



King's Research Portal

DOI:
[10.1111/jhn.12588](https://doi.org/10.1111/jhn.12588)

Document Version
Peer reviewed version

[Link to publication record in King's Research Portal](#)

Citation for published version (APA):

Grace, E. M., Shaw, C., Lalji, A., Mohammed, K., Andreyev, J., & Whelan, K. (2018). Nutritional status, the development and persistence of malnutrition and dietary intake in oesophago-gastric cancer: a longitudinal cohort study. *Journal of human nutrition and dietetics : the official journal of the British Dietetic Association*. Advance online publication. <https://doi.org/10.1111/jhn.12588>

Citing this paper

Please note that where the full-text provided on King's Research Portal is the Author Accepted Manuscript or Post-Print version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version for pagination, volume/issue, and date of publication details. And where the final published version is provided on the Research Portal, if citing you are again advised to check the publisher's website for any subsequent corrections.

General rights

Copyright and moral rights for the publications made accessible in the Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognize and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the Research Portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the Research Portal

Take down policy

If you believe that this document breaches copyright please contact librarypure@kcl.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.

36 This study was undertaken at The Royal Marsden NHS Foundation Trust who received a proportion
37 of its funding from the NHS Executive; the views expressed in this publication are those of the authors
38 and not necessarily those of the NHS Executive. We acknowledge support from the National Institute
39 for Health Research Royal Marsden Biomedical Research Centre. We do not have any personal
40 interests to declare.

41

42 **Funding:**

43 This research did not receive any specific grant from funding agencies in the public, commercial, or
44 not-for-profit sectors.

45

46 **Transparency Declaration Statement**

47 The lead author affirms that this manuscript is an honest, accurate, and transparent account of the
48 study being reported. The reporting of this work is compliant with STROBE guidelines. The lead
49 author affirms that no important aspects of the study have been omitted and that any discrepancies
50 from the study as planned have been explained.

51

52 **Abbreviations:** ANONA, analysis of variance; FFQ, food frequency questionnaire; GI,
53 gastrointestinal ; GSRS, Gastrointestinal Symptom Rating Scale; OG, oesophago-gastric; PG-SGA,
54 Patient-Generated Subjective Global Assessment

55

56

57 **ABSTRACT**

58 **Background:**

59 Patients with oesophago-gastric (OG) cancer may be at risk of malnutrition, troublesome
60 gastrointestinal symptoms (GI) and reduced dietary intake in view of the tumour location and
61 multimodality curative treatment approach. Longitudinal research is lacking. This study aimed to
62 assess (1) nutritional status and how it evolved over the first year, (2) the association between
63 nutritional status scores and GI symptom scores and (3) the nutrient and food group intake pattern.

64 **Methods:**

65 This was a prospective, observation study of patients with an OG lesion planned for radical treatment,
66 with assessment at diagnosis, 3-months and 12-months following the start of treatment. Nutritional
67 assessment was performed using the Patient-Generated Subjective Global Assessment (PG-SGA), GI
68 symptoms measured using the modified Gastrointestinal Symptom Rating Scale and dietary intake
69 assessed using a semi-quantitative food frequency approach.

70 **Results:**

71 80 patients (61 males, 19 females; aged 46-89y) were recruited. At baseline, 3 (n= 68) and 12 months
72 (n= 57), 61%, 62% and 60% respectively were moderately/severely malnourished. Higher symptom
73 burden was associated with poorer nutritional status at baseline ($r= +0.55$, $p< 0.001$), 3-months ($r=$
74 $+0.51$, $p< 0.001$) and at 12-months ($r= +0.42$, $p= 0.001$). At each respective time point, 37%, 38%
75 and 42% were meeting their Estimated Average Requirement for energy. No change in mean (SD)
76 intake of energy, fibre, nutrient and food groups over time were observed.

77 **Conclusion:**

78 Patients with OG cancer have progressive weight loss, with malnutrition present in the majority
79 during this year. Optimising nutritional status and symptom management throughout the treatment
80 pathway should be a clinical priority.

81

82

83 **Keywords:** Undernutrition, nutritional status, gastrointestinal, gastric, oesophageal, cancer

84

85

86

87

88

89

90

91

92 **INTRODUCTION**

93 Disease-related malnutrition occurs frequently in patients with cancer, with a high incidence in
94 patients with oesophago-gastric (OG) cancer, ranging from 37-63% (1-3). The prevalence is
95 dependent on tumour type and location, disease staging, treatment received and type of nutritional
96 assessment method used (4,5). Most prevalence data is cross-sectional, not accounting for variations
97 in nutritional status and malnutrition at different stages of treatment. The consequences of
98 malnutrition in cancer are well recognised and include important adverse effects on clinical outcome
99 e.g. increased risk of morbidity, decreased response and tolerance to treatment, decreased
100 performance status and lower quality of life (6-10).

101

102 It is likely that malnutrition and nutritional deterioration in OG cancer are caused by a dual
103 mechanism, whereby negative local and systemic effects of the disease are compounded by acute and
104 chronic nutrition-impact symptoms produced by treatments. Such treatment involves combinations
105 of chemotherapeutic, radiotherapeutic and surgical regimens. A high burden of gastrointestinal (GI)

106 symptoms is observed, although their co-occurrence and potential causal connection with
107 malnutrition remains unclear (11-13). Likewise, inadequate oral intake may contribute to malnutrition
108 in OG cancer but there are few studies assessing dietary intake, and those that do are very
109 heterogeneous, use different dietary assessment methods and present conflicting results (14-16).
110 Therefore, the contribution of inadequate oral intake to malnutrition in patients with OG cancer is
111 uncertain.

112

113 To date, the nutritional status, GI symptom burden or dietary intake of OG cancer patients has not
114 been systematically measured longitudinally. This study aimed to (1) assess nutritional status and the
115 prevalence of malnutrition at diagnosis and in the early (3 months, 3 m) and later stages (12 months,
116 12 m) of treatment; (2) determine the association between GI symptom scores and nutritional status
117 and malnutrition; and (3) assess nutrient and food group intake and its association with nutritional
118 status and malnutrition.

119

120 **METHODS**

121 **Subjects and Study Design**

122 A prospective, longitudinal cohort study of patients with a new oesophageal, gastro-oesophageal or
123 gastric cancer (or pre-malignant disease of these locations) was conducted at a tertiary cancer centre
124 in the United Kingdom, The Royal Marsden NHS Foundation Trust. Eligibility criteria were:
125 cancer/pre-malignant disease confirmed by histopathology; planned to undergo radical treatment.
126 Exclusion criteria were: age <18 years; receiving private healthcare; previous OG cancer; oncological
127 treatment started >1 week before consent; unable to give informed consent.

128

129 Each patient with a new OG cancer diagnosis was discussed at a weekly OG specialist multi-
130 disciplinary team meeting at the tertiary cancer centre. Here, a treatment plan was established for
131 each individual and the study's registered dietitian screened patients to identify those fulfilling the
132 study's inclusion criteria. Given the vulnerability of the patient group, the study dietitian liaised with
133 other members of the multi-disciplinary team to determine the most appropriate time for her to
134 approach eligible patients. This was often at one of their routine out-patient appointments with their
135 oncologist or surgeon.

136

137 Patients gave informed consent before study enrolment, with recruitment from 18th November 2011
138 - 17th May 2013. The study visits were at diagnosis (before starting treatment) and at 3 m and 12 m
139 after the treatment start date. Measurements of nutritional status, GI symptoms and dietary intake
140 were taken by the same study dietitian at each time point.

141

142 The study was reviewed and approved by the institutional clinical research and local ethics
143 committees. The procedures followed were in accordance with the Helsinki Declaration of 1975 as
144 revised in 1983.

145

146 **Nutritional Status**

147 Weights and heights were measured by the study dietitian using the Marsden M-120 Column Scales
148 and the Marsden HM-200 Telescopic Height Measure respectively. The equipment was serviced and
149 calibrated every six months by the equipment manufacturer. When measuring weight, the scales was
150 positioned on a level surface, the patient removed their shoes and wore light day clothing (items in
151 pockets and jewelry were removed). The presence of ascites and/or oedema was noted and where
152 present, an estimated weight was recorded.

153

154 Nutritional assessment was undertaken using the Patient Generated Subjective Global Assessment
155 (PG-SGA) (17). It is the only validated and specific tool for a thorough nutritional assessment in
156 oncology and has been accepted as the standard for nutrition assessment in oncology.

157

158 The PG-SGA has two sections: a patient-completed component and a clinician component. The
159 former has four parts (weight loss, nutrition impact symptoms, nutritional intake and functional
160 capacity). The later also has four parts, which produces scores for diagnosis, age, metabolic stress,
161 with a subjective physical examination assessing fat, muscle stores and fluid status. Finally, a global
162 assessment of nutritional status is produced.

163

164 The PG-SGA produces both subjective global ratings and a PG-SGA total score. The subjective
165 global rating categories are consistent with the three categories from the Subjective Global
166 Assessment (SGA) tool: PG-SGA-A (well-nourished), PG-SGA-B (moderately/suspected
167 malnourished) and PG-SGA-C (severely malnourished). PG-SGA total scores range from 0-49, with
168 triage recommendations as follows: score 0-1 (no intervention required); score 2-3 (patient and family
169 education with pharmacological intervention and/or laboratory values); score 4-8 (requires
170 intervention by dietitian in conjunction with nurse or physician); score ≥ 9 (critical need for improved
171 symptom management and/or nutrient intervention options).

172

173 For ethical reasons standard clinical practice was followed regarding dietary intervention in study
174 patients. That is, members of the multidisciplinary team were able to refer patients to a separate

175 clinical dietitian based upon their own clinical opinion. The study dietitian would also refer patients
176 to the clinical dietitian in those with a PG-SGA total score of ≥ 4 .

177

178 **Gastrointestinal Symptoms**

179 Presence and severity of GI symptoms were measured using a modified version of the original 15-
180 symptom Gastrointestinal Symptom Rating Scale (GSRS) (18). The purpose of modification, was to
181 increase the time over which the tool captures symptoms and to make it more disease-specific by
182 adding relevant symptoms (dysphagia to fluids and/or solids, odynophagia to fluids and/or solids,
183 early satiety, regurgitation of fluids and/or solids, faecal incontinence) and by removing symptoms
184 considered irrelevant (hunger pains and sucking sensation in epigastrium). The modified tool
185 measured 22 GI symptoms over the previous four weeks using a 4-point Likert scale (0= absent
186 symptom; 1= mild symptom occurring occasionally but did not impact much; 2= moderate symptom
187 occurring often and that impacted quite a bit; 3= severe symptom occurring a lot and that impacted a
188 great deal). Individual scores were recorded for each GI symptom, and the sum of all 22 GI symptom
189 scores was used to produce a GSRS total score (potential minimum score of 0 and maximum of 66).

190

191 **Dietary Intake**

192 The dietary assessment tool was the European Prospective Investigation into Cancer Food Frequency
193 Questionnaire (FFQ) (Norfolk version). This is a semi-quantitative FFQ validated for assessing
194 habitual dietary intake for the previous 12 months in the European Prospective Investigation into
195 Cancer population (19-22). This FFQ contains a list of 130 foods items and a multiple response grid.
196 Food lists and portion sizes are representative of an adult population in the United Kingdom following
197 a traditional diet. Patients were requested to complete the FFQ based upon intake over the previous
198 one month (rather than over the previous 12 months) so as to align with the study design.

199

200 Data entry and analysis of the FFQs was undertaken using FETA software to produce nutrient and
201 food group intake data (23). Data on intake of vitamin and micronutrient supplements, oral nutritional
202 supplements and enteral nutrition were collected but could not be computed using FETA software.
203 The data presented are for oral intake from food exclusively.

204

205 **Statistical Analysis**

206 As this was an observational study, with no group comparisons and no reporting of effect size, it was
207 not necessary to power the study. A maximum recruitment period of 18 months was possible. No
208 missing data was replaced. Statistical analyses were conducted using the Statistical Package for the
209 Social Sciences software (version 22.0, IBM, USA). Paired continuous data were compared using

210 paired t-tests, with a 2-sided significance level of 5% used to assess significant difference between
211 the data. The Kolmogorov-Smirnov test was used to examine the distribution of GSRS total scores
212 and PG-SGA total scores, both of which were non-normally distributed. Median and range were used
213 to summarise the data and the data were compared between different time points using non-parametric
214 tests (Wilcoxon test). All other data were normally distributed.

215

216 Change in patients' PG-SGA category between baseline and 3 m and between baseline and 12 m were
217 undertaken using cross-tabulation.

218

219 The association between GI symptoms and nutritional status was measured in three ways. The
220 association between overall GI symptoms (GSRS total scores) and nutritional status (PG-SGA total
221 scores) were analysed using a Spearman's rank correlation. Data were visualised using scatter plots
222 and Dancey and Reidy's categorisations aided the determination of the strength of the correlation
223 using correlation co-efficients (r) (24). The nutritional status (PG-SGA total scores) of patients with
224 (mild/moderate/severe) and without (absence) each of the GI symptoms was compared using a chi-
225 square test. Finally, a cross-tabulation was performed to compare those with presence (i.e. mild,
226 moderate or severe) and absence of each GI symptom measured with respect to malnutrition category
227 (PG-SGA A and PG-SGA B+C).

228

229 Descriptive analysis was undertaken to report the FFQ data using mean (SD) intake of energy,
230 macronutrients, micronutrients and fibre and 14 food groups. For those with three FFQs, repeated
231 measures analysis of variance (ANOVA) compared the intakes at the three time points, and where $p <$
232 0.05 , a Bonferroni post-hoc test was performed to determine the differences between each time point.
233 The proportion meeting their requirements at each study visit was calculated by comparison with the
234 relevant Dietary Reference Value for energy (Estimated Average Requirement) and protein (25).

235

236 **RESULTS**

237 The participant flow chart is shown in the Figure contained in the supplementary material: 80 patients
238 were recruited; 68 completed the 3 m assessment; 57 completed the 12 m assessment. The baseline
239 characteristics and treatment details of the 61 (76%) males and 19 (24%) females are shown in **Table**
240 **1**. A number of patients had at least one consultation with a clinical dietitian as either an in- or out-
241 patient in the three-month period before baseline (32, 40%), in the baseline to 3 m period (45, 66.2%)
242 and in the 3 m to 12 m period (42, 73.7%). The mean (SD) number of consultations with the clinical
243 dietitian per patient, for the respective periods was 1.6 (0.9), 3.2 (4.3) and 7.9 (7.4).

244

245 **Nutritional Status**

246 The mean (SD) body weights at baseline (n= 80), 3 m (n= 68) and 12 m (n= 57) were: 76.6 (17.2) kg,
247 74.4 (14.8) kg and 71.6 (16.7) kg respectively, with BMIs of 26.7 (4.7) kg/m², 25.9 (4.1) kg/m² and
248 25.0 (4.9) kg/m² respectively. Paired score comparisons were performed for those with data available
249 at two (or more) time points, with significant reductions in weight and BMI as per the p-values: for
250 baseline to 3 m they were 0.003 and 0.006 respectively; for baseline to 12 m and also for 3 m to 12
251 m they were < 0.001 and < 0.001 respectively. Of the patients with all data points, n= 12 (21%) gained
252 weight from baseline to 12 m, with a mean percentage weight gain of 6.1%. The remaining n= 45
253 (79%) lost weight, with a mean percentage weight loss of 11.1% over the 12 m period.

254
255 The scores from the components of PG-SGA are reported in **Table A of the supplementary material**.
256 The proportion experiencing recent unintentional weight loss decreased from 57.5% at baseline to
257 42.7% at 3 m and 26.3% at 12 m. For worksheet 4 (nutrition-related physical examination and
258 anthropometric assessment), at least 30% of patients were found to have some depletion of fat and
259 muscle stores (and/or the presence of ascites/oedema). The prevalence of moderate/suspected/severe
260 malnutrition was 61.2% at baseline, 61.8% at 3 m and 59.6% at 12 m (**Table 2**). No significant
261 difference in PG-SGA score between any time points was identified.

262
263 Using the cross-tabulation method, it was noted that from baseline to 12 m (n= 57), 14 (24.6%)
264 improved their nutritional status category, 16 (28%) worsened their category and 27 (47.4%)
265 remained stable. Nineteen (33%) patients were moderately/severely malnourished at both diagnosis
266 and 12 m (i.e. malnutrition 'persisted'), while 15 (27%) were well-nourished at diagnosis but became
267 moderately/severely malnourished by 12 m (i.e. malnutrition 'developed').

268 269 **Association Between Gastrointestinal Symptoms and Nutritional Status**

270 The median (range) GSRS total score at baseline (n= 80) was 12/66 (0-46), at 3 m (n= 68) was 9.5/66
271 (0-39) and at 12 m (n= 57) was 12/66 (0-46). There was moderate correlation between GSRS total
272 score and PG-SGA total score at baseline (r= +0.55, p< 0.001), 3 m (r= +0.51, p< 0.001) and 12 m
273 (r= +0.42, p= 0.001). At baseline, there was a greater prevalence of moderate/severe malnutrition in
274 patients with 11 individual GI symptoms (dysphagia to solids, dysphagia to fluids, odynophagia to
275 solids, odynophagia to fluids, belching, nausea, early satiety, abdominal grumbling, hard stools,
276 constipation, incomplete evacuation), at 3 m there was a greater prevalence for only three GI
277 symptoms (early satiety, constipation, incomplete evacuation). There were no significant differences
278 in prevalence of malnutrition between those with and without GI symptoms at 12 m (**Table 3**)

279

280 **Dietary Intake**

281 At baseline, 3- and 12 m, 79/80 (98.8%), 62/68 (91.2%) and 53/57 (92.9%) were managing some oral
282 intake respectively, with 18 (22.5%), 13 (20.9%) and 5 (9.4%) consuming foods with a modified
283 texture. Of these, 3.8% at baseline, 58.1% at 3 m and 5.7% at 12 m had an oesophageal stent; while
284 25.3%, 38.2% and 28.1% were prescribed oral nutritional supplements. There were 3/80 (3.8%), 7/68
285 (10.2%), 6/57 (10.5%) enterally fed (either sole or supplementary nutrition source) at baseline, 3 m
286 and 12 m, with only 1/68 (1.5%) at 3 m requiring parenteral nutrition support.

287

288 78 FFQs were analysed at baseline, 61 at 3 m and 53 at 12 m. Of these patients, there were only 29
289 (37.2%) at baseline, 23 (37.7%) at 3 m and 22 (41.5%) at 12 m meeting their Estimated Average
290 Requirement for energy from food, though more were achieving their Dietary Reference Value for
291 protein at baseline (62, 79.5%), 3 m (54, 88.5%) and 12 m (48, 90.6%). 43 patients completed a FFQ
292 at all three visits and the mean energy and protein intake per kg/day were as follows: 29.9 kcal/kg
293 and 1.3 g/kg at baseline; 30.5 kcal/kg and 1.2g/kg at 3 m; 31.9 kcal/kg and 1.3g/kg at 12 m. Results
294 for the comparison of daily energy, fibre, nutrient and food group intakes at each visit are shown in
295 Table B of supplementary material. There was no significant change in the intake of any of the
296 variables over time following Bonferroni post-hoc testing, where relevant.

297

298 **DISCUSSION**

299

300 This is the first study to record systematically nutritional status using a validated assessment method
301 in OG cancer during the first year following diagnosis. Cancers of the GI tract are known to exert
302 higher nutritional risk than other cancer sites (1,3,26). Heburterne et al.'s prevalence study indicated
303 that patients with OG cancer had the second highest prevalence of malnutrition (60%) after pancreatic
304 cancer. This supports earlier work where 61% of newly diagnosed OG cancer patients were shown to
305 have > 5% unintentional weight loss (1). In the current study the prevalence of malnutrition was found
306 to be 61% at baseline, and this value remained unchanged over time.

307

308 Although the overall values for malnutrition prevalence remained stable, this reflects a dynamic
309 process of improvement, deterioration, and maintenance in different patients. Of those who were
310 malnourished at baseline, this persisted until 12 m in one third, whilst of those who were well-
311 nourished at baseline, one quarter developed malnutrition by 12 m, meaning that malnutrition
312 persisted or developed in the majority.

313

314 While, we were already aware that gastrointestinal (GI) symptoms are observed in OG cancer patients
315 (11-13), until now, we were unclear about their co-occurrence with malnutrition. Our results
316 demonstrate moderate correlation between symptom and nutritional status scores throughout this first
317 year. Importantly, we now have specific GI symptoms (in particular dysphagia, odynophagia, nausea,
318 abdominal pain and early satiety) that we know to be associated with poorer nutritional status (Table
319 3). We know that by 12 m, most study patients no longer had cancer, so we suspect that their poor
320 nutritional status had less to do with the primary effect of the cancer (i.e. imbalance between pro- and
321 anti-inflammatory cytokines and abnormalities in substrate metabolism) and more to do with GI
322 symptom burden.

323

324 Our study provides strength to the argument that the multidisciplinary approach towards treatment
325 decisions should also be expanded to include much more active assessment and management of acute
326 and chronic GI symptoms, to prevent them from negatively affecting nutritional status (27).

327 Likewise, inadequate oral intake may contribute to malnutrition in OG cancer but there are few
328 studies assessing dietary intake in these patients. As per the 2017 ESPEN guidelines on nutrition in
329 cancer patients, 25-30 kcal/kg/day and 1.2-1.5 g protein/kg/day can serve as a target ranges to help
330 maintain or restore lean body mass, where individual measurements are unavailable (28). Results
331 from this study suggest that inadequate oral intake is, indeed, likely to be playing a role in their
332 malnutrition.

333

334 Although mean energy intake appeared adequate (30-32kcal/kg/day) to meet the ESPEN target, we
335 note that less than half were meeting their Estimated Average Requirement for energy from food
336 during the year. Similarly, while 80-90% were meeting their Dietary Reference Value for protein,
337 with intakes of 1.2-1.3g/kg/day, ESPEN suggest that protein intakes should, if possible, reach 1.5
338 g/kg/day, especially where muscle depletion is present, as was the case in one-third of this cohort.

339

340 Considering these findings, and given that there was no increase in energy or protein intakes during
341 the course of the study, we suggest that the chronic energy and protein deficits contributed, at least
342 in part, to the ongoing weight loss observed. These data are concerning, considering that, following
343 the commencement of treatment, the majority had at least one consultation with a clinical dietitian
344 and many were taking oral nutritional supplements. This questions the effectiveness of current
345 interventions (predominately food fortification advice and oral nutritional supplementation), and
346 suggests that earlier and more intensive input (i.e. enteral support) may be necessary to prevent
347 nutritional decline.

348

349 Best practice guidelines advocate for early identification and commencement of nutrition intervention
350 to maintain quality of life (1,29,30). Also, the nutritional benefits of an early and intensive
351 intervention (weekly dietetic consultations for 18 weeks) in OG cancer was demonstrated in a pilot
352 study (31), with weight 6 kg greater and PG-SGA score 10 points lower in the intervention group
353 compared with standard care group. Larger, well-conducted RCTs are required to better understand
354 the effectiveness of intensive interventions.

355

356 **Strengths and limitations**

357 The decision to include patients with Barrett's oesophagus or a pre-malignancy may represent a
358 limitation of this study considering these patients do not usually have dysphagia or weight loss at
359 presentation. However, this should not materially affect the results as these patients were so few (n=
360 4). Also of note, the groups of patients were not evenly distributed, as the majority were men. Many
361 of the assessment methods relied, to varying extents, on recall, and therefore may be prone to recall
362 bias.

363

364 Another weakness relates to the FFQ, which significantly overestimates energy and fibre intake, as
365 well as many macro- and micronutrients when compared with weighed records (19). This means that
366 caution should be used in applying the estimates of individual diets. In addition, the Dietary Reference
367 Values provide a guide to the adequacy of dietary intake among healthy populations and therefore do
368 not necessarily reflect the requirements of patients with cancer (32). Therefore, interpretation of the
369 FFQ data must be done with caution.

370

371 This study's strength lies in its longitudinal design, which is atypical in cancer research concerned
372 with nutrition, as the majority of data comes from cross-sectional studies. By following the course of
373 nutritional status, dietary intake and GI symptoms over one year, these results highlight the
374 importance of the comprehensive assessment from diagnosis, acutely during treatment and
375 chronically. Attrition due to the death is inevitable in the context of longitudinal research in cancer
376 patients and accounted for 18%. But the withdrawal and loss to follow-up rate was low at 11%.
377 Neither inter-investigator bias nor non-random sampling are relevant here as one researcher
378 completed all assessment and the recruited and declined populations were comparable (data not
379 presented).

380

381 In conclusion, those with OG cancer experience a progressive weight loss over time and malnutrition
382 is present in the majority during the first year. Current detection and treatment processes appearing
383 sub-optimal. Optimising nutritional status throughout the treatment pathway should be considered a

384 priority in this high-risk group. We suggest an intensive approach, which might include weekly
385 nutritional assessment during oncological treatments, and follow-up after their completion until no
386 further risk exists. Ongoing assessment of GI function can be incorporated into the dietitian's
387 assessments, as well as other relevant health care providers. As this work has demonstrated that
388 symptom burden showed an association with nutritional status, whereby the presence of symptoms
389 tended to be associated with poorer nutritional status and *vice versa*, it seems reasonable to
390 hypothesize that the effective treatment of GI symptoms that are negatively impacting on dietary
391 intake would improve nutritional status.

392

393

394

References

1. Baldwin C, McGough C, Norman AR, Frost GS, Cunningham DC, Andreyev HJN. Failure of dietetic referral in patients with gastrointestinal cancer and weight loss. *Eur J Cancer* 2006;42:2504–9.
2. Bozzetti F, Mariani L, Vullo LoS, SCRINIO Working Group, Amerio ML, Biffi R et al. The nutritional risk in oncology: a study of 1,453 cancer outpatients. *Support Care Cancer* 2012;20:1919–28.
3. Hebuterne X, Lemarie E, Michallet M, de Montreuil CB, Schneider SM, Goldwasser F. Prevalence of malnutrition and current use of nutrition support in patients with cancer. *JPEN* 2014;38:196–204.
4. Shike M. Nutrition therapy for the cancer patient. *Hematol Oncol Clin of North Am* 1996;10:221–34.
5. Martin L, Lagergren J, Lindblad M, Rouvelas I, Lagergren P. Malnutrition after oesophageal cancer surgery in Sweden. *Br J Surg* 2007;94:1496–500.
6. Ottery FDF. Definition of standardized nutritional assessment and interventional pathways in oncology. *Nutrition* 1996;12:S15–9.
7. Andreyev HJ, Norman AR, Oates J, Cunningham D. Why do patients with weight loss have a worse outcome when undergoing chemotherapy for gastrointestinal malignancies? *Eur J Cancer* 1998;34:503–9.
8. Dewys WD, Begg C, Lavin PT, Band PR, Bennett JM, Bertino JR et al. Prognostic effect of weight loss prior to chemotherapy in cancer patients. *Am J Med* 1980;69:491–7.
9. van Cutsem E, Arends J. The causes and consequences of cancer-associated malnutrition. *Eur J Oncol Nurs* 2005;9 Suppl 2:S51–63.
10. Kyle UG, Pirlich M, Lochs H, Schuetz T, Pichard C. Increased length of hospital stay in underweight and overweight patients at hospital admission: a controlled population study. *Clin Nutr* 2005;24:133–42.
11. Sánchez-Lara K, Ugalde-Morales E, Motola-Kuba D, Green D.

- Gastrointestinal symptoms and weight loss in cancer patients receiving chemotherapy. *Br J Nutr* 2012;109:894–7.
12. Bovio G, Montagna G, Bariani C, Baiardi P. Upper gastrointestinal symptoms in patients with advanced cancer: relationship to nutritional and performance status. *Support Care Cancer* 2009;17:1317–24.
 13. Khalid U, Spiro A, Baldwin C, Sharma B, McGough C, Norman AR et al. Symptoms and weight loss in patients with gastrointestinal and lung cancer at presentation. *Support Care Cancer* 2007;15:39–46.
 14. Bae JM, Park JW, Yang HK, Kim JP. Nutritional status of gastric cancer patients after total gastrectomy. *World J Surg* 1998;22:254–60.
 15. Ludwig DJ, Thirlby RC, Low DE. A prospective evaluation of dietary status and symptoms after near-total esophagectomy without gastric emptying procedure. *Am J Surg* 2001;181:454–8.
 16. Carey S, Storey D, Biankin AV, Martin D, Young J, Allman-Farinelli M. Long term nutritional status and quality of life following major upper gastrointestinal surgery - A cross-sectional study. *Clin Nutr* 2011;30:774–9.
 17. Ottery FD. Nutrition Screening and Assessment in Oncology. In: McCallum P, Polisena C, eds. *The clinical guide to oncology nutrition*. Chicago: American Dietetic Association, 2000 11–23.
 18. Svedlund J, Sjödin I, Dotevall G. GSRS—a clinical rating scale for gastrointestinal symptoms in patients with irritable bowel syndrome and peptic ulcer disease. *Dig Dis Sci* 1988;33:129–34.
 19. Bingham S. Validation of dietary assessment methods in the UK arm of EPIC using weighed records, and 24-hour urinary nitrogen and potassium and serum vitamin C and carotenoids as biomarkers. *Int J Epidemiol* 1997;26:S137–51.
 20. McKeown NM, Day NE, Welch AA, Runswick SA, Luben RN, Mulligan AA et al. Use of biological markers to validate self-reported dietary intake in a random sample of the European Prospective Investigation into Cancer United

- Kingdom Norfolk cohort. *Am J Nutr* 2001;74:188–96.
21. Willett WC, Sampson L, Stampfer MJ, Rosner B, Bain C, Witschi J et al. Reproducibility and validity of a semiquantitative food frequency questionnaire. *Am J Epidemiol* 1985;122:51–65.
 22. Willett WC, Sampson L, Browne ML, Stampfer MJ, Rosner B, Hennekens CH et al. The use of a self-administered questionnaire to assess diet four years in the past. *Am J Epidemiol* 1988;127:188–99.
 23. Mulligan AA, Luben RN, Bhaniani A, Parry-Smith DJ, O'Connor L, Khawaja AP et al. A new tool for converting food frequency questionnaire data into nutrient and food group values: FETA research methods and availability. *BMJ* 2014;4:1–12.
 24. Dancey CP, Reidy J. Chapter 5. In: Dancey CP, Reidy J, eds. *Statistics Without Maths for Psychology: using SPSS for Windows*. 3rd ed. Essex: Pearson Education, 2004 163-205.
 25. Scientific Advisory Committee on Nutrition. *Dietary Reference Values for Energy*. London: Stationery Office/TSO, 2012.
 26. Koom WS, Ahn SD, Song SY, Lee CG, Moon SH, Chie EK et al. Nutritional status of patients treated with radiotherapy as determined by subjective global assessment. *Radiat Oncol J* 2012;30:132.
 27. Grover S, Lim RM, Blumberg RS, Syngal S. *Oncogastroenterology*. *J Clin Oncol* 2016;34:1154–5.
 28. Arends J, Bachmann P, Baracos V, Barthelemy N, Bertz H, Bozzetti F et al. ESPEN guidelines on nutrition in cancer patients. *Clin Nutr* 2017;36:11–48.
 29. Bauer JD, Capra S. Nutrition intervention improves outcomes in patients with cancer cachexia receiving chemotherapy? A pilot study. *Support Care Cancer* 2005;13:270–4.
 30. Ottery FD. Cancer cachexia: prevention, early diagnosis, and management.

Cancer Pract 1994;2:123–31.

31. Silvers MA, Savva J, Huggins CE, Truby H, Haines T. Potential benefits of early nutritional intervention in adults with upper gastrointestinal cancer: a pilot randomised trial. *Support Care Cancer* 2014;22:3035–44.
32. Committee on Medical Aspects of Food Policy (Department of Health, ed). *Dietary Reference for Food Energy and Nutrients for the United Kingdom*. London: Stationery Office/TSO, 1991.

Table 1: Baseline characteristics and treatment details of the recruited cohort¹

Baseline characteristics	Males (n= 61)	Females (n= 19)
Age (y), median (min-max)	66 (47-89)	61 (46-80)
Eastern Cooperative Oncology Group performance status		
0	25 (41)	10 (52.6)
1	31 (50.8)	8 (42.1)
2	4 (6.6)	1 (5.3)
3	1 (1.6)	0 (0)
4	0 (0)	0 (0)
Current diagnosis		
AC of upper and middle third of oesophagus	21 (34.4)	2 (10.5)
AC of lower third of oesophagus, Siewert type I	12 (19.7)	2 (10.5)
SCC of the oesophagus	8 (13.1)	4 (21.1)
Siewert type II and III	3 (4.9)	3 (15.8)
AC of stomach	11 (18)	4 (21.1)
Gastrointestinal stromal tumour of the stomach	2 (3.3)	3 (15.8)
Barrett's oesophagus	1 (1.6)	0 (0)
Other malignant/premalignant neoplasm	3 (4.9)	1 (5.3)
Histopathological tumour (T) staging		
0-1	5 (8.2)	1 (5.3)
2	9 (14.8)	5 (26.3)
3	41 (67.2)	7 (36.8)
4	4 (6.6)	1 (5.3)
Not applicable	2 (3.2)	5 (26.3)
Undergoing active oncological treatment		
At 3 m (n= 68)		
At 12 m (n= 57)		
Treatment modalities received up to 12 m (n= 57)		
Surgery alone	3 (5.3)	
Surgery and chemotherapy	32 (56.1)	
Surgery, chemotherapy and radiotherapy	7 (12.3)	
Chemotherapy and radiotherapy	13 (22.8)	

Chemotherapy alone 2 (3.5)

¹All values are expressed as counts (%) unless otherwise stated. Baseline characteristics are reported for the 80 patients at initial visit (n= 61 males, n=19 females). Treatment details are reported for the number of patients in parentheses.

AC, adenocarcinoma; SCC, squamous cell carcinoma

Table 2 Patient-Generated Subjective Global Assessment total scores and categories ¹

	Baseline (n= 80)	3 month (n= 68)	12 month (n= 57)
PG-SGA total score	9 (0-28)	6 (2-26)	7 (0-19)
PG-SGA category scores			
A: Well-nourished	31 (38.8)	26 (38.2)	23 (40.4)
B: Moderately/suspected malnourished	47 (58.7)	40 (58.8)	32 (56.1)
C: Severely malnourished	2 (2.5)	2 (3)	2 (3.5)
Total: B + C	49 (61.2)	42 (61.8)	34 (59.6)

¹ PG-SGA total score is expressed as median (min-max). PG-SGA category scores are expressed as counts (%). PG-SGA, Patient Generated Subjective Global Assessment.

	Baseline n= 80 PG-SGA, n (%)			3 m n= 68 PG-SGA, n (%)			12 m n= 57 PG-SGA, n (%)		
	A	B+C	p-value	A	B+C	p-value	A	B+C	p-value
Dysphagia to solids	11 (23.4)	36 (76.6)	0.001 **	6 (24)	19 (76)	0.055	7 (33.3)	14 (66.7)	0.294
Dysphagia to fluids	5 (19.2)	21 (80.8)	0.011 *	4 (25)	12 (75)	0.171	3 (27.3)	8 (72.7)	0.264
Odynophagia to solids	9 (26.5)	25 (73.5)	0.030 *	4 (26.7)	11 (73.3)	0.231	2 (22.2)	7 (77.8)	0.204
Odynophagia to fluids	3 (15)	17 (85)	0.009 **	1 (16.7)	5 (83.3)	0.240	0 (0)	4 (100)	0.117
Regurgitation of solids	9 (27.3)	24 (72.7)	0.062	3 (20)	12 (80)	0.087	6 (37.5)	10 (62.5)	0.514
Regurgitation of fluids	7 (25.9)	20 (74.1)	0.074	2 (18.2)	9 (81.8)	0.122	6 (37.5)	10 (62.5)	0.514
Heartburn	12 (42.9)	16 (57.1)	0.401	6 (35.3)	11 (64.7)	0.482	5 (33.3)	10 (66.7)	0.346
Acid reflux	12 (34.3)	23 (65.7)	0.312	7 (30.4)	16 (69.6)	0.249	10 (41.7)	14 (58.3)	0.539
Belching	15 (30)	35 (70)	0.033 *	17 (47.2)	19 (52.8)	0.085	13 (35.1)	24 (64.9)	0.209
Nausea	3 (12)	22 (88)	0.001 **	14 (37.8)	23 (62.2)	0.569	8 (36.4)	14 (63.6)	0.419
Early satiety	7 (18.4)	31 (81.6)	0.000 ***	8 (21.1)	30 (78.9)	0.001 **	7 (28)	18 (72)	0.079
Bloating	8 (34.8)	15 (65.2)	0.420	5 (29.4)	12 (70.6)	0.285	9 (47.4)	10 (52.6)	0.315
Abdominal grumbling	10 (27)	27 (73)	0.038 *	16 (42.1)	22 (57.9)	0.314	14 (37.8)	23 (62.2)	0.402
Abdominal pain	13 (37.1)	22 (62.9)	0.489	7 (30.4)	16 (69.6)	0.249	12 (35.3)	22 (64.7)	0.251
Flatulence	16 (33.3)	32 (66.7)	0.163	17 (38.6)	27 (61.4)	0.569	14 (35)	26 (65)	0.166
Loose stools	10 (43.5)	13 (56.5)	0.380	12 (41.4)	17 (58.6)	0.449	10 (30.3)	23 (69.7)	0.062
Diarrhoea	3 (20)	12 (80)	0.084	9 (32.1)	19 (67.9)	0.271	8 (30.8)	18 (69.2)	0.140
Faecal urgency	10 (43.5)	13 (56.5)	0.380	10 (41.7)	14 (58.3)	0.431	8 (29.6)	19 (70.4)	0.097
Faecal incontinence	3 (27.3)	8 (72.7)	0.299	5 (45.5)	6 (54.5)	0.414	5 (33.3)	10 (66.7)	0.669
Hard stools	8 (22.9)	27 (77.1)	0.007 **	10 (33.3)	20 (66.7)	0.314	7 (31.8)	15 (68.2)	0.223
Constipation	6 (16.7)	30 (83.3)	0.000 ***	8 (25)	24 (75)	0.030 *	10 (43.5)	13 (56.5)	0.451
Incomplete evacuation	8 (25)	24 (75)	0.033 *	6 (21.4)	22 (78.6)	0.015 *	10 (38.5)	16 (61.5)	0.503

3 m: 3 month; 12 m: 12 month. Pearson chi-square tests were undertaken to determine the association between individuals with/without a symptom and PG-SGA category A or category B+C. Fisher's Exact tests were performed where expected cell count was less than 5. Data presented are for the association of the presence of a symptom and SGA B+C, where * p< 0.05; ** p< 0.01; *** p< 0.001. Data were incomplete as follows: Baseline; n= 79 for odynophagia to fluids, heartburn, faecal incontinence, hard stool; n= 78 for regurgitation of solids, loose stool; n= 76 for flatulence; n= 72 for constipation. 3-m; n= 67 for odynophagia to fluids, heartburn, loose stool. 12-m; n= 56 for heartburn.

Supplementary Table A Patient-Generated Subjective Global Assessment total score components¹

	Baseline (n= 80)	3 month (n= 68)	12 month (n= 57)
PG-SGA total score components			
Box 1: Weight			
0 (not changed/increased)	34 (42.5)	39 (57.3)	42 (73.7)
1 (lost in past 2 weeks)	15 (18.7)	7 (10.3)	5 (8.8)
2	10 (12.5)	6 (8.8)	3 (5.3)
3	12 (15)	8 (11.8)	4 (7)
4	6 (7.5)	7 (10.3)	3 (5.2)
5	3 (3.8)	1 (1.5)	0 (0)
<div style="display: flex; align-items: center;"> <div style="font-size: 2em; margin-right: 10px;">}</div> <div> <p>The higher the % lost in 1- or 6 m, the higher the score. Add 1 if some lost in past 2 weeks</p> </div> </div>			
Box 2: Food intake			
0 (same/more than usual)	31 (38.8)	35 (51.5)	27 (47.4)
1 (less food than usual)	29 (36.2)	20 (29.4)	24 (42.1)
2 (little solid food)	11 (13.7)	11 (16.1)	6 (10.5)
3 (supplements only)	9 (11.3)	1 (1.5)	0 (0)
4 (very little of anything)	0 (0)	1 (1.5)	0 (0)
Box 3: Symptoms			
0-3 (none/few symptoms)	43 (53.7)	45 (66.2)	35 (61.4)
4-6 (several symptoms)	11 (13.8)	13 (19.1)	10 (17.5)
7+ (many symptoms)	26 (32.5)	10 (14.7)	12 (21.1)
Box 4: Activities and function			
0 (no limitations)	53 (66.2)	22 (32.4)	31 (54.4)
1 (not normal self)	18 (22.5)	26 (38.2)	15 (26.4)
2 (not up to most things)	7 (8.8)	11 (16.2)	7 (12.2)
3 (able for little activity)	2 (2.5)	9 (13.2)	4 (7)
Sum of Boxes 1-4			
0-6	37 (46.3)	44 (64.7)	38 (66.7)
7-12	28 (35)	14 (20.6)	14 (24.5)
13-18	10 (12.4)	9 (13.2)	5 (8.8)
19-24	5 (6.3)	1 (1.5)	0 (0)
Worksheet 2: Relevant diagnoses			
0 (no diagnoses)	2 (2.5)	0 (0)	3 (5.3)
1 (one diagnosis)	32 (40)	26 (38.2)	25 (43.9)
2 (two diagnoses)	46 (57.5)	41 (60.3)	28 (49.1)
3 (three diagnoses)	0 (0)	1 (1.5)	1 (1.7)
Worksheet 3: Metabolic demand			
0 (no demand)	80 (100)	67 (98.5)	57 (100)
1 (mild demand)	0 (0)	1 (1.5)	0 (0)

	Baseline (n= 80)	3 month (n= 68)	12 month (n= 57)
Worksheet 4: Physical examination			
0 (no deficit)	53 (66.2)	47 (69.1)	40 (70.2)
1 (mild deficit)	19 (23.8)	15 (22.1)	11 (19.3)
2 (moderate deficit)	8 (10)	6 (8.8)	5 (8.8)
3 (severe deficit)	0 (0)	0 (0)	1 (1.7)

¹ All values are expressed as counts (%)

Supplementary Table B Comparison of daily intake of energy, fibre, nutrients and food groups from food¹

	Baseline	3 m
Energy, kcal/d	2253.1 (1179.5)	2222.1 (957.4)
Protein, g/d	94.5 (46.1)	90.3 (42.8)
Carbohydrate, g/d	273.8 (157.7)	261.0 (110.4)
Alcohol, g/d	7.9 (13.3)	3.7 (6.8)
Englyst Fibre, g/d	18.9 (12.5)	17.0 (8.9)
Vitamin A, µg/d	1936.5 (1149.1)	1997.6 (1827.2)
Vitamin B ₁ , mg/d	1.7 (1.0)	1.6 (0.8)
Vitamin B ₂ , mg/d	2.5 (1.2)	2.4 (1.1)
Vitamin B ₃ , mg/d	24.4 (11.7)	23.0 (10.2)
Vitamin B ₆ , mg/d	2.5 (1.2)	2.3 (1.1)
Vitamin B ₁₂ , µg/d	9.1 (4.9)	9.2 (6.7)
Carotene, mg/d	4.3 (3.4)	4.1 (2.4)
Vitamin C, mg/d	131.1 (78.2)	130.5 (80.3)
Vitamin D, µg/d	3.7 (2.8)	3.8 (1.8)
Vitamin E, mg/d	14.3 (9.3)	13.2 (5.9)
Folate, µg/d	351.7 (178.5)	329.5 (168.5)
Calcium, mg/d	1181.1 (609.5)	1144.0 (542.1)
Chloride, mg/d	4874.0 (2720.9)	4773.8 (1649.6)
Iron, g/d	12.6 (6.5)	11.3 (4.3)
Magnesium, mg/d	362.9 (182.3)	331.3 (169.1)
Phosphorus, mg/d	1665.7 (809.1)	1598.5 (673.8)
Potassium, mg/d	4177.6 (1934.9)	3773.1 (1581.0)
Selenium, µg/d	72.3 (33.6)	69.5 (32.2)
Sodium, mg/d	3277.3 (1905.6)	3235.6 (1175.8)
Zinc, mg/d	10.8 (5.8)	10.3 (5.7)
Food groups		
Alcoholic beverages, g/d	138.9 (273.6)	70.0 (138.7)
Cereals, cereal products, g/d	270.1 (183.6)	257.9 (130.1)
Eggs, egg dishes, g/d	23.4 (25.2)	22.0 (14.8)
Fats, oils, g/d	28.0 (21.6)	30.7 (21.6)
Fish, fish products, g/d	49.2 (35.0)	46.6 (32.7)

	Baseline	3 m
Fruit, g/d	241.3 (261.9)	171.0 (184.0)
Meat, meat products, g/d	103.2 (65.4)	113.7 (82.3)
Milk, milk products, g/d	485.5 (272.7)	454.5 (206.3)
Non-alcoholic beverages, g/d	1032.5 (532.5)	932.4 (471.1)
Nuts, seeds, g/d	3.9 (6.4)	4.9 (8.3)
Potatoes, g/d	100.2 (61.5)	89.1 (50.2)
Soups, sauces, g/d	130.9 (157.1)	109.0 (93.9)
Sugars: preserves/snacks, g/d	63.5 (55.4)	64.2 (60.0)
Vegetables, g/d	319.9 (257.9)	284.0 (175.6)

3 m: 3 month; 12 m: 12 month. ¹ For all variables, results are expressed as average value/day. Results presented are available at all 3 study visits. Vitamin A refers to retinol equivalents; carotene refers to total carotene equivalents. Mauchly's Test of Sphericity was performed and Greenhouse-Geisser corrections were made if the data violated sphericity. Significant differences between nutrient/food groups with significantly different means across the three time points using ANOVA (alcohol, alcohol-free, and alcohol-free) were identified. Bonferroni post-hoc test was performed to determine differences between two time points, however, none were significant.

Supplementary Figure: Participant Flow Chart



