... The case is also the suitcase, the briefcase, the container... What Gothic shows is that this case always falls open (and, as it were, things fall out).'

---

17 It is not clear whether this account, written by a group of physician-scientists (one of whom several years later published a systematic review of case series featuring this manoeuvre) arises from personal witness; the fact that it is written in the third person suggests it may be a composite of several cases of this complaint and its treatment, an illustration of a typical example of it.


19 The importance of child cooperation is signified in the name that has come to be associated with the procedure. See Guazzo, Eugene, ‘Removal of Foreign Bodies from the Nose.’ NEJM 1983;312:725. Botmã, Mariasa, Bader, Rixana, Kuba, Haytham, ‘A parent’s kiss: evaluating an unusual method of removing nasal foreign bodies in children.’ J Laryngol & Otology 2000;114:598–600. In the Cook et al study some of the cases listed a care giver rather than a parent as the blower; success rates between series vary from 100% to 49%, and rates between smooth and irregular nasal objects are not distinguishable but numbers are small, the number of cases in series ranging from 100 to 2. See: Cook, Stephanie, Burton, Martin, Glasius, Paul, ‘Efficacy and safety of the “mother’s kiss” technique’ 2012.


Drawing on the semantic burden case inherits from the Old French word casse, meaning box or frame, shell or carcass, Punter stresses the importance of suspense and surprise in the construction of fictional cases, and hints at how access to secrets and narrative mystery engenders not only curiosity but guessing and conjecturing too. For Carole Levine these devices play an epistemological role: ‘as we read suspenseful plots we learn to doubt and to guess, to speculate and hypothesise, to pause in the knowledge of what we do not know’. Suspenseful plots provide a training ground for learning to re-consider what within an account has gone before, before jumping to conclusions. ‘Suspense demands a … quite specific experience of the unknown’, she says, an awareness of ignorance:

‘Even when the solution to the mystery ultimately substantiates our hopes and predictions, for there to have been suspense - for us to have remained absorbed, apprehensive, doubtful … we must have been willing to entertain a range of credible resolutions.’

The following case, which appeared in the British Medical Journal in 1995, drives home its lesson by ostentatiously playing with uncertainty and anticipation:

‘A builder aged 29 came to the accident and emergency department having jumped down on to a 15 cm nail. As the smallest movement of the nail was painful he was sedated with fentanyl and midazolam. The nail was then pulled out from below. When his boot was removed a miraculous cure appeared to have taken place. Despite entering proximal to the steel toecap the nail had penetrated between the toes: the foot was entirely uninjured.’

The account unfolds along an apparently straightforward timeline, recounting an injury causing pain severe enough to require a potent opioid analgesic before nail extraction is attempted. However, when readers textually and visually primed for an impairment injury are advised to expect a ‘cure,’ the narrative flirts with expectation and assumes the characteristics of a riddle. The clinician-authors play a mimetic trick on readers who are prepared with expectation and assumes the characteristics of a riddle. The promise of a ‘miraculous cure’ turns out to be a false trail to inflect momentary astonishment and bewilderment on readers. The report duplicates something of the constellation felt in the Emergency department and reveals that the urge to conjure up the enigmatic - to entertain and shock with spectacle in what Rick Rylance refers to as ‘shifts between gothic make-believe and cog wheel rigidity and a generalised jerky dystonic tremor, with problems with motor coordination. Clinical examination showed cog wheel rigidity and a generalised jerky dystonic tremor, with occasional hemiballistic movement of the arms … brisk reflexes and severe spasticity of the lower limbs. Her speech was slurred and bilateral corneal discoloration was noted.’

34 Some of these questions arose in discussions of an earlier draft of this paper with Michael Flexer.

35 Robert Aronowitz makes a similar point when he draws attention to the tension between medical conceptualisations and the person who is the patient: ‘At the clinical level, we need to recognize and accommodate the essential continuity between persons who have symptoms that have been given a name and disease-like status and persons whose suffering remains unnamed and unrecognized’. See Aronowitz ‘When Do Symptoms Become a Disease?’ p 808.


39 Some of these questions arose in discussions of an earlier draft of this paper with Michael Flexer.

40 Robert Aronowitz makes a similar point when he draws attention to the tension between medical conceptualisations and the person who is the patient: ‘At the clinical level, we need to recognize and accommodate the essential continuity between persons who have symptoms that have been given a name and disease-like status and persons whose suffering remains unnamed and unrecognized’. See Aronowitz ‘When Do Symptoms Become a Disease?’ p 808.


The diagnoses considered – in the process of deciding which p is the relevant one – include a variant of Parkinson’s disease; pantethe- nate kinase associated neurodegeneration (a condition in which iron accumulates and damages the central nervous system); and Wilson’s disease: a genetic disorder of copper metabolism in which the copper binding protein ceruloplasmin, that transports the element within the body, is almost completely absent, leading to the toxic element accumu- lating, and causing tissue damage in the nervous system. Shortly after its publication the following letter appeared in the journal:

‘Why on earth was a (presumably) previously healthy 25yo woman allowed to degenerate to the point of being wheelchair- bound, mute, and drooling without apparently having been seen by a single physician who would not rest until a diagnosis has been made? Not wishing to cast aspersions, but there is something very wrong here. Was she a refugee from a country without even a semblance of adequate health care, or was her family so passive as to just accept this awful decline? The diagnosis and treatment of the setting and system she was living and being diagnosed in is more of a challenge than the diagnosis of the patient!’

Shocked at the turn of events relayed by the case report, the ostensive concern of the respondent is for more contextual information about the patient and her situation which explains the delay in diagnosing a disease (Wilson’s disease) that usually re- sponds to treatment with copper chelating agents: treatment which could have halted and reversed the appalling decline in the woman’s health. The correspondent, a professor of family medicine, demands to know how a human life could have been allowed to fall apart without someone responding to her plight earlier. The letter shares a response to the report that references wider realms of human significance – biographical disruption and cultural and personal meanings – than are easily accommodated by narrow construals of medical problems for the purposes of diagnosis. The correspondent registers dissatisfaction with the narrow framing of the report in purely neurological terms, his focus being on the woman and her social and personal circumstances over and above the disease and diagnosis. Archie Cochranes’s account of a man he attended at Elsterhorst, a German prisoner of war camp in Saxony in 1943, also challenges case construction from two different perspectives:

‘The Germans dumped a young Soviet prisoner in my ward late one night. . . . He had obvious gross bilateral cavitation and a severe pleural rub. I thought the latter was the cause of the pain and the screaming. I had no morphia, just aspirin, which had no effect. I felt desperate. I knew very little Russian then and there was no one in the ward who did. I finally instinctively sat down on the bed and took him in my arms, and the screaming stopped almost at once. He died peacefully in my arms a few hours later. It was not the pleurisy that caused the screaming but loneliness. It was a wonderful education about the care of the dying. I was ashamed of my misdiagnosis and kept the story secret.’

The case appeared in a memoir published many years after these events took place and it has since entered the medical liter- ature as an example of good palliative care. Initially Cochrane believed it was pain that accounted for the man’s ‘screaming’ for which he had no treatment, pain due to ‘a severe pleural rub’ caused by tuberculous inflammation of the linings of the lung grating on each other during inspiration. But he retracts the facticity of this sequence and replaces it with another: that it was loneliness, a different mental state (not pain) about which the man had been screaming. This claim has the effect of de-medicalizing the concern away from pathology, medical causation and inade- quate analogies towards a focus on the man’s existential situation: on how prolonged physical closeness could meet an inner need for accompaniment and still the dying soldier, allowing him to pass peacefully away. Within the account there is a tension between a focus on the person who is dying and the attempt to pinpoint the medical cause of his behaviour, set against the tumultuous back- ground of the physician’s own emotions, thoughts and attempts to muster an adequate response to him:

‘I put him in my room as he was moribund and screaming as I did not want to wake the ward. I examined him. . . . I had no morphia. . . . I felt desperate. I knew very little Russian then. . . . I finally instinctively sat down on the bed and took him in my arms. . . . I was ashamed of my misdiagnosis and kept the story secret.’

Cochrane’s growing sense of desperation dominates the account and it is through his emotional reactions as physician-narrator that we learn of the urgency of the situation. Such inner aspects of the hurly burly of clinical work are usually edited out of case reports; and if they do creep in it is wonder, awe and astonishment that become apparent in response to clinical appearances rather than shame and desperation. It is rare to sense the raw desperation, shame and tenderness seen here; instinctive gestures amounting almost to a caress lasting hours being conspicuous by their absence in case re- ports. In the period in which these events unfolded, taking a patient in one’s arms as a physician might have been considered improper; perhaps publishing the case outside the confines of a peer reviewed journal allowed Cochrane to bring his feelings to the fore as part of an effort to resolve what had taken place between himself and the dying soldier. Its power and pungency stem from recounting the ‘parade of present moments’ that make up clinical experience with its ‘reflective pauses’ and ‘taking-stock’ recalled from a vantage point decades distant from the events themselves. These cases highlight the role of hindsight in developing perspectives that generate meaning which goes beyond narrow diagnostic formulations.

42 Alternatively, it is possible that the soldier was in severe pain all along and that the pain abated or became much more bearable as a result of this act of recognition, which allowed him to pass away peacefully.

4. Narratives of diagnosing

Clinical case reporting generally privileges a medical perspective, which muffles patients’ voices, calls into question the subjective aspects of illness experiences, and the reliability of patient testimony. In modern case reports:

‘the patient’s concern is termed a complaint; his or her voice ... [and] information is scant. Use of the passive voice eliminates agency: for example, ‘the patient was treated with...’ and ‘the patient was noted on physical examination to have...’ [which] makes invisible the persons making observations and decisions and performing procedures. ...’ [Specific linguistic markers encode] scepticism about patients’ accounts, with phrases such as ‘the patient reports’ or ‘the patient claims’ denoting these portions of the case as subjective perceptions which may or may not be factual. The perspective dominating the account is that of the doctor, for the case history is not the patient’s story. It is the doctor’s highly structured rendering of certain aspects of the patient’s experience.45

A modern medical textbook claims that ‘taking a patient’s history and giving advice in clinical consultation is an exchange of narratives,’50 yet, over the past century-and-a-half, patients’ points of view expressed in case reports have progressively diminished. The historian of medicine Jonathan Gillis finds the patient’s history to have become ‘a construct and production of the clinical encounter, rather than a simple expression of the patient’s narrative,’ such that there are now two histories in play in case reporting: ‘a superficial, overt, story presented by the patient ... and a deep, covert, and “true” history revealed by ... the physician.’47 The latter makes sensible how the diagnostic process will attempt to identify the p chosen for the patient and case at hand.

The primary means by which modern cases engage with patients’ accounts is by excerpting snippets of testimony on their felt experiences and articulating them with third-party formulations derived from the differing modalities of clinical assessment – conversation, observation, physical examination, and medical investigation. The resulting formulations generate the data from which the case is assembled through a process of representation which positions symptoms as the originary point of the report.48

‘A 39-year-old right-handed community nurse presented to us on the neurology ward in April, 2005, after a telephone call from her work colleagues. They expressed concern that she had appeared subdued before starting the afternoon shift and that, when asked, she could not recall her home address. She had apparently carried out her morning duties as normal and could not understand her colleagues’ concerns. However, she agreed to be reviewed. The nurse’s symptoms had begun 48 h previously, when she woke with a bitemporal headache, similar in character to previous episodes of migraine. Her vision then “flipped 180°” so that all images appeared inverted. She was able to crawl back to bed and on waking 6 h later, her vision had returned to normal and the headache had subsided. There were no further symptoms, and she continued engagements over the weekend as planned. She had experienced a similar episode while at work 12 years previously. Her vision had “flipped” again, occurring in combination with a migraine.49

In foregrounding disturbances of mood and memory the entrance to this case registers differing views on their significance, including the nurse’s own evaluation - that little about her situation seemed amiss to her — which contrasts with the viewpoints of her colleagues who arranged hospital assessment. Hints of such differences fade as the focus moves to very particular disturbances of the nurse’s memory and visual perception, situated through glance forwards to the weekend following their onset, backwards to register a similar experience twelve years earlier, then forwards again to the present, to consider the nurse’s current situation. This spatiotemporal positioning of the patient’s experiences within a history frames the account, drawing further information from medical notes, third-party reports of laboratory and imaging studies, and physician memory.50

A case which focuses on diagnosis starts life during the clinical consultation in attempts to identify facts about the patient germane to the possible causes of illness. Empirical studies show that the vast majority of patients attending hospital clinics can be diagnosed by taking account of the medical history alone, results of clinical and laboratory examinations proving less frequently contributory to formulating a diagnosis.51 Frank Davidoff, a former editor of the Annals of Internal Medicine sees the published case as a post-consultation representation; ‘a bird’s eye, after-the-fact version of the diagnostic process.’52 Thus through retrospection, developments unfolding in the future time of the case influence the way the clinical events and happenings which eventuate are presented in a report. Temporal change and stasis – a simultaneous grasping of beginning and end along the lines Louis Mink conceived of for historical narrative – play a role in the encapsulation of spatiotemporal clinical patterns:

‘... in the configurational comprehension of a story which one has followed, the end is connected with the promise of the beginning as well as the beginning with the promise of the end... To comprehend temporal succession means to think of it in both directions at once, and then time is no longer the river

48 Oliver Sacks puts this point clearly: ‘Typically... my case histories start with an encounter, a letter, a knock on the door — it is the patients’ description of their experience that stimulates the more general explanation.’ Sacks, Oliver, The Mind’s Eye. London: Picador 2010; Preface p x.
which bears us along but the river in aerial view, upstream and downstream in a single survey.53

The future is immanent in the way the author selects and discounts, highlights and de-emphasises clinical features of interest: ‘Data about the patient [are] trimmed of irrelevant historical, physical, and laboratory findings’54 argues Jerome Kassirer, a former editor of the New England Journal of Medicine, in order to focus on inter-connectable symptoms and signs that conform to textbook examples (paradigms, prototypical cases).55 Experiences deemed incidental to such patterns may be treated as non-contributory even though they may have been crucial to the patient.56 Case reports not only configure through constructing a historical narrative, they also present a version of the medical encounter (a ‘single survey’ in Mink’s terms) that displays a neat and rational picture of the diagnostic process, excluding or down-playing information important to the patient and masking disorderly features of clinical phenomena.57

It is not just that diagnostic reports benefit from hindsight: cases unfold as re-formulations of clinical appearances that commence at time T1 under a description D1 and are supplantated by subsequent descriptions D2 at T2, which significantly were not available at T1. One way a case report reaches a decision about which p is relevant, and explains that choice, is by shifting the description under which a symptom or clinical phenomenon is first encountered - often in snippets of the patient’s own words - to an account constructed in quite different terms, emerging through eventuation and from the results of clinical assessment and investigation. This is shown by the way the nurse’s visual experiences come to be represented and understood.

Explanation of her ‘180’ reversal of vertical vision metamorphopsia58 is developed through attempts to retrace pathological processes and possible causal mechanisms that can support a plausible aetiology (see Crasnnow, and Currie and Sterelny in this issue): magnetic resonance imaging (MRI) of the nurse’s brain revealed a recent and older lesion in the thalamus, a midline area known to be associated with visual image orientation. Hypotheses that could account for recurrent damage to the area are not explicitly developed in the case report, but almost certainly will have been considered by the patient’s doctors. The only most likely causes, severely diminished or blocked cerebral blood flow, gain attention because an ultrasonic assessment of the nurse’s vasculature revealed a hitherto undiagnosed congenital connection between the left and right cardiac atria known to cause turbulent blood flow and to predispose to thrombus formation. Although no trace of a thrombus was seen on the scan, the supposition is that at some point after a clot formed it broke away from its point of origin in the heart and embolized - travelled up the arteries of the neck to her brain — to injure the thalamus as visualized on MRI scan.

Through a combination of observation, hypothesis and reasoning, a causal account is developed of how the nurse’s cerebral pathology (D2) eventuated.59

The nurse’s symptoms (D1) might have been explained by different thalamic pathologies such as infective, traumatic or haemorrhagic damage. But traces of such insults - a history of fever in infection to cerebral abscess, signs of trauma on X-ray or of altered blood clotting revealed by in vitro testing – do not feature in this particular account, which is teleologically organised around thalamic stroke, not around other possible pathologies. “The Case of the Forgotten Address” is an end-based account of how evidence to support a particular diagnosis was put together rather than of how a final diagnosis was discriminated from other likely causes. Because a certain circulatory hypothesis explained the findings in the nurse’s case - an inference grounded in part on considerations of relative likelihoods not discussed in the report - the authors infer the truth of that hypothesis.

Links in this chain of causation carry differing epistemic status. Because there is no direct evidence of intra-cardiac clot formation and its subsequent movement to the brain, the causal mechanism remains inferred and based on hindsight. The report exhibits no scrutiny of the similarity between the two clinical episodes experienced by the nurse; their time course is not compared feature by feature in terms of associated symptoms such as nausea, unsteadiness and disorientation. The similarity between the episodes remains impressionistic: no mention is made in the earlier one of loss of memory (a defining characteristic of the ‘case’ according to the report’s title), altered cognitive ability or of the nurse’s apparent affective indifference, which are marked features of the recent episode (‘she could not understand her colleagues’ concerns’).60

The match rests on the nurse’s experience, memory, and on her capacity to make a judgement of similitude across a long time interval, which the report does not corroborate, for example, in her answers to further questions. The authors accept an identity relation between the two episodes holds; and that each belongs to the same type of syndrome, which suggests that any explanatory hypothesis — the choice of ‘which p?’ - will need to account for two separate events of the same kind.

The diagnosis is also grounded in matching the nurse’s medical situation to those described in other case reports of strokes of this sort61 which Forrester (after Kuhn) identified as ‘reasoning and working in shared examples’.62 Such cases have highlighted similar visual and cognitive disturbances manifest as behaviour described as ‘robot-like’, evidenced in the nurse continuing to work despite the onset of alarming visual symptoms. Her headache, anterograde amnesia and a low score on formal cognitive testing (all of which are detailed later in the report) are taken to be features sufficiently

55 Davidoff ‘Teaching files’ p1.
56 Wade, Derek T, Peter W Halligan, ‘Do biomedical models of illness make for good healthcare systems?’ BMJ 2004; 329: 1398–1401.
57 Part of what goes into making case reports appear so ‘neat’ and ‘rational’ is the privileged knowledge hindsight has of what transpires in a case, all of which may not be known by the attending clinicians grappling with formulation of a diagnosis, the sort of historical knowledge to which Arthur Danto refers when he writes that: ‘The whole truth concerning an event can only be known after the event itself has taken place.’ Danto, Arthur C, Narration and Knowledge. Columbia: Columbia University Press 1985 (revised edition of Analytical Philosophy of History 1968), p151. See also White, Hayden, ‘Historiography and Historiophoty’, The American Historical Review, 1988; 93(5): 1193–1199.
58 The medical term metamorphopsia refers to visual images which are distorted in shape, size or position.
59 Samarasekera, ‘The case of the forgotten address’ 1290.
60 Third person testimony was available from the second episode but the nurse’s first episode may have been unwitnessed; and if it caused alterations in memory it may not have been possible to gain further corroborations about the relationship between the two episodes.
similar to those set out in earlier cases as to corroborate the diagnosis. Thalamic stroke caused by a heart defect underpins the rationale of treatment: to make the nurse’s blood less coagulable and dissolve the current clot (if any of it remains), preventing further intra-cardiac clot formation. At the time the case was published - nine months after the nurse’s admission to hospital - her clinical state had vastly improved; she had gone back to work and had not experienced any recurrence of the symptoms.63

The report is a highly edited account of clinical practices which translate the nurse’s symptoms — including those of the earlier period - into a series of pathological processes. Grounded in comparisons with clinical patterns in previous case reports, technologies of looking such as ultrasound and magnetic resonance scanning, and in what is known of the circulatory physiology and pathology of a common cardiac anomaly, the report also draws on what is understood of symptoms associated with damage to the thalamus.64 The case is assembled from a mass of evidence arising from the patient herself, her colleagues’ testimony, and from examination and investigation of her body. A succession of biological processes is posited which supports an account of how the syndrome could have arisen twice, the cardiac lesion being the ‘smoking gun’, the evident sign of a common cause65 that in narrative terms enables structurally and functionally distinct events to be tied together in one story. The nurse’s voice - the initiatory stimulus of the case — is clearly heard at the start of the account, but fades as the authors’ technical re-description in anatomical and physiological terms gets under way and as the narrative moves towards a diagnosis.

No such process of re-description takes place in the case of injury to the workman’s boot because no explanation of the man’s symptoms appears in the account. Without a somatic injury the diagnosis cannot be likened to apprehending how the incidents of a story belong together in Mink’s sense,66 because the end of the plot is not connected with the promise of the beginning, since no physical process links the uninjured foot to severe pain. If, as Arthur Danto argues, stories require a beginning, middle and an end, and the ‘explanation then consists in filling in the middle between endpoints of a change’67 the point of interest in this case lies precisely in its narrative gap, the missing explanatory middle.

Despite this ellipsis the case of the uninjured foot remains an end-organised narrative, one arranged around irresolution rather than resolution, which works by replacing one puzzle with another. Yet its significance goes beyond the staging of a clinical riddle solely for amusement; its publication is justified by an untheorized but important observation that answers the generic question to which all non-fraudulent clinical cases respond affirmatively: ‘Is it possible for such-and-such to occur?’68 The answer calls attention to the knowledge-bearing nature of this case and alerts readers to the entanglement of physical and psychological processes in experiences of pain.

Although the clinical history is posited to be the ‘basic experimental unit’69 of medical thinking, the discourse of the case report does not offer unproblematic access to medical phenomena. The accounts of the uninjured foot and the dying soldier synchronously align knowledge with surprise, which subverts the view that the medical problem can be taken for granted at the level of description. They show that π is subject to radical reformulation at the level of observation; and it is apparent from the cases that knowledge of a diagnosis does not predict all the important details of the experience of illness or the trajectory of a condition in an individual.70

5. Conclusion: the narrative format

Why do case reports published in today’s general medical journals articulate clinical understanding through cadences of arousal and resolution embodied in narratives? The diagnostic criteria of diseases do not exist in narrative form; even conditions featuring a pronounced spatiotemporal dimension such as multiple sclerosis — which requires evidence of pathological processes at different moments in time in different parts of the central nervous system - are formulated as conditional statements concerning the presence or absence of attributes or functions, not as narratives71, and although information in clinical records may feature storied scenes recounted by patients and carers, the overall shape of these records is much more like a sequential listing of happenings and findings than the elaborate, second order configurations of narrative considered here.

A sense of audience looms large in the way case reports bring information from different sources together, releasing it along linear, jumpy and looping time-lines, foreshadowing and foregrounding possible futures (see Beatty this issue). Such stagings engage readers’ imaginations, playing on their expectations whilst also challenging their powers of pattern recognition and reasoning.72 The narrative shape of the case is not confined to published accounts; ethnographers have commented on how clinical presentations in hospitals are frequently staged as suspenseful dramas, ‘surgeons artfully creating stories about patients and their conditions … akin to mysteries or cliff-hangers, sometimes morality tales of success and failure’.73 Although audience hardly featured in Forrester’s account of “thinking in cases” it turns out to be a prominent influence on the manner in

63 To the extent that the outcome of this medical assessment is apparent in the report up to nine months after the onset of the second episode, the efficacy of anticoagulation cannot be inferred simply from the lack of a recurrence in the nurse’s case, especially in the light of the fact that she improved without this treatment after the earlier episode, which did not recur for some twelve years.
which information is organised and disclosed, reasoned with, and made memorable in contemporary clinical case reports.

The selection of clinical communiqués considered here has encompassed a range of reporting practices, displaying forms that indicate the potential of the case report genre to make sense of widely differing clinical situations. The task is met by creating a history, ‘a narrative that brings together diverse past facts into a causal account’ concerning how a particular case came about, one which grants authorial viewpoint latitude in the way its features are presented and encapsulated. Encapsulations inflect epistemological gradients of uncertainty that wax at the start of an account and wane as data are generated which stimulate hypotheses and various sorts of inferences. Although case-based diagnostic reasoning moves from recounted experiences, bodily effects and traces, to antecedent causes and mechanisms, reports are bound up with hindsight, with perspective-taking from the future and the retrofitting of information, which suggests that the process of diagnosis, and so the choice of which p is relevant, appears rational and unifying.

The brevity of reports considered here leads them to feature a high ratio of essential to incidental details, while the balance between forward clinical reasoning in the context of uncertainty, and retrospective understanding in the context of hindsight, has a critical bearing on how a clinical case is seen to unfold. By deploying devices that shape and channel attention plots are generated that rely on readers assuming a future is in place waiting to be revealed. But how precisely this future will play out, and how it will be manifested, is a decision for the authors. Reports draw on all the modalities of clinical assessment - conversation, observation, physical examination and investigation – in which the boundaries between the particulars of illness and generic conceptions of disease, and between the person who is ill and the depersonified patient, may shift and become indistinct. Amidst hypotheses and mechanisms invoked to explain what eventuates, the literary and narrative machineries of the case interweave their medical subject matters, through which the fortunes of individuals come into view. Thus case reporting is pervious to narrative discourses such as the sentimental tale, eccentric biography, and suspense and detective fiction that are capable of telling how the trajectories of a life, and of an illness, intersect diagnostically.

Acknowledgements

Thanks to Mary Morgan, Norton Wise and John Beatty for stimulating discussions in workshops addressing narrative in science, and for their critical comments on earlier drafts of this paper. I also thank participants in workshops and seminars for responding to earlier versions of the paper at the Department of the History of Science, Harvard University (Cambridge, Massachusetts, 2013), the Max Planck Institute for the History of Science (Berlin, 2013), the Narrative Matters Conference (Paris, 2014), the Philosophy of Science Association (Chicago, 2014), the Faculty of Social and Human Sciences, University of Lisbon (Lisbon, 215), the Centre for Narrative Studies in Science, Zurich University of Applied Sciences at Winterthur (Appenzell, 2015), and The Other Senses Conference, Department of Literatures, University of Konstanz (Konstanz, 2015). I have also benefited greatly from conversations with Michael Flexer and Ruth Richardson and from the financial support of the Wellcome Trust (Strategic Award 086071).

—


76 Freyberger ‘On Kuhn’s Case’ at p 800.


78 Freeman discusses this in terms of an ‘illusory appearance of order and coherence’ which hindsight as a form of ‘narrative reflection’ can generate. See Freeman Hindsight pp 23–4.

79 A systematic sampling of case reports at 10-year intervals from 1947 to 2016 covering The New England Journal of Medicine, The Lancet and the British Medical Journal finds that the more condensed and summarized the form that medical case reports take, the more likely they are to assume a narrative form (unpublished findings of a study by Flexer, Michael and Brian Hurwitz, 2016).


81 See Foucault’s formulation of ‘a case which at one and the same time constitutes an object for a branch of knowledge … and … the individual as he may be described, judged measured, compared with others, in his individuality;’ in Foucault, Michel, Discipline and Punish: The Birth of the Prison, Translated by Alan Sheridan. London: Allen Lane, 1977; p 191.
